BRIEFING NOTE

TO: **HEALTH, SOCIAL CARE & INCLUSION SCRUTINY AND PERFORMANCE PANEL**
DATE: **9 OCTOBER 2008**

RE: BUDGET SETTING 2009/10 – 2011/12

Purpose

To consider the resource planning document for the Social Care and Inclusion Directorate which includes details on service objectives, priorities and finance.

Report

At its meeting on 28 August 2008 the Health, Social Care and Inclusion Scrutiny and Performance Panel noted the revised budget setting process for 2009/10 – 2011/12.

Members will recall that the first part of the budget process was to the completion of a ‘resource planning document’ which would include relevant information which would provide the ‘story’ for each of the services for pervious and future years. This will provide Members with information on service objectives, pressures, challenges and cost versus performance information that will be used alongside the priority setting process for 2009/10 – 2011/12 and provide a focus for investment/disinvestment proposals and decisions that the Panel will consider later in the year.

The resource planning documents for the Social Care and Inclusion Directorate have been split into ‘Adults and Support Services’ and ‘Strategic Housing’ and are enclosed separately as appendices to this report.

Recommendations

That, subject to any questions and comments Members may wish to make, the resource planning document for the Neighbourhoods Directorate be noted.

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Health, Social Care and Inclusion Scrutiny Panel 2009/10 – 2011/12 Budget Process Stage 1 Resource Pack Summary

Stephanie Simcox

9 October 2008

Walsall Council

Resource Planning 2009/10–2011/12

- Information packs circulated August 2008
- Details per service of:
  - Service objectives
  - Service priorities
  - Key performance indicators
  - Outturn and 2008/09 budget
  - Past 3 years variance analysis
  - Key service and cost drivers
  - Cost and performance
  - Demographic trends
  - Past investments and savings
  - Headline pressures/opportunities
  - Capital asset assessment

Summary per service

- Key performance
- Financial performance
- Revenue pressures / challenges
- Investment/saving history

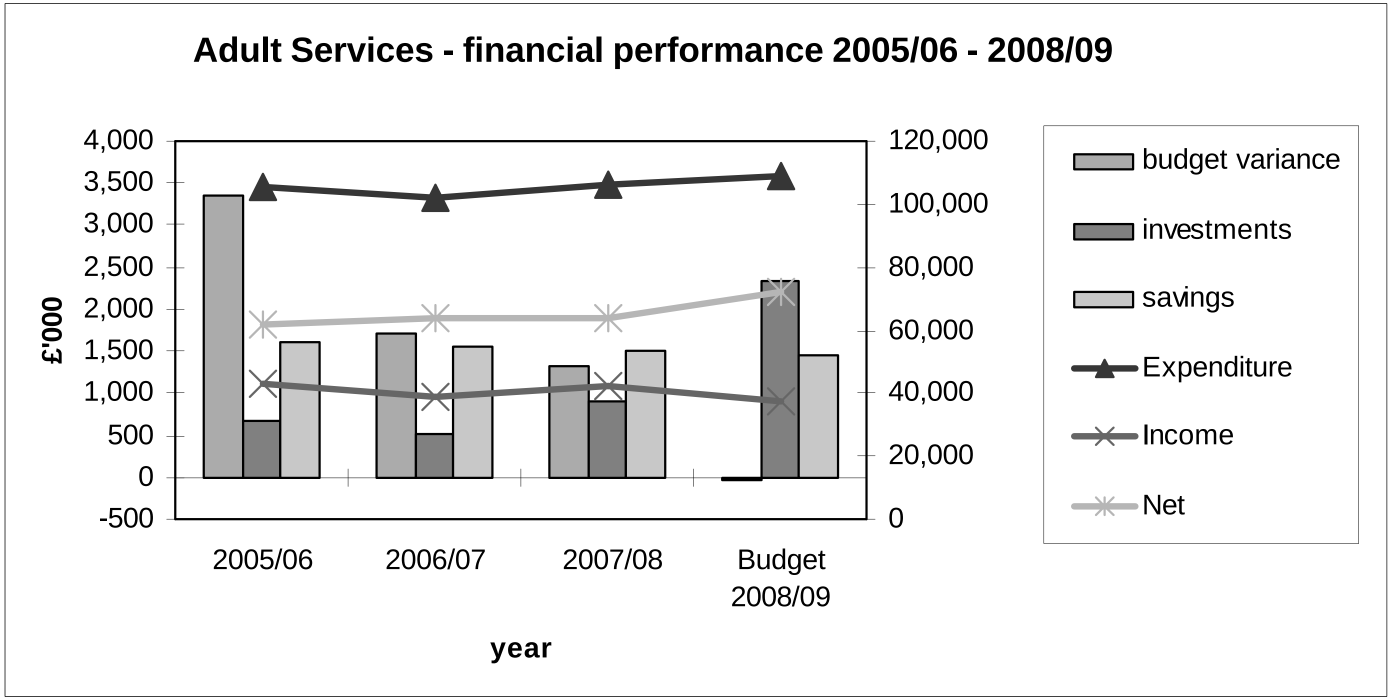
- All other detail within pack to support any discussions and recommendations

Walsall Council

Adults – net £71.932m

- Older People
- Learning Disabilities
- Mental Health
- Younger Adults and Disability Services (YADS)
- “Other” and Strategy

Service	FTE
Older People	329.5
Learning Disabilities	72.7
Mental Health	219.5
YADS	140.2
Total	761.9



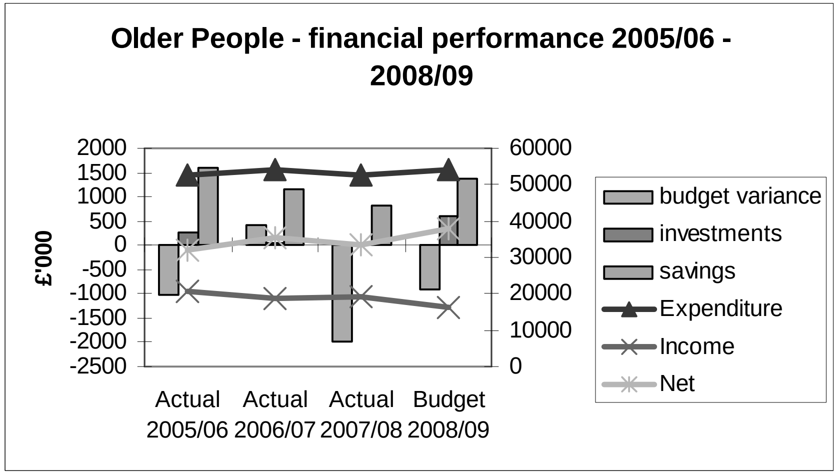
Walsall Council

Older People – net £38.787m

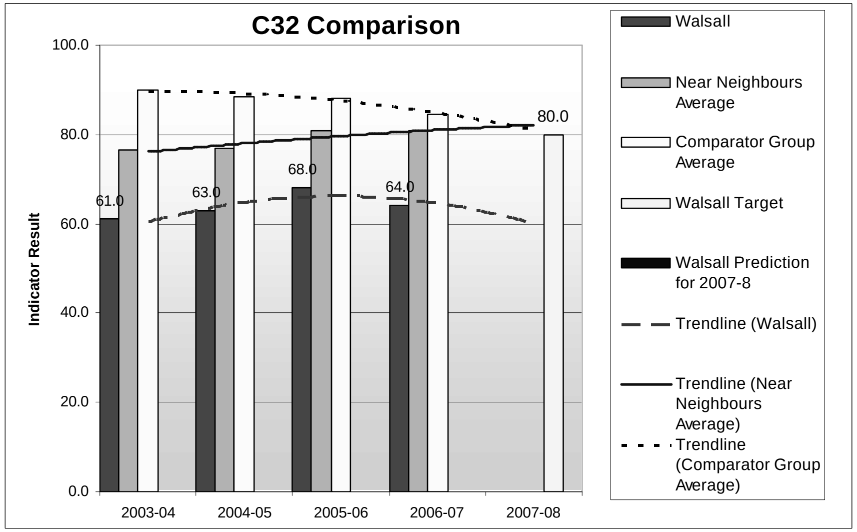
- Assessment & Care Management
- Residential & Nursing Care
- Home Care
- Extra Care
- Assistive Technology
- Community Meals
- Direct Payments
- Carers Service

3 yr revenue pressures:	2009/ 10 £'000	2010/ 11 £'000	2011/ 12 £'000
Demographics	0	213	250

NB Over and above the FYE bid from 08/9



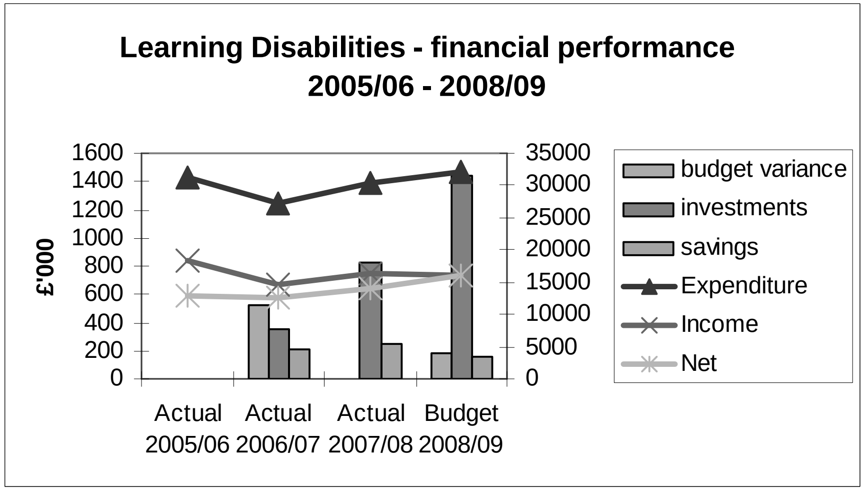
OP – Helped to live at home (C32)



Walsall Council

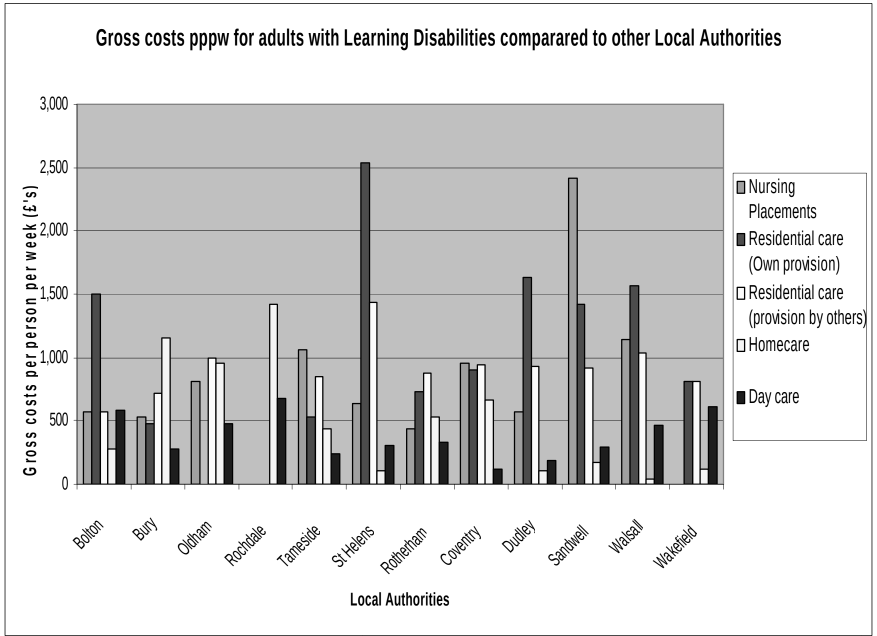
Learning Disabilities – net £16.022m

- **Assessment & Care Management**
- **Pooled Budget with tPCT**
- **Employment Support**
- **Community Living**
- **Day Services**
- **Direct Payments**
- **Carers Service**
- **Residential & Nursing Care Provision**



3 yr revenue pressures	**2009/ 10 £'000**	**2010/ 11 £'000**	**2011/ 12 £'000**
Day Service Modernisation	200	400	
Demographic Growth	928	1856	2784

NB Over and above the FYE bid from 08/9



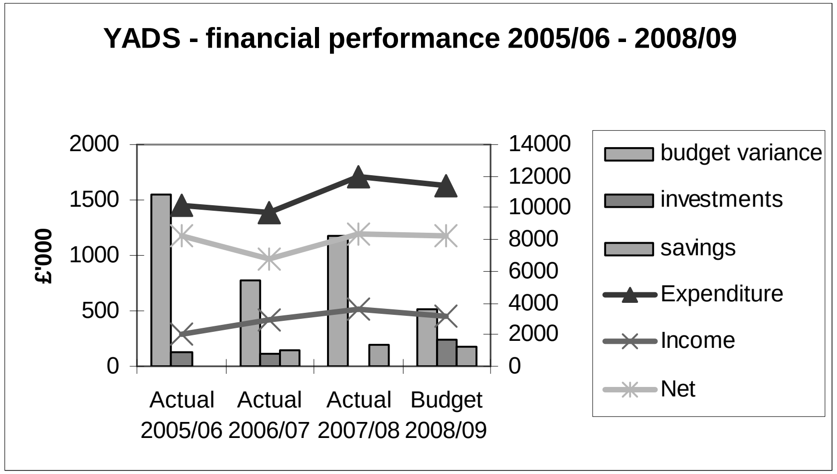
Walsall Council

YADS – net £8.295m

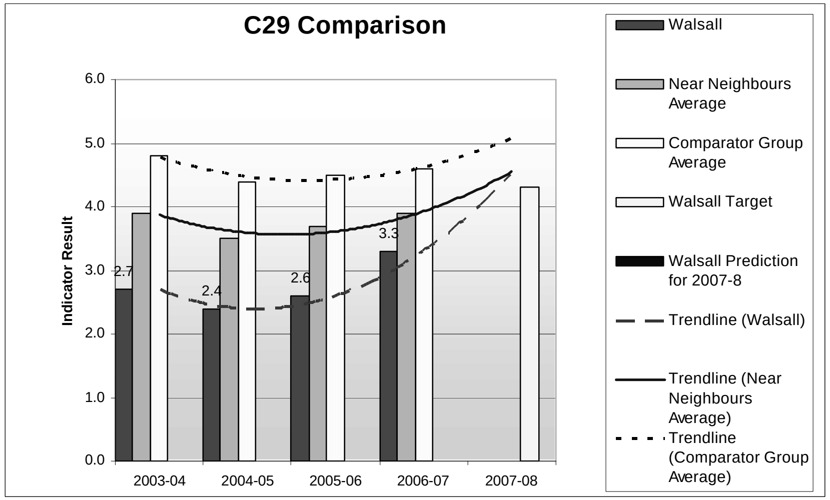
- Assessment & Care Management
- Residential & Nursing Care
- Home Care
- Day Care
- Occupational Therapy
- Community Equipment
- Direct Payments

3 yr revenue pressures:	2009/10 £'000	2010/11 £'000	2011/12 £'000
Demographics	323	646	969

NB Over and above the FYE bid from 08/9



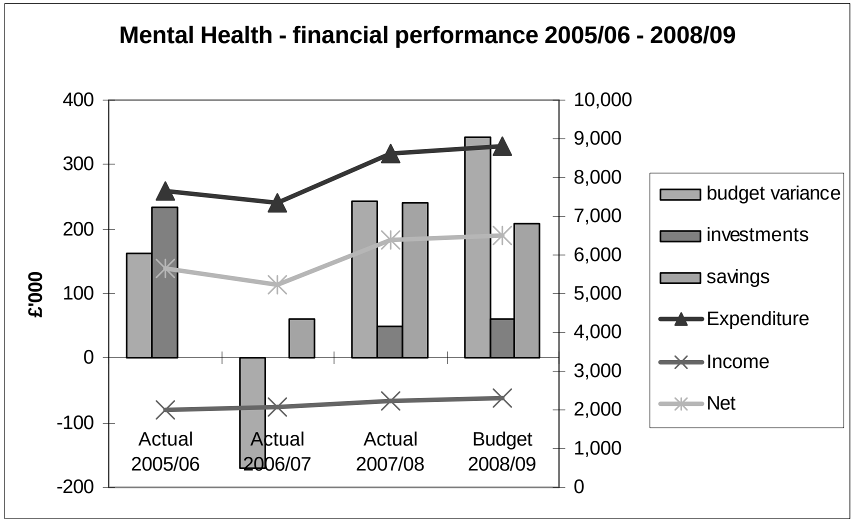
YADS – Helped to live at home (C29)



Walsall Council

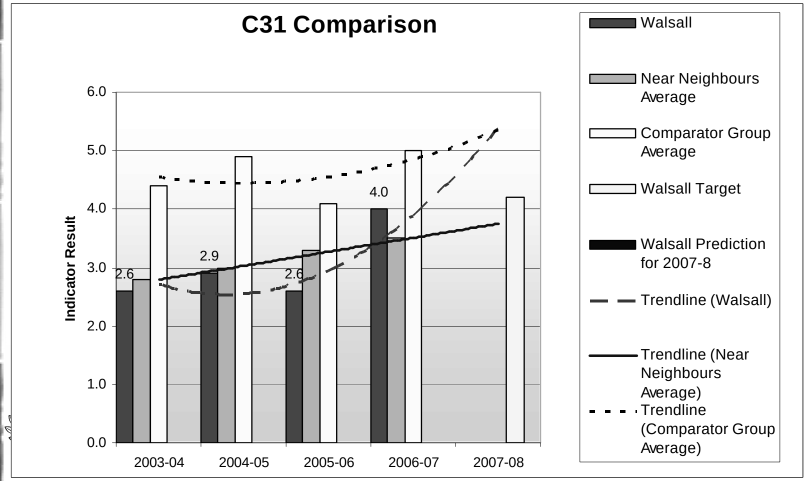
Mental Health – net £6.503m

- Assessment & Care Management
- Residential & Nursing Care
- Home Care
- Day Care
- Substance & Alcohol Misuse
- Early Intervention Service
- Carers Service
- Direct Payments



3 yr revenue pressures:	2009/10 £'000	2010/11 £'000	2011/12 £'000
Demographics (previous yrs shortfall)	300	300	300
Demographics – new	169	351	526
Drug & Alcohol Misuse	60	60	60

Mental Health – Helped to live at home (C31)



Strategic Housing – net £3.183m

- Supporting People
- Homelessness & Temporary Accommodation
- Housing Strategy
- Housing Standards & Improvements
- Housing Advice
- Aids & Adaptations / DFGs
- NCO's

3 yr revenue pressures:	**2009/10 £'000**	**2010/11 £'000**	**2011/12 £'000**
Empty Property Officer	45	45	45
Housing Advice Officer	33	33	33
Gypsy Services (additional Staff)	25	25	25
Ineligible SP funding	497	497	497

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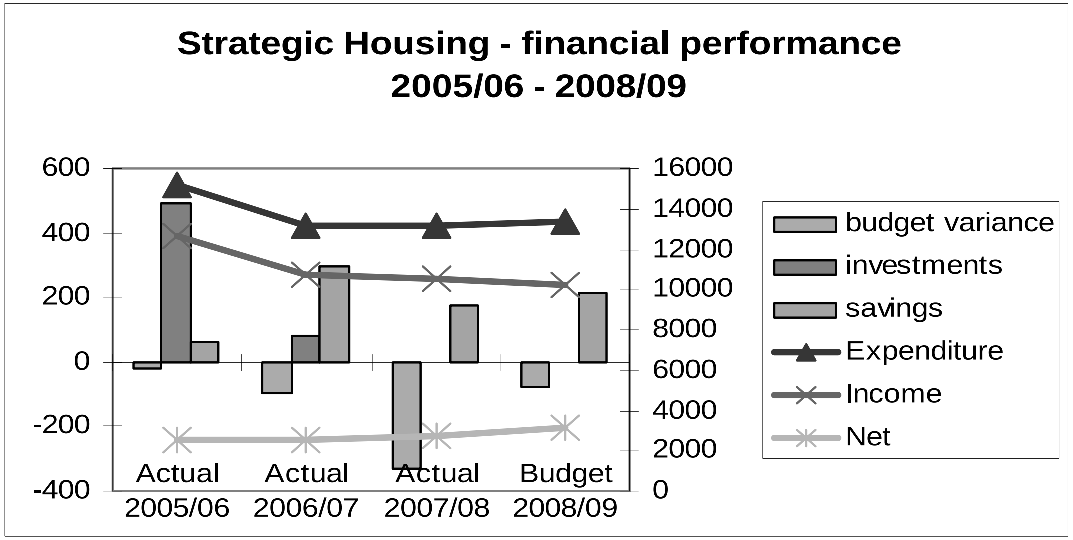


Adult Social Care National Performance – Unit Costs

	Older People	**YADS**	**Learning Disability**	**Mental Health**
Assessment &CM / Client	Average	High	Very High	Marginally below average
Nursing Care / Resident week	Below Average **Note 1*	Low	Average	Low
Residential Care / Resident week	Above Ave	Very High	Average	Average
Client Contributions	Below Ave	Very Low	Very Low **Note 2*	Average
Direct Payments/Client	Average	High	Slightly higher than average	Very High
Home Care/hr	Average	Low	Slightly higher than average	Low
Day Care / Session	Low	Average	Very Low	Low
Adaptations	High	High **Note 3*	n/a	High
Meals	High	High	n/a	n/a

Walsall Council

Compared with mets – note 1 – high, note 2 – lowest, note 3 - average

Adult Social Care National Performance – Usage

	Older People	YADS	Learning Disability	Mental Health
Assessment &CM Clients/1000 population	Marginally higher than average	Average	Average	Marginally below average
Residential & Nursing Care / Resident weeks / head	Average **Note 1*	Average	High	High
Direct Payment Clients / 1000 population	Average	Very low	Slightly lower than average	Very Low
Home Care hours / client	Low	High	Very Low	Average *Note 2*
Day Care Sessions / client	Average	Low	High	Low *Note 3*

Compared with mets – note 1 – lower than ave, note 2 – lower than ave, note 3 – very low

Walsall Council

SOCIAL CARE AND INCLUSION DIRECTORATE

ADULTS & SUPPORT SERVICES

RESOURCE PLANNING DOCUMENT
2009/10 – 2011/12

Resource Planning 2009/10 – 2011/12 – Adults and support services

Contents

1. Summary of Services provided by the Directorate

SERVICE MODERNISATION

Emergency Response Team
Access
Brokerage
Hospital Team

OLDER PERSONS SERVICES

Assessment and Care Management Team
Strategic and Joint Commissioning
Provider Services
Administration i.e. PARIS support
Residential homes and day centres including intermediate and interim care beds managed by Housing 21
Homecare internal provision including specialist Extra care, dementia, intermediate care etc
Sundry services including elderly clubs, SLAs with external providers (including day care),
Extra care housing
Community meals and luncheon clubs provision
Community alarms and Assistive Technology
Direct payments
Approx 48,000 weeks of independent sector nursing & residential care, intermediate care, extra care etc

DISABILITY SERVICES

Major Projects and Development
Assessment & Care Management,
Strategic Commissioning
Occupational Therapy service
Services for sensory and visually impaired people
Community equipment services via an ICES pooled budget.
Employment Support
Supported Living
Day Services
Direct Payments
Independent Sector Residential and Nursing Provision

MENTAL HEALTH SERVICES INCLUDING OLDER PEOPLES MENTAL HEALTH SERVICES

Adult Mental Health support via CMHTs and Older persons CMHTS;
Substance and Alcohol misuse services
Assertive Outreach
Home Treatment Services
Rehabilitation Support
Crisis & Day Services at Broadway North
Independent sector residential and nursing provision
Direct payments
Early Intervention Service
Carers Support Service
Employment Support

OTHER SERVICES

Men's Health Project
Aids/HIV Support
Rehabilitation – Substance Misuse
Adult Protection Unit

SUPPORT SERVICES

This is a support service which aims to support the ED, AD and associated managers achieve objectives set out for the department as a whole.

Management of major projects across social care & inclusion.
Management of partnership developments across social care and inclusion
AD Adult support costs
Central support service recharges – i.e. finance, legal, personnel, payroll, performance, pensions etc. are also charged here but are not a direct service provided by the division.

2. Overarching objectives

Overall Adults (Past, now, future)

Maximise health, well-being and life chances by reducing inequalities and promoting independence
Ensure safety and protection
Deliver accessible services that are socially inclusive and responsive to user views
Become an excellent employer
Deliver more efficient business processes
Ensure effective partnership work to support delivery of our shared objectives
Call centre, access point, first point of contact
Tighter controls around processes including brokerage, Continuing Health Care
Establish better reputation for ourselves especially within OT and YADS
Working towards average unit costs rather than being at the high range in our comparator groups
Reduction in residential placements and having more creative packages – recycling money around the services
Continuing the personalisation agenda and what it looks like for us
Develop high quality average cost services
Increase compliments and reduce complaints
Stabilise financial position and increase VFM and efficiency
Effective management both financial and otherwise
Re-tender of residential and nursing care generating procurement efficiencies'

Older person's objectives 9/10 onwards (Past, now, future)

Major review of assessment and care management linked to CSED (Care Services Efficiency & Delivery)
Reconfiguration of In House Home care service
Develop new charging mechanisms i.e. assistive technology
Develop prevention (stronger agenda) and BME services
Develop initial interim resources for step up step down treatment
Individualisation and associated challenges
Greater efficiencies within business processes to work smarter not harder
Change in skill mix for staff
Extra care developments with Housing 21 which should be in full implementation phase
Rationalising day care (SLAs)
Continuing the future development of links with health i.e. Walsall NHS, Walsall Community Health, including commission together, joint delivery.

Joint Disability Services (Past, now, future)

Pooled budget across PCT
Funding portals (panels) need to retain "gatekeeper" function
Person centred services and development of Personalisation
Day service modernisation – closure of ATCs and opening Goscote
Campus closures – must close 31 March 2010
Short breaks (LD) completion of Coltham Rd – look at future of some of sites
Rehab- Dartmouth House / Hollybank / Pinfold Care Pathway – 0910 for commencements
Using capacity in Walsall reduce out of area placements

ICES externalisation and retail model (needs to include assistive technology equipment – store and issue of)
Assessment and care management savings via better processes
Erode legacy for Links to work overspend – potential externalisation
Integrate service teams (Learning Disability / Rehab)
Reablement (intensive time limited support teams following hospital discharges)
Employment of people with disabilities
Centre for independent Living – mainstreaming of activity
Preventative services to reduce long term care costs
Strategy to determine sustainable long term care costs

Mental Health services (Past, now, future)

Older people with mental health –be creative about managing dementia – growth in money won't match growth in people
Ensure teams get assessments correct
Providers will be part of trust status so will give more flexibility to draw people into the market
Commission Black Country wide rather than as one borough working independently
Increased stimulation of market

3. Service Priorities

Overarching objectives

Modernisation of Commissioning
Reprovision of Services
Increased recognition of and Support to informal carers
Develop and implement personalised services
Working with partners to reduce the incidence of institutional abuse
Working with partners to reduce discrimination and system
Further development and delivery of services that address the needs of BME groups in the borough
Develop and deliver One stop Shop / access centre
Delivering tangible and measurable outcomes from undertaking consultation with local people
We will further develop high quality health and safety good practice with our staff
We will develop and implement a workforce development plan for the service
We will continue to listen and respond to our staff to make sure they are able to provide the best service they can
We will promote a culture of continuous improvement, underpinned by more effective information and performance management systems
We will regularly review our business processes, and will design and implement a single pathway to directorate services and council activities
We will deliver our medium term operational strategies within budget and service parameters whilst ensuring annual efficiencies
We will continue to work closely with other Council services to ensure a holistic approach to meeting people's needs
Working with others, ensure our services are focussed on meeting need
Progress Partnership development agenda across Adult Services, both internally and externally
To work in partnership to achieve local targets for safer, stronger and healthier communities

Older people

Reprovision of Services
Develop Older peoples Prevention Strategy
Develop and delivery of services to BME groups across the borough
Develop and implement workforce development plan
Improve our management of resources

Mental health

Service redesign – continuation of the programme of service redesign and workforce modernisation
Further development of Older People's Service detection, assessment and treatment of dementia, including early onset dementia
Develop further home treatment service
Develop further intermediate care
Development of liaison service with acute medical and surgical services, covering adult, older people and children.
Implement review of Day Services
Implement changes introduced by the Mental Health Act 2007
Establish the Dudley and Walsall Partnership NHS Trust (subject to Secretary of State approval)

Disability services

Continue to train Person Centred Planning Facilitators to produce and review plans
To ensure people with Learning disabilities have more choice and control
To provide support to family carers
Improving health of people with Learning Disabilities
Provide a range of housing and support services
Modernising Day services and developing Supported Employment Opportunities
Improving quality of services
Improved Partnership Working
Managing Performance and costs
Merger of YADS and LD
OT and ICES – Improve performance and develop positive image
Campus closure - resettle 27 people using person centred approach
Short breaks proceed with Coltham Rd project plan
Links to work – potential externalisation
Reprovision and review of long stay resources – upgrade fallings heath and review future of Narrow Lane.
Develop partnership approach with PCT for review of Hollybank / Pinfold

4. Key Performance Indicators

Performance information is based on the Q1 adults scorecard approved by Adults performance board in July. It should be noted that the new national indicator set will change the performance agenda during 2008/9

No.	Indicator Description	Lead Officer	2006/07 Outturn	2007/08 Outturn	Current Quarter 1	Target 2008/09	Quarter 1 Performance compared to		Red Amber Green RAG
							2007/08 Outturn	Previous Quarter Outturn	
C28	Intensive Homecare - *Number of households getting Intensive home care in a specific week - per 1,000 population aged 65 & over*	HOS	13.9	14.6	**July 2008**	14.5	N/A	N/A	
C72	Admissions to residential / nursing care 65+ *Adults aged 65 and over admitted as a permanent supported resident to residential or nursing care during the year – per 10,000 population aged 65 and over.*	Andy Cross	85	88	**52**	85	⇧	N/A	G
C73	Admissions to residential / nursing care 18 – 64 *Adults aged 18 to 64 admitted as a permanent supported resident to residential or nursing care during the year – per 10,000 population aged 18 to 64.*	John Greensill	3.3	2.9	**2.4**	2.5	⇧	N/A	G

No.	Indicator Description	Lead Officer	2006/07 Outturn	2007/08 Outturn	Current Quarter 1	Target 2008/09	Quarter 1 Performance compared to		Red Amber Green RAG
							2007/08 Outturn	Previous Quarter Outturn	
D37	Availability of single rooms *The percentage of single adults, aged 18 and over, admitted to permanent residential or nursing care who were allocated single rooms.*	HOS	96.0%	94.6%	**July 2008**	95%	N/A	N/A	
D39	Statements of need *Percentage of clients receiving a statement of need*	HOS	89.0%	99.3%	**96.1%**	98%	⇩	N/A	G
D40	Clients receiving a review *Percentage of adults aged 18 and over receiving a service who have had a review during the year*.	HOS	65.0%	72.4%	**78%**	75%	⇧	N/A	G
D54	Equipment / adaptations delivered within 7 days	John Greensill	85.0%	86.1%	**89.1%**	90%	⇧	N/A	G
E47	Ethnicity of older people receiving an assessment *The percentage of service users receiving an assessment that are from minority ethnic groups , divided by the percentage of older people in the local community that are from minority ethnic groups*	HOS	1.10	1.26	**July 2008**	1<2	N/A	N/A	

No.	Indicator Description	Lead Officer	2006/07 Outturn	2007/08 Outturn	Current Quarter 1	Target 2008/09	Quarter 1 Performance compared to		Red Amber Green RAG
							2007/08 Outturn	Previous Quarter Outturn	
E48	Ethnicity of older people receiving services following an assessment	HOS	1.20	1	**July 2008**	0.9<1.1	N/A	N/A	
E82	The percentage of assessments which lead to services being provided	HOS	69.0%	91.9%	**July 2008**	85%	N/A	N/A	
125	Achieving independence for older people through rehabilitation/intermediate care	Andy Cross	N/A	N/A	**Oct 2008**	TBC (Oct)	N/A	N/A	
130	Social Care clients receiving Self Directed Support (Direct Payments and Individual Budgets) PAFC51	John Greensill	N/A	N/A	**108.4**	120	N/A	N/A	G
131	Delayed transfers of care from hospitals PAFD41	Elaine Carolan	N/A	N/A	**-**	28	N/A	N/A	
132	Timeliness of social care assessment PAFD55	HOS	N/A	N/A	**86.3%**	90.1%	N/A	N/A	A
133	Timeliness of social care package PAFD56	HOS	N/A	N/A	**91.2%**	90.1%	N/A	N/A	G

No.	Indicator Description	Lead Officer	2006/07 Outturn	2007/08 Outturn	Current Quarter 1	Target 2008/09	Quarter 1 Performance compared to		Red Amber Green RAG
							2007/08 Outturn	Previous Quarter Outturn	
135 LAA	Carer's receiving needs assessment or review and a specific carer's service, or advice and information PAFC62	John Greensill	N/A	N/A	**28.19**	21%	N/A	N/A	G
136 LAA	People supported to live independently through social services PAFC29, C30, C31 & C32	HOS	N/A	N/A	**26.79**	>22.00	N/A	N/A	G
145	Adults with learning disabilities in settled accommodation	John Greensill	N/A	N/A	**Oct 2008**	TBC (Oct)	N/A	N/A	
146	Adults with learning disabilities in employment	John Greensill	N/A	N/A	**Oct 2008**	TBC (Oct)	N/A	N/A	
B12	Unit costs of residential care for all client groups	HOS	£479	£536	£541	£541	⇧	N/A	G
B17	Weekly expenditure on home care costs for adult and older people	HOS	£14.80	£16.70	£15.90	£15.9	⇧		G
F3	Unit cost of direct payments	HOS	£178	£155		£155			
CC1	The number of complaints received in the period (stages 1 and 2)	Paul Cooper	197	173	**30***	160-200		N/A	G

No.	Indicator Description	Lead Officer	2006/07 Outturn	2007/08 Outturn	Current Quarter 1	Target 2008/09	Quarter 1 Performance compared to		Red Amber Green RAG
							2007/08 Outturn	Previous Quarter Outturn	
CC2	% of complaints that were resolved in period within indicated timescale (stages 1 and 2)	Paul Cooper	62%	69%	**20 (69%)**	70%		N/A	G
CC3	% of complaints progressing to the next stage of the procedures within the period	Paul Cooper	0.5%	0	**1**	<5%		N/A	G
CC4	The ability to demonstrate that at least 7% of complaints lead to changes in services for service users	Paul Cooper	5%	10%	**2 (7%)**	7%		N/A	G
HR1	Recruitment & Retention Indicator (Staff Turnover) Percentage of SSD directly employed staff that left during the year to 30 September	Caroline Lawrence	8.5%	8.3%		8.00% (SAS)		N/A	
HR2	Recruitment & Retention Indicator (Staff Vacancies): Percentage of SSD directly employed posts vacant on 30 September	Caroline Lawrence	12.3%	24.1%	18.97%	20.00% (SAS)		N/A	
HR3	The percentage of Social Services working days/shifts lost to sickness absence during the financial year	Caroline Lawrence	8.89%	9.6%		7.5% (SAS)		N/A	

5. Summary of Directorate Outturn and 2008/09 Budget

		ACTUAL 2005/06 £	ACTUAL 2006/07 £	ACTUAL 2007/08 £	BUDGET 2008/09 £
EXPENDITURE					
ADULTS					
	OLDER PERSONS	52,758,520	54,010,774	52,587,825	54,240,215
	LEARNING DISABILITIES	31,187,945	27,252,077	30,488,411	32,155,900
	MENTAL HEALTH	7,645,643	7,326,825	8,612,417	8,812,333
	PHYSICAL DISABILITIES	10,217,231	9,706,911	11,968,476	11,433,595
	OTHER ADULTS	361,384	275,709	236,097	336,250
	SUB TOTAL ADULTS	**102,170,722**	**98,572,295**	**103,893,227**	**106,978,292**
SUPPORT SERVICES					
	SUPPORT SERVICESI	3,136,006	3,738,114	2,144,280	2,177,114
SUB TOTAL SUPPORT SERVICES		**3,136,006**	**3,738,114**	**2,144,280**	**2,177,114**
	TOTAL EXPENDITURE	**105,306,728**	**102,310,409**	**106,037,507**	**109,155,406**

		ACTUAL 2005/06 £	ACTUAL 2006/07 £	ACTUAL 2007/08 £	BUDGET 2008/09 £
INCOME					
ADULTS					
	OLDER PERSONS	-20,771,943	-18,748,812	-19,166,525	-15,453,049
	LEARNING DISABILITIES	-18,269,697	-14,687,402	-16,428,771	-16,133,816
	MENTAL HEALTH	-2,000,582	-2,087,883	-2,239,314	-2,308,679
	PHYSICAL DISABILITIES	-1,989,886	-2,879,535	-3,587,896	-3,139,081
	OTHER ADULTS	-138,315	-151,570	-150,415	-188,792
SUB TOTAL ADULTS		**-43,170,423**	**-38,555,201**	**-41,662,921**	**-37,223,417**
SUPPORT SERVICES					
	SUPPORT SERVICES	-178,933	-11,713	-438,995	0
SUB TOTAL SUPPORT SERVICES		-178,933	-11,173	-438,995	0
TOTAL INCOME		**-43,349,356**	**-38,566,374**	**-42,101,286**	**-37,223,417**
NET EXPENDITURE		**61,957,372**	**63,744,034**	**63,936,221**	**71,931,989**

6. Summary of Variance against Budget – Past Three Years

		VARIANCE TO BUDGET 2005/06 £	VARIANCE TO BUDGET 2006/07 £	VARIANCE TO BUDGET 2007/08 £
EXPENDITURE				
ADULTS				
	OLDER PERSONS	670,733	773,900	-1,421,085
	LEARNING DISABILITIES	74,732	220,169	321,073
	MENTAL HEALTH	102,429	-158,211	385,013
	PHYSICAL DISABILITIES	2,456,675	1,237,016	1,991,300
	OTHER ADULTS	56,316	-21,549	-85,702
SUB TOTAL ADULTS		**3,360,885**	**2,051,325**	**1,190,599**
SUPPORT SERVICI				
	SUPPORT SERVICES	-15,172	-335,705	135,010
SUB TOTAL SUPPORT SERVICES		**-15,172**	**-335,705**	**135,010**
TOTAL EXPENDITURE OVER/(UNDER) SPEND		**3,345,713**	**1,715,620**	**1,325,609**

		VARIANCE TO BUDGET 2005/06 £	VARIANCE TO BUDGET 2006/07 £	VARIANCE TO BUDGET 2007/08 £
INCOME				
ADULTS				
	OLDER PERSONS	-1,073,221	373,744	575,343
	LEARNING DISABILITIES	-69,309	310,470	-317,020
	MENTAL HEALTH	59,560	-12,435	-142,690
	PHYSICAL DISABILITIES	-905,748	-469,259	-805,732
	OTHER ADULTS	31,392	27,123	38,377
SUB TOTAL ADULTS		**-1,957,326**	**229,643**	**-651,722**
SUPPORT SERVICES				
	SUPPORT SERVICES	-178,613	-11,653	-284,181
SUB TOTAL SUPPORT SERVICES		**- 178,613**	**-11,653**	**-284,181**
TOTAL INCOME OVER/(UNDER) SPEND		**-2,135,939**	**217,990**	**-935,903**
NET EXPENDITURE OVER/(UNDER) SPEND		**1,209,774**	**1,933,610**	**389,706**

7. Explanation of Key variances

ADULT SERVICES

2005/06 – adult services were £1.405m overspent against their base budget. £281K of this was allowable due to the funding of interim management costs from carry forward funding from 2004/05.

As part of the 05/06 budget monitoring process, a remedial action plan was put in place to look at reducing the potential overspend being indicated on external home care and Direct Payments at the time, following a report commissioned by an independent consultant. This report predicted that the overspend could be in the region of between £1.3m to £2.3m. The action plan was agreed at sum of £1.761m, which was all achieved by the end of the year. The final outturn indicated that the actual overspend on these areas was closer to the £2.3m identified in October.
Overspends in external residential placements occurred in the main due to two main issues. Firstly due to invoices being received at the year end relating to 2003/04 and 2004/05 after these commitments had been agreed to be written off the systems. Secondly income had been assumed to be realisable from a number of clients who, when the audit was carried out, were identified as being s117 clients who are not to be charged.
Additional compensatory savings over and above the action plan were made in the areas of salary costs within residential care due to vacancies in the in-house service, reduction in use of contracts within the ICES pooled budget, increased realisation of property income due to improved methods of data collection and the increase in average house prices, which has partially offset the overspends detailed above.

The net variation to budget for adult services is shown in more detail in the table below.

Adult Services position as at 31 March 2006	**£**
Independent Sector Home Care	+0.400m
Home Care Income Shortfall	+0.550m
Continuing Health Care Refunds	- 0.100m
Meals on wheels charges	+0.209m
Provision for bad debts	+0.090m
Total Independent Sector Home Care	+1.149m
Direct Payments	+1.100m
Residential Care:	
- Independent Sector	+1.100m
- Property Income increase	- 0.946m
- Part III income	- 0.350m
	- 0.196m
In house salary savings	- 0.450m
Joint Equipment Stores	- 0.200m
Other minor adjustments	-0.009m
Net Position	**+1.124m**
Carry Forward Overspend	+0.281m
Overall Position for Adults	**+1.405m**

2006/07

Adults overspend equalled ~£2.3m with key variances across each service area shown below:

Older People

	£m
Overspends:	
FISCOM - Provider payments - Direct payments & home care	1.057
SLA's - Overspend	0.819
Fairer Charging - Reduced income	0.683
Interim Management/Homes Transfer - Overspend on employee costs/Agency costs	0.328
Resource Centres - Overspend on employee costs	0.300
NURRCIS - Free Nursing Care - Reduced income	0.243
FISCOM - Continuing Health Care - Reduced income	0.135
Other	0.028
Underspends:	
NURRCIS - Provider payments - Reduced placements	-0.973
Social work teams - Underspend on Salary & Supplies/Services	-0.612
Grants - Underspend on supplies/services	-0.493
NURRCIS - Property cases - additional income	-0.363
Total	**1.152**

YADS

	£m
Overspends:	
Independent non residential placements - increased demand	1.188
Independent non residential income - apportionment of overall SC&I shortfall	0.155
In house residential & day services - overspend on salary/agency costs	0.036
Other	0.007
Underspends:	
CHC Income - Increased income achieved	-0.444
Independent residential placements - review of existing placements	-0.089
Assessment & care management - savings following hold of vacancies & reduction of agency Staff	-0.087
Total	**0.767**

Learning Disabilities

	£m
Overspends:	
Links to Work - Withdrawal of transitional funding	0.373
Residential placements - Increase in demand and delays in capital programmes	0.363
Non residential placements and community support - Increase in demand and delays in capital programmes	0.190
Transport - Agreed overspend due to purchase of vehicle due to increase in demand	0.085
Underspends:	
Management and reprovision budgets - Underspend due to savings action plan (vacancy management and reduction in agency staff)	-0.178
tPCT contribution to overspend - Partners contribution to pooled budget overspend	-0.130
In house residential and day centres - Underspend due to savings action plan (vacancy management and reduction in agency staff)	-0.128
Direct Payments - Change in one placement from DP to residential	-0.033
Other	-0.011
Total	**0.531**

Mental Health

	£m
Overspends:	
Independent residential & nursing placements - Fall out of SP funding and an increase in placements	0.138
Shortfall in non residential & nursing income -	0.038
Overspend on salaries/agency staff	0.035
Underspends:	
EMI - Social Work Development - Due to vacant posts following action plan hold on vacancies & reduction in agency staff	-0.097
Home Treatment Services - Due to vacant posts following action plan hold on vacancies & reduction in agency staff	-0.091
CMHT'S - Due to vacant posts following action plan hold on vacancies & reduction in agency staff	-0.079
Independent nursing & residential placements - Action plan savings following review of placements	-0.063
Drugs and Alcohol - Due to vacant posts following action plan hold on vacancies & reduction in agency staff	-0.030
Other	-0.020
Total	**-0.170**

2007/08

The Adults budgetary position at the end of 2007/8 after utilisation of earmarked reserves for adult services (£0.962m) was an overspend of £0.545m. Without the implementation of the in-year action plan achieving savings and efficiencies of £1.887m**,** the allocation from Walsall tPCT of £0.700m, and the in year allocation of reserves of £0.962m adult services would be predicting an over spend in the region of £4m. A breakdown of the under and overspends in 2007/08 are shown in the attached tables.

Older People

Area	Detail of variation	£'000
Over spends:		
Home Care Income	Under achieved against budget	406
Fairer Charging - Transport Income	Delay of project starting	304
Property Case Income	Reduced income	262
Interim Management Costs	Additional spend due to Homes Transfer	171
Extra Care Housing Development	Additional spend	156
Continuing Health Care	Under achieved against budget	119
Homes Transfer	Additional spend due to Homes Transfer	97
Total overspends		**1,514**
Under spends:		
Allocation from Central Reserves		-481
In house Services	Underspend on salary costs and supplies and services	-455
Internal Home Care	Underspend on employee costs	-286
Community Alarm Service	Income from WHG for property maintenance and stock adjustment	-260
Independent Non Residential & Direct Payments	Reduction in clients	-259
General Grants	Underspend on Supplies and Services	-219
s117 Adjustment	Change in value of provision	-197
Missing Paperwork Adjustment	Estimate of NURRCIS clients not recorded	-134
Independent Residential	Reduction in clients	-32
Amalgamation of small variances		-28
Total underspends		***-2,353***
Net underspend		**-839**

YADS

Area	Detail of variation	£'000
Over spends:		
Independent non resi placements	New demand and existing budget pressure	685
ICES Pooled Budget	Overspend on equipment- service run by tPCT (net of £120k from NRF)	420
Transport charging	Unachievable saving	158
In house residential service (Hollybank)	Staffing costs	93
Independent residential and nursing placements	New demand and existing placements budget pressure	90
Fairer charging income	Shortfall in budgeted income	90
Missing paperwork adjustment	Residential and nursing overspend	68
Total over spends:		***1,604***
Under spends:		
Allocation from central reserves		-353
Care management & assessment	Slippage due to vacant posts	-35
In house day service (Pinfold)	Slippage due to vacant posts	-28
Amalgamate the total value of all other small under/over spends on this line to ensure total varia		-2
Total under spends:		***-418***
Net overspend		**1,186**

Learning Disabilities

Area	Detail of variation	£'000
Over spends:		
Fiscom	Overspend on supported living	406
Nurrcis exp	Expenditure on high cost forensic packages	394
Links to Work	Overspend on salaries due to fall out of Puissance grant & insufficient budgets	329
Partnership board	Overspend on salaries	39
Narrow Lane	Overspend on salaries & unachieved income levels due to reduced client numbers	33
Homecare income	Shortfall in income	32
Reprovision & development	Overspend on salaries & travel costs	29
Total overspends		**1,262**
Under spends:		
PCT pooled budget income	Additional income for forensic packages	-340
Supported living set-up costs	Underspend on manual payments	-267
Allocation from Central Reserves		-128
Integrated day centres	Vacant posts	-111
PCT contract	One-off saving	-100
Fallings Heath	Underspend on salaries	-63
Nurrcis income	Additional client income to offset increased client packages	-53
Direct payments	Additional income reclaimed from clients	-52
LD suppport	Underspend offered as savings in MAP	-37
Assessment & care mgmt	Underspend on salaries	-35
Brewer St management centre	Underspend on salaries, supplies & services	-33
Amalgamation of all other variances		-38
Total underspends		**-1,258**
Net overspend		**4**

Mental Health

Area	Detail of variation	£'000
Over spends:		
Independent Resi placements	New Demand mainly due to transfer of MH OP services	214
Home treatment service	Staffing costs due to delay in external contract	104
Missing Paperwork Adjustment		33
Amalgamation of other variations		4
Total overspends		***355***
Under spends:		
OP CMHT's	Slippage on vacant posts	-84
Drugs & alcohol	Slippage on vacant post	-28
Total underspends		**-112**
Net overspend		**242**

Other - under spend of approx £47k on directorate overheads, accommodation and supplies and services.

SUPPORT SERVICES

2005/06
£230k under spend – Property costs and Accommodation Leases and Rents.

2006/07
£218k savings on accommodation costs and £99k on vacancy management savings

2007/08
- £172k overspend – due to additional project manager costs.
- £118k under spend – savings from accommodation costs
- £123k under spend – additional income from CSED & DoH

8. Key Service and Cost Drivers

Legislative

There are a number of legislative documents which set out the requirements for provision of adult social care including

- National Health Service and Community Care Act 1990
- Community Care (Direct Payments) Act 1996
- Health Act 1999
- Care Standards Act 2000
- Community Care Act 1990
- Mental Capacity Act 2005
- Mental Health Bill 2006
- The Health Act 1999
- Chronically Sick and Disabled Persons Act 1970
- National Health Service Act 1977

In 2006, the government issued a white paper, Our Health, our care, our say – a new direction for community services, which set out a vision of more effective health and social care services working together. Linked in with this is the guidance "putting people first" which introduces individualised budgets and extends client choice.
This will impact on how Walsall will provide services to people and how services are accounted for. This will include a resource allocation system (RAS) where each client will be awarded a number of points which equates to a set budget per annum to spend on what they want based on their care needs. This will involve a major change to the way that assessment and care management is undertaken, how care is commissioned and paid for and to the clients themselves as they will be more in control of their budget and resource allocation. A major project is underway within Adult Services to redesign services (CSED project). This will generate efficiencies in the medium term but will have a positive impact on clients and a major culture change for the department.

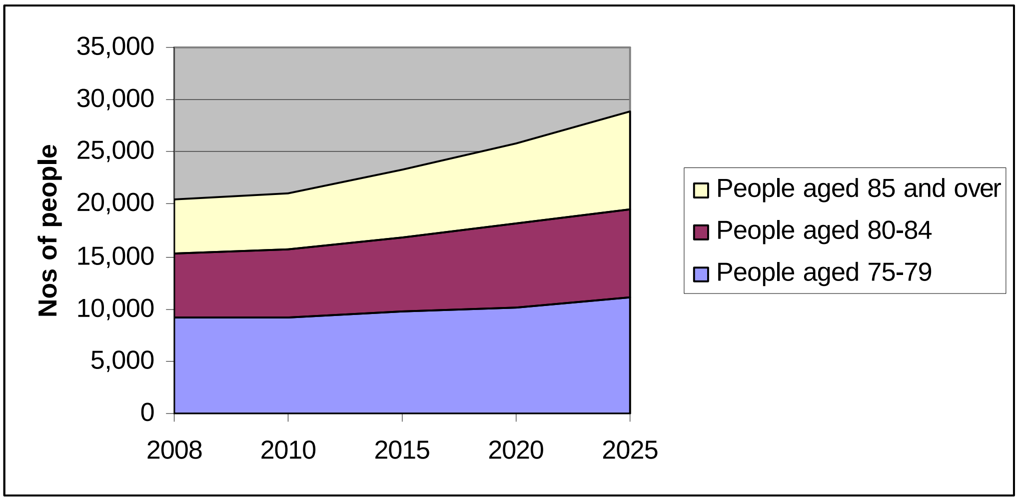
Demographics

Older People

Key Facts: In the West Midlands

- There has been an increase in life expectancy of about 7 years since 1950
- The proportion of older people in the West Midlands will continue to increase.
- 85% of older people live within their own communities independently either with their partner or by themselves.
- The number of older people from BME communities is increasing especially in metropolitan areas.
- Women currently live longer than men so in the older age bands women outnumber men. More than half the women aged over 70 years are widows. More marriages are ending in separation or divorce and this further increases the number of older people likely to be living on their own.
- 80% of people express a choice to die at home.
- The number of people in paid employment decreases with age.
- Participation in further education for older people is very low.

It has been reported for a number of years that the population is aging and that Walsall has a higher than average population of 85+ residents. Walsall's population projection for the age range 65+ can be seen in the following chart (Source POPPI – 05/08/08)



24.3% of households in Walsall contain older persons only, and a further 9.9% contain a mix of both older and non-older persons. Older person-only households are disproportionately comprised of only one person, providing implications for future caring patterns.

Household type	Number of households	% of households
Single pensioner	15,059	14.7%
2 or more pensioners	9,834	9.6%
Single non-pensioner	12,867	12.6%
2 or more adults, no children	33,808	33.0%
Lone parent	5,430	5.3%
2+ adults, 1 child	10,862	10.6%
2+ adults, 2+ children	14,539	14.2%
TOTAL	102,400	100.0%

It is essential for Walsall to develop needs analysis to inform commissioning intentions and priorities, which will have a major impact on the budget as with increasing demand comes an increased requirement to provide services for more people based on the levels within the Fair Access to Care (FACS) criteria. This linked with the individual budgeting requirements and user choice will have an increasing impact on service delivery and budgets over the coming years.

Learning Disabilities as part of a pooled budget with Walsall tPCT

25 [net] new clients per year

- *Increase in proportion of younger adults from the South Asian community*
- *Increase in survival rates amongst young people with profound and multiple learning disability*
- *Reduced mortality but increased incidence of Dementia amongst older adults with learning disability*
- *Ageing family carers unable to provide support any longer*

Due to increased clients and their changing needs, each year from 2008 to 2011 Walsall will need to procure an <u>additional:</u>

- *12 Residential Home placements [inc Residential Colleges]*
- *20 community based respite packages*
- *25 Supported Living packages*
- *22 Domiciliary Care packages*
- *15 Day Service packages*

Further detail on demographics and trends can be seen at **Appendix A**.

Service Modernisation

Based on information on unit costs and service provision there is a need to modernise and develop services to reduce costs and to promote user choice. This involves detailed commissioning activities and retendering of major contracts including external residential and nursing care, external day care, service level agreements and in house residential, nursing and day care provision. Over the coming years it will be necessary to provide a suite of services for users to choose from or indeed for them to have a direct payment for them to purchase their own care. This will change the way services are commissioned, procured and delivered. A major set of projects over the next 2/3 years will see significant change in adult social care.

National Strategies

These direct the way adult social care develops and places duties on departments to implement new or revised policies. The national strategy on drug and alcohol harm reduction, offender accommodation and community safety and respect agendas will mean that authorities will need to have strategies to deal with these developing issues.

Partnership Working

Walsall currently has two pooled budgets with the tPCT (learning disabilities and Integrated Community Equipment Store- ICES). These will be developed over the next few years along with the proposed merger of the Dudley and Walsall Mental Health Services. This will have an impact on how services are provided and the budgets that go with them.

CSCI Involvement

There is a need to focus on the areas identified within the 2007 CSCI Action Plan and develop these once the 2008 Annual Star rating has been issued in November 2008. This will mean developing strategies and work force plans to enable services to improve the outcomes for service users. The outcome of this has a direct impact on the overall star rating for the council.

9. Cost and Performance Analysis

A complete file is available for unit costs and comparative data per client group and per type of indicator e.g. residential and nursing care for OP, day care for Mental Health etc. A couple of overarching comparators from the VFM model and key performance indicators per client group are shown below. The indicators shown relate to the key performance indicators in section 4 and also relate to the new National Indicator Set which will be monitored from 2008/09 onwards.

Based on the draft 2006/7 PSSEX1, Walsall were found to be high on the costs of assessment and care management. In order to bring these costs down, a major project involving CSCI, CSED and Walsall managers is being undertaken which will streamline and dramatically improve the process and generate savings for 09/10 and future years which was included in the 08/09 MTFP. The information from the 2007/08 PSSEX1 will not be available until January 2009.
Other areas which were deemed to be high against the met average were residential and nursing care placements which were on ave 5% higher than the average. The re procurement and retendering of external contracts will help to alleviate this.
The authority was also high on in-house home care for older people. This is now in the process of being externalised which is also shown as a saving for 09/10 in the current MTFP.

OLDER PEOPLE

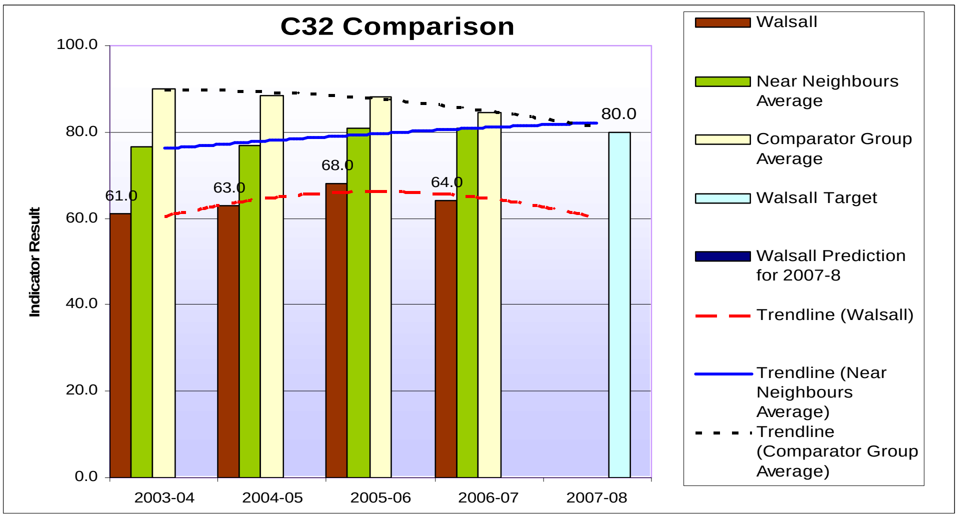
Key themes – Older People (based on 06/7 PSSEX1)

- High expenditure per capita on day care – but average net expenditure per recipient and net cost per session lower than average
- High expenditure on “other” services which includes adaptations and meals
- Average expenditure per capita on home care and residential care
- Residential - high net & gross cost per resident week, low resident weeks / 1000 population, lower than average on charging
- Home care – no’s receiving home care 4th lowest;

OLDER PERSONS EXP PER HEAD – AUDIT COMMISSION VFM TOOL	
Authority name	**2007**
Rochdale Metropolitan Borough Council	1122.20
Wolverhampton City Council	1121.18
Sandwell Metropolitan Borough Council	1115.09
Tameside Metropolitan Borough Council	1044.21
Coventry City Council	1041.31
Stoke on Trent City Council	1023.49
Bolton Metropolitan Borough Council	1018.48
Rotherham Metropolitan Borough Council	1016.57
City of Wakefield Metropolitan District Council	989.25
Walsall Metropolitan Borough Council	**975.41**
St Helens Metropolitan Borough Council	957.14
Oldham Metropolitan Borough Council	927.34
Doncaster Metropolitan Borough Council	889.26
Stockton-on-Tees Borough Council	860.50
Dudley Metropolitan Borough Council	809.01

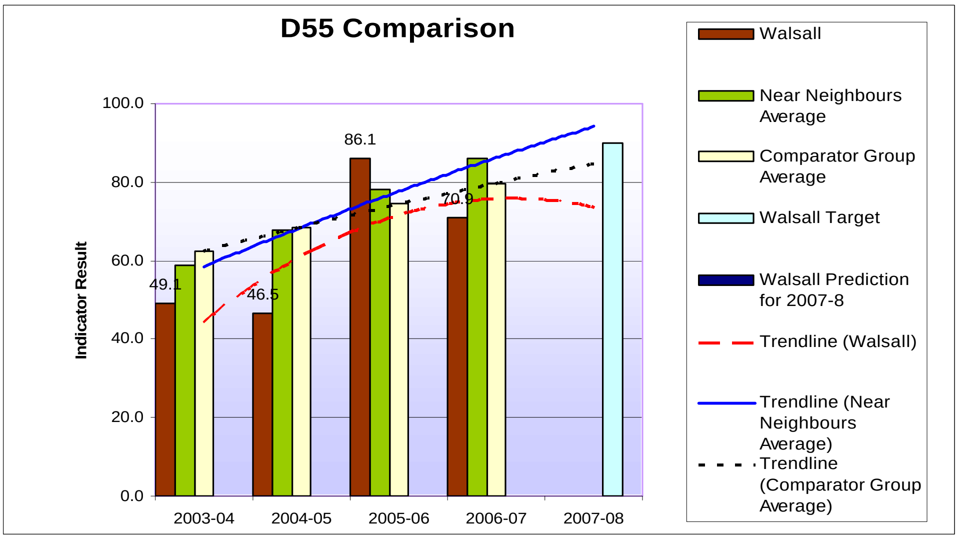
Current monitoring - C32 definition: Older People helped to live at home per 1,000 population aged 65 and over.

Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored – NIS 136 People supported to live independently through social services. (LAA)



Current monitoring - D55 definition: For new older clients, the average of (i) the percentage where the time from first contact to contact with the client is less than or equal to 48 hours (2 calendar days), and (ii) the percentage where the time from first contact to completion of assessment is less than or equal to four weeks (28 calendar days).

Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored - NIS 132 Timeliness of social assessment - Acceptable waiting times for assessments: For new clients, the percentage from where the time from first contact to completion of assessment is less than or equal to 4 weeks.



Current monitoring D56 definition: For new older clients, the percentage for whom the time from completion of assessment to provision of all services in the care package is less than or equal to 4 weeks.

Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored - NIS 133 Timeliness of social care packages
Acceptable waiting times for delivery of care packages following assessment: For new clients aged 65+, the percentage for whom the time from completion of assessment to provision of services in the care package is less than or equal to 4 weeks.

YOUNGER ADULTS WITH A DISABILITY

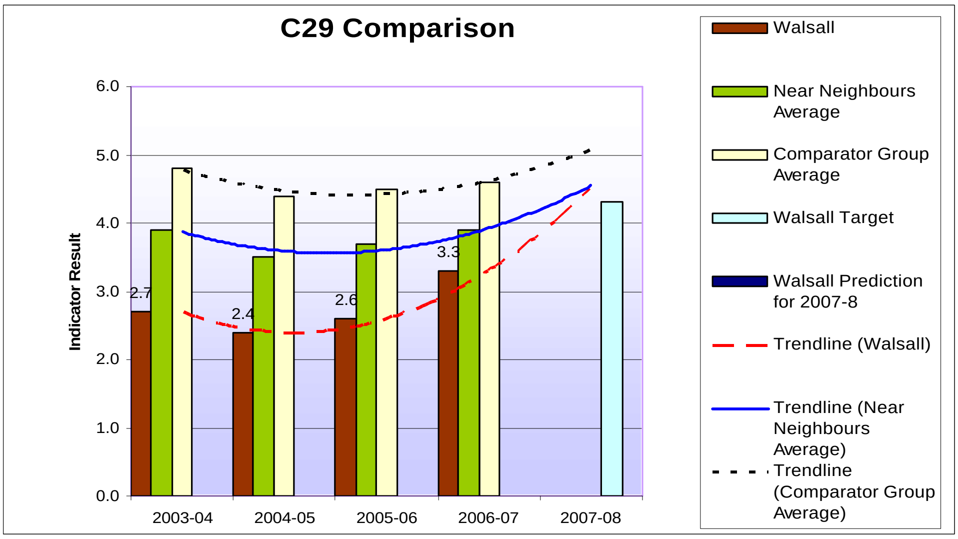
Key themes – Younger Adults (based on 06/7 PSSEX1)

- 5th highest assessment & care management (£6.20 v ave of £4.50 – issue of how this is calculated compared with others?)
- 4th highest residential care – high net cost/resident week, lower than average resident weeks, lower than average charges, highest gross cost per week for independent sector homes
- Lower than average day care and 5th lowest expenditure per recipient and low net cost per session, high no of recipients per 1000 pop
- Lower than average home care

YOUNGER ADULTS EXP PER HEAD – AUDIT COMMISSION VFM TOOL	
Authority name	**2007**
Derby City Council	56.41
Oldham Metropolitan Borough Council	52.55
Walsall Metropolitan Borough Council	**49.78**
Tameside Metropolitan Borough Council	45.06
Rotherham Metropolitan Borough Council	44.81
St Helens Metropolitan Borough Council	42.79
Coventry City Council	41.56
Dudley Metropolitan Borough Council	39.16
City of Wakefield Metropolitan District Council	38.32
Wolverhampton City Council	37.42
Bolton Metropolitan Borough Council	37.03
Stockton-on-Tees Borough Council	34.78
Sandwell Metropolitan Borough Council	33.04
Doncaster Metropolitan Borough Council	32.90

A detailed piece of work is being undertaken to review the costs of all YADS placements but although numbers are comparatively low, the average unit cost is fairly high. The retendering of residential and nursing care placements should support this, but individual packages are being addressed in 08/ 09

Current monitoring - C29 definition: Adults with physical disabilities helped to live at home per 1,000 population aged 18-64
Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored - NIS 136 People supported to live independently through social services. (LAA)

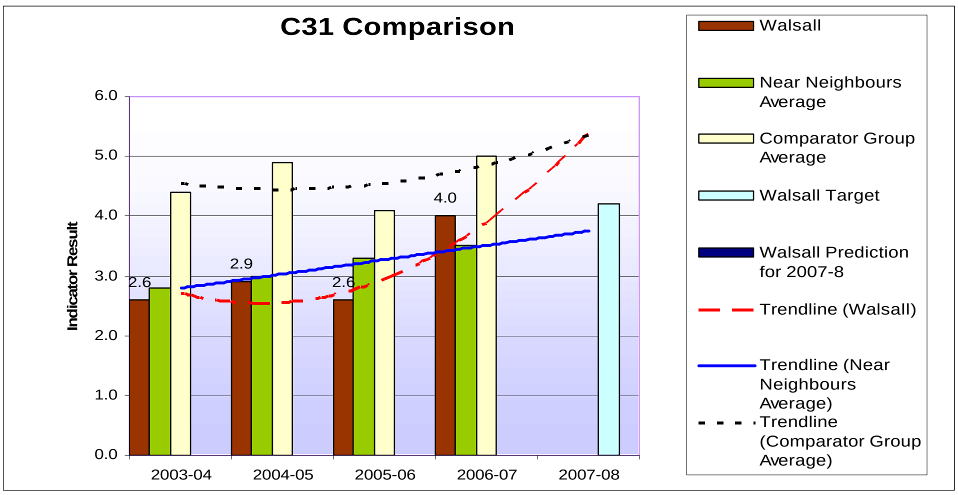


CLIENTS WITH MENTAL HEALTH NEEDS

Key themes – Mental Health (based on 06/7 PSSEX1)

- 5th highest assessment & care management (£6.70 v ave of £5.60 – issue of how this is calculated compared with others?)
- 5th highest residential care – average net cost/resident week, higher than average resident weeks, lower than average charges, Average day care and 4th highest expenditure per recipient but lowest net cost per session, and highest weekly sessions per resident
- Significantly lower than average home care

Current monitoring - C31 definition: Adults with mental health problems helped to live at home per 1,000 population aged 18-64.
Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored - NIS 136 People supported to live independently through social services. (LAA)



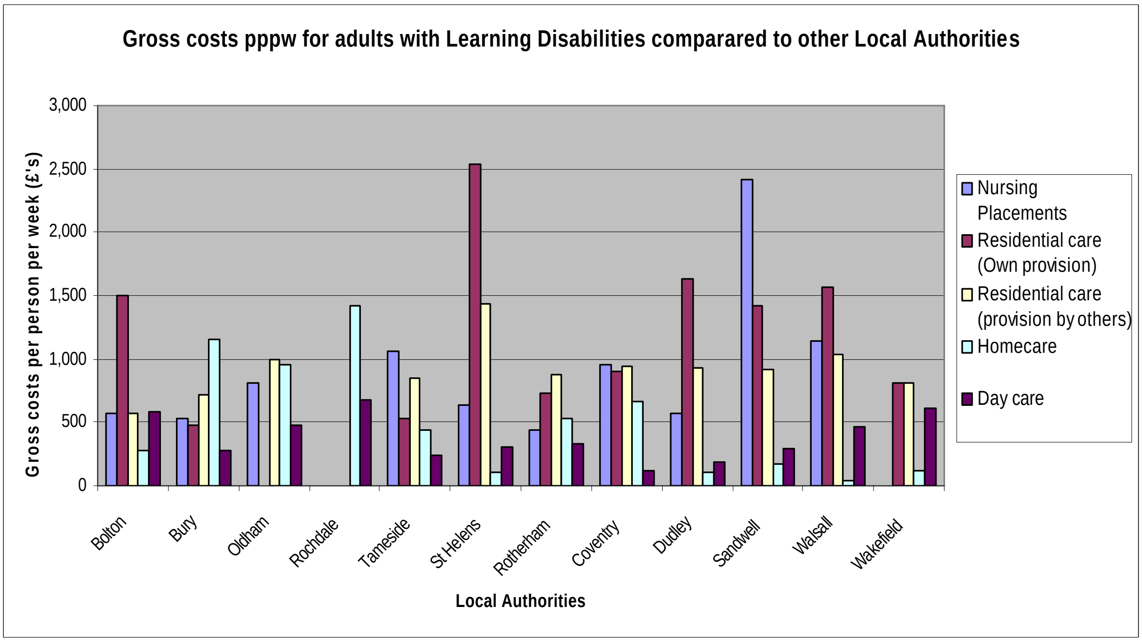
MH expenditure per head <65	
Authority name	**2007**
Wolverhampton City Council	43.25
Walsall Metropolitan Borough Council	**42.49**
Sandwell Metropolitan Borough Council	38.85
St Helens Metropolitan Borough Council	34.87
Stockton-on-Tees Borough Council	34.15
Bolton Metropolitan Borough Council	33
Derby City Council	30.67
Rotherham Metropolitan Borough Council	30.46
Tameside Metropolitan Borough Council	30.32
Rochdale Metropolitan Borough Council	30.11
Dudley Metropolitan Borough Council	28.18
Stoke on Trent City Council	27.85
Coventry City Council	26.64
City of Wakefield Metropolitan District Council	26.33
Oldham Metropolitan Borough Council	24.93

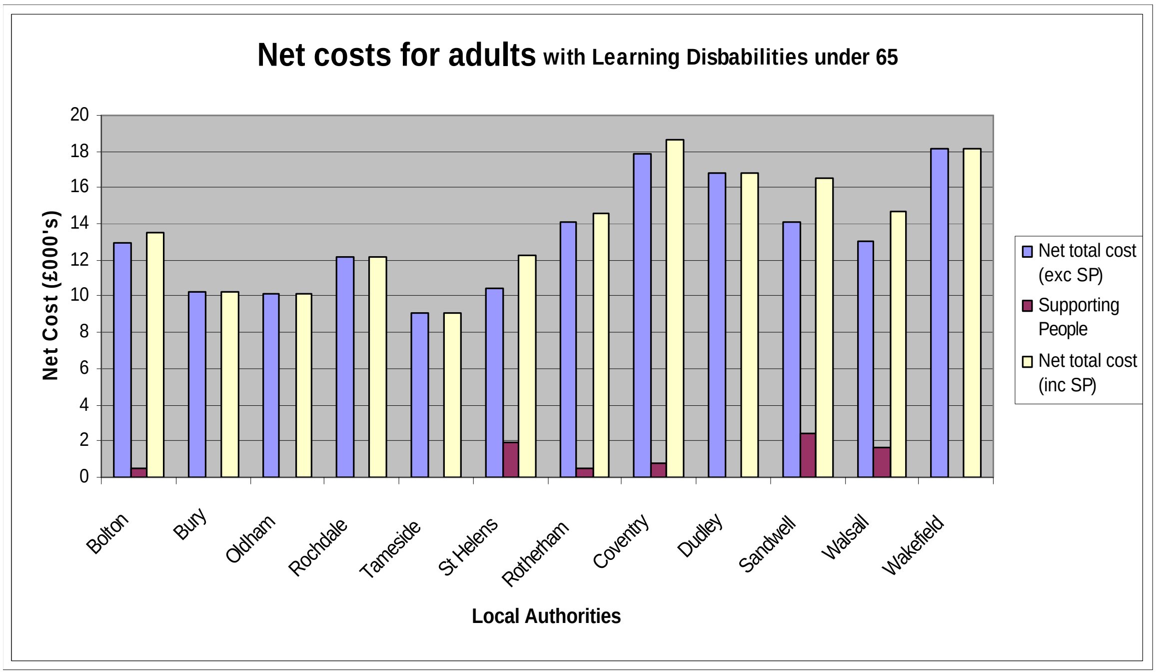
The Walsall figure includes a substantial number of people over 65 which shows that this area is high, but the corresponding older people's average is fairly low. Other authorities may show all there over 65s in older people where Walsall show them in Mental Health

CLIENTS WITH A LEARNING DISABILITY

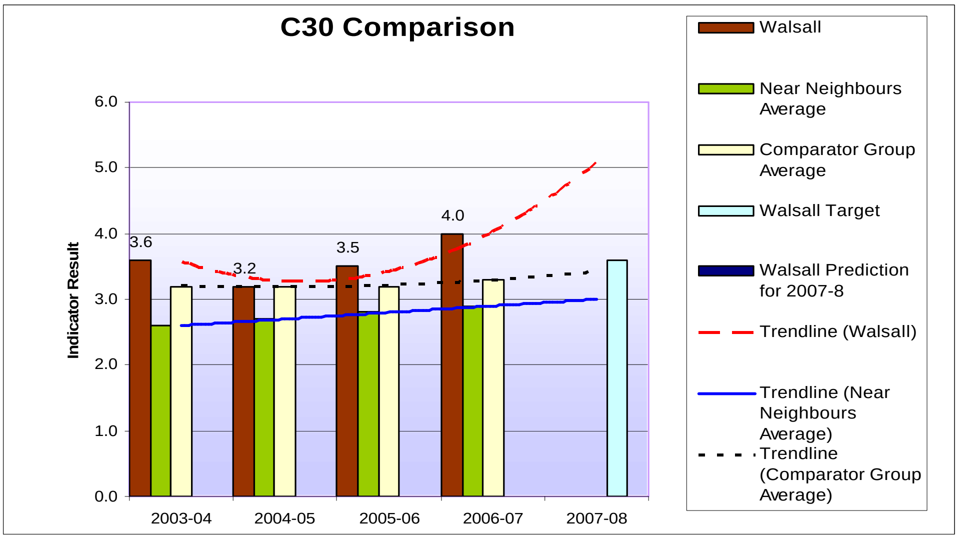
Key themes – Learning Disabilities (based on 06/7 PSSEX1)

- *Highest assessment & care management (£10.90 v ave of £4.10 – issue of how this is calculated compared with others?)*
- *Highest residential care*
- *Lower than average day care but 3rd highest expenditure per recipient but low net cost per session – 2nd highest weekly sessions per recipient – this includes Supported Employment so may artificially inflate no's of sessions*
- *Extremely low home care*





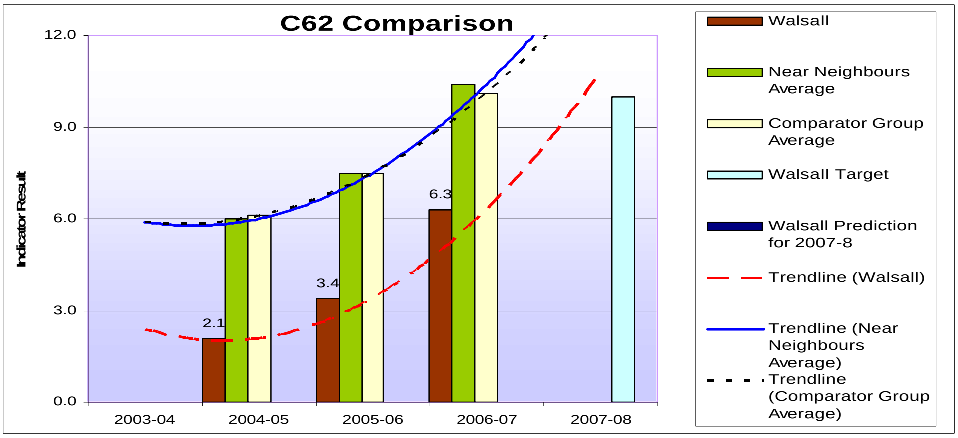
Current monitoring - C30 definition: Adults with learning disabilities helped to live at home per 1,000 population aged 18-64.
Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored - NIS 136 People supported to live independently through social services. (LAA)



GENERAL INDICATORS ACROSS ALL CLIENT GROUPS

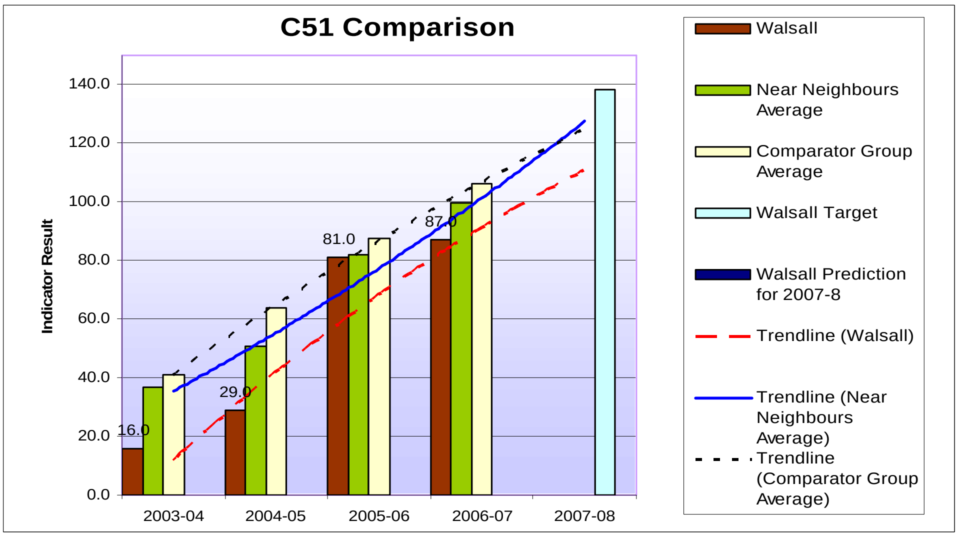
Current monitoring - C62 definition: The number of carers receiving a 'carer's break' or a specific carer's service as a percentage of clients receiving community based services.

Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored. - NIS 135 Carers receiving needs assessment or review and a specific carer's services, or advice and information. (LAA)



Current monitoring - C51 definition: Adults and older people receiving direct payments at 31 March per 100,000 population aged 18 or over (age standardised).

Future Monitoring 2008/09 - Included within the National Indicator Set and will be monitored - NIS130 Social care clients receiving Self Directed Support per 100,000 population i.e. Number of adults, older people and carers receiving social care through a Direct Payment (and/or an Individual Budget) in the year to 31st March per 100,000 population aged 18 or over (age standardised) (for population 18-64 and 65-74, 75-84 and 85+).



APPENDIX A

Demographics and Trends from 2005/06 to 2011/12

<u>MENTAL HEALTH (OLDER PEOPLE WITH MENTAL HEALTH NEEDS)</u>

Clients in Walsall with Dementia

There are currently 120 clients within the service. Between July 07 and July 08 there was an increase in 20 clients, the following tables of demography show a significant increase in client numbers over the next 10-15 years. It is estimated using this information that client nos will increase by 5% pa. This equates to 1 additional client over and above the existing 20 clients per annum. By the end of 2008/2009 it is estimated that there will be 132 clients based on average of 1.66 clients per month. This will rise to 185 clients supported by March 2011.

In this needs assessment we have used prevalence figures (i.e. the proportion of people with dementia at a given time) from the Medical Research Council's Cognitive Function and Ageing study. These are fairly comparable with figures from other large studies, including EURODEM (European Commission Concerted Action on the Epidemiology and Prevention of Dementia (1991) and the 2007 figures recently published in the Dementia UK study commissioned by the Alzheimer's Society[1].

Table 1: Prevalence of dementia by gender and age band (%)

	30-64	**65-69**	**70-74**	**75-79**	**80-84**	**85+**
Males	0.07	1.4	3.1	5.6	10.2	19.6
Females	0.07	1.5	2.2	7.1	14.1	27.5

(Source: MRC CFAS, 1998)

These figures were applied to male and female population projections for Walsall to estimate numbers of people with dementia locally (Table 2 and figure 1).

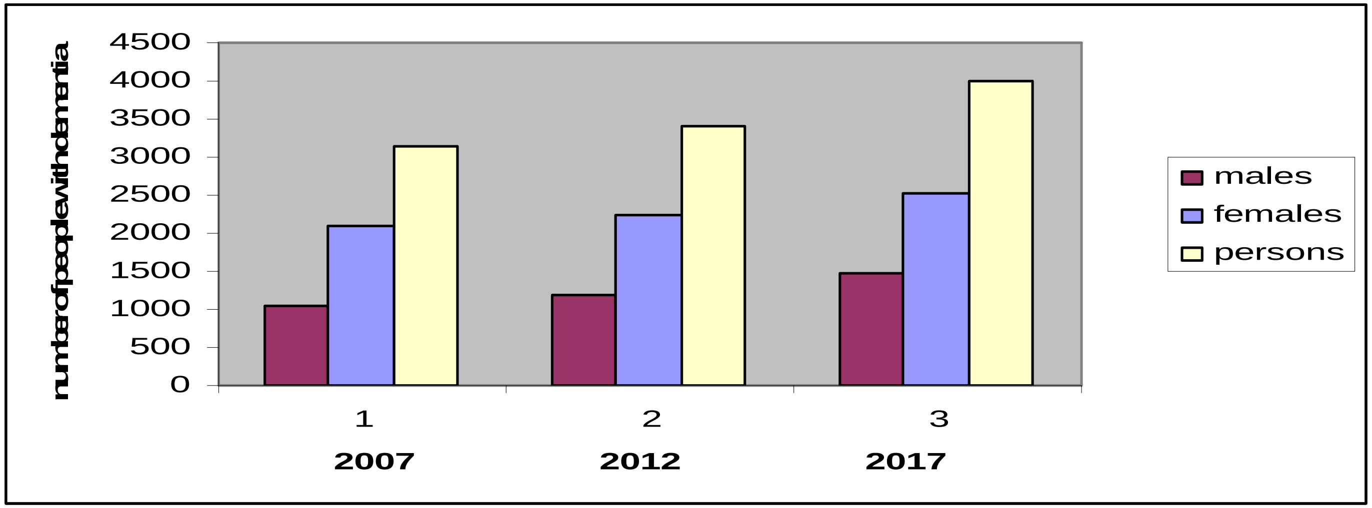
Table 2: Estimated number of people with dementia in Walsall 2007-2017

	2007			2012			2017		
	Males	females	persons	males	females	persons	males	females	Persons
30-64	39	40	79	37	39	76	36	39	75
65-69	85	98	183	90	107	196	87	98	184
70-74	158	128	286	164	132	296	177	145	322
75-79	218	362	581	230	355	585	269	391	659
80-84	245	508	752	286	550	836	347	606	953
85+	294	963	1257	372	1045	1417	568	1238	1806
	1039	2097	**3137**	1179	2227	**3406**	1484	2516	**3999**

(Source: MRC CFAS 1998, ONS population projections)

- There will be a marked increase (25.3%) in the number of people with dementia over the next ten years. This projection concords with the 38% increase over 15 years estimated in the Dementia UK report.
- This increase reflects the shift in age structure of the population.

Figure 1: Estimated numbers of people with dementia, 2007 – 2012



Source: MRC CFAS 1998, ONS population projections)

OLDER PEOPLE – GENERAL – DEMOGRAPHIC TRENDS

This data is from the refreshed POPPI older persons Demographics tool issued on 5 August – the FYE growth bid from 08/09 will need to be refreshed during stage 2 of this process.

Table A - Next 5 years – Walsall

	2008	2009	2010	2011	2012
People aged 75-79	9,200	9,100	9,100	9,200	9,200
People aged 80-84	6,100	6,300	6,500	6,700	6,900
People aged 85 and over	5,200	5,300	5,500	5,600	5,800

Table B – Projection up to 2025 – Walsall

	2008	2010	2015	2020	2025
People aged 75-79	9,200	9,100	9,800	10,200	11,100
People aged 80-84	6,100	6,500	7,100	7,900	8,400
People aged 85 and over	5,200	5,500	6,500	7,700	9,400

The following calculation was done for the 2008/09 MTFP – this calculation has been refreshed using the new demographic figures released in August. The population figures have worsened for 2010/11 and future years and have had an increased impact on cost of services for Walsall. The effect for 0910 has improved and will be shown as a saving against the budget for 0910 onwards at stage 2 of the process

Older persons Demographic Growth bid calculation based on 050808 growth figures

AGE GROUP	08/09	09/10	10/11	11/12	12/13
	2009	2010	2011	2012	2013
AGES 65-69	12.60	12.80	12.90	13.00	13.50
AGES 70-74	11.10	11.30	11.30	11.20	11.30
AGES 75-79	9.20	9.10	9.10	9.20	9.20
AGES 80-84	6.10	6.30	6.50	6.70	6.90
AGES 85+	5.20	5.30	5.50	5.60	5.80
	44.2	44.8	45.3	45.7	46.7
Total 75-84 yr olds	20.50	20.70	21.10	21.50	21.90
% increase in 75-84 yr olds	0.98%	1.93%	1.90%	1.90%	1.86%
Applied to res nursing care budget (independent sector - end July 08)	12,294,000	12,294,000	12,294,000	12,294,000	12,294,000
Applied to home care and dp - end July 08	7,361,000	7,361,000	7,361,000	7,361,000	7,361,000
TOTAL	**19,655,000**	**19,655,000**	**19,655,000**	**19,655,000**	**19,655,000**
Additional funding - Residential + nursing x 12.294	119,941	237,565	233,062	233,062	228,726
Additional funding - Home care x 7.361	71,815	142,242	139,545	139,545	136,949
Total new growth per financial year	**191,756**	**379,807**	**372,607**	**372,607**	**365,674**
Total 0506 to 0809	1,081,334				
less demographic growth approved in 0708	-554,087				
Staffing required 08/09 x 3 (to manage additional gth)	69,000				
growth 08/09		596,247			
growth 09/10			976,054		
growth 10/11				1,348,660	
growth 11/12					1,721,267
growth 2008/2009 required based on population proj	**596,247**				
growth 2009/2010 required based on population proj		**976,054**			
growth 2010/2011 required based on population proj			**1,348,660**		
growth 2011/2012 required based on population proj				**1,721,267**	
growth 2012/2013 required based on population proj					**2,086,941**

submission as per decision conferencing					
		09/10	**10/11**	**11/12**	**12/13**
New Investment / additional bid required		**-87,494**	**213,112**	**249,719**	**615,393**
FYE amount in MTFP for 0910 to 11/12		539,000	611,000	947,000	947,000
Amount approved and in budget for 089 onwards		524,548	524,548	524,548	524,548
Total		**976,054**	1,348,660	1,721,267	2,086,941

LD demographics

There are currently 900 service users who are known to the service, using transition data this is Anticipated to increase to 934 service users in 9/10 and 946 service users in 10/11. The population of Walsall is predicted to increase gradually from 254,500 last recorded in 2006 to 273,900 in 2031.

This is due to increased numbers of younger people and increases in net migration. Concentrating on the demographic projections for Walsall from 2005 to 2009 we can see that the overall split of male and female in the adult population remains the same i.e. 48.1% Male and 51.9% Female. Over the same period the total adult population increases by 0.63%. However there are marked variations in age groups. The adult male population aged 18 – 64 decreases over the period by 0.54% while the male's aged 65+ increases by 5%. The adult female population aged 18 -64 does not change while the females aged 65 + increase by 2.5%. These projections indicate the need to address a trend towards an increasing older population, the work pursued by Valuing People, Learning Disability Task Force (2004) and the research by Emerson and Hatton (2004) estimate that the number of people with learning disabilities will increase by 1% each year until 2011 and by 1.2% each year until 2021.

APPENDIXB
Analysis of Investments and Savings

	Budget Category for Investment /Saving (see below)	2005/06 £000	2006/07 £000	2007/08 £000	2008/09 £000
BASE BUDGET		55,196	59,392	58,636	63,253
<u>INFLATION AND OTHER INCREASES:</u>					
Employees		1,219	1,125	839	793
Other Costs		1,770	2,538	1366	5,637
Income					
Removal of one off Items			-997	-15	
FYE of previous investment/savings		1,304	877	611	1,365
TOTAL INFLATION AND OTHER INCREASES:		**4,293**	**3,543**	**2,801**	**7,795**
<u>INVESTMENTS (list detail)</u>	*				
Demographic Growth	D		350	554	1,447
Independent Living Centre Continuation	G				85
Occupational Therapy Staffing	D				164
Client Based Income Shortfall	D				450
Criminal Justice and Social Inclusion	N				60
Interim mgt – service improvements	Q				139
High Cost Packages - LD	N			267	
Increased resources for CHMT	N			50	
Understanding BME Needs	L			40	
Direct Payments Support	D		109		
Support to I-Proc Team	N		49		
Restructure in OP & PDSI	Q	48			
PDSI Development Budget	N	50			
Home Treatment Services – Mental Health	N	40			
Joint Mgmt Arrangements – Mental Health	N	80			
Fall out of Mental Health Grant	G	44			
Mental Health Social Worker Posts	N	70			
SP Contracting support	N	23			
Monitoring of Agency Contract	Q	20			
Home Care Contract Monitoring	Q	20			
Commissioning Support – PDSI	Q	19			
Direct Payments Support	N	19			
Agency Staff Clearing House	Q	30			
SCI Improvement Plan	Q	205			
TOTAL INVESTMENTS		**668**	**508**	**911**	**2,345**

SAVINGS (list Detail)	**Budget Category for Investment /Saving (see below)**	**2005/06 £000**	**2006/07 £000**	**2007/08 £000**	**2008/0 £00**
Working with Ind Sector to reduce the cost of placements & provide contract efficiencies	CRE				-1,00
Reduced spend on Interim mgmt	SR				-13
Use of assistive technology	CRE				-30
Mgt restructure in YADS & LD	CRE				-2
Out of Hours Team – Mental Health	CRE			-240	
Mgt Restructure – YADS & LD	CRE			-155	
Removal of Gratuities Budget	SR			-15	
Reduction in Accommodation budget	SR			-70	
Increased income through benefits maximisation	FC			-65	
Standard Charges Income (OP)	FC			-20	
Meals on Wheels Income generation	FC			-30	
Fairer Charging Movements	FC			-98	
Charging for Transport within Adults	FC			-468	
Deletion of hospital social work team	SR			-301	
Reduced value of SLA for Day Care	SR			-50	
Refocus policy function	SR		-52		
LD – Redn in respite block contract	SR		-72		
Improvement in corporate procurement	CRE		-100		
Termination of SLA with OP	SR		-74		
YADS redn in posts	SR		-38		
Domiciliary Care FYE	SR		-75		
Support Services Departmental Budget	SR		-23		
Mental Health – Redn in posts	SR		-60		
Reconfigure Risk Pool	SR		-85		
Health & Safety Budget Efficiencies	SR		-45		
General Efficiencies – Agency Staff	SR		-65		
Team Walsall	SR		-92		
LD Review & Team Structure	SR		-120		
LD Review Taxis	SR		-20		
YADS – Redn in posts	SR		-104		
Business Support	SR		-13		
OP external day care	SR		-100		
Equalities	SR		-10		
Equalities vacant post	SR		-30		
Increase in fees & Charges – comm. Care	FC		-100		
Preserved Rights	SR		-145		
Cessation of funding for vacated post	SR		-45		

	Budget Category for Investment /Saving (see below)	2005/06 £000	2006/07 £000	2007/08 £000	2008/0 £00
Bus Support – redn in grants to vol bodies	SR		-84		
Increased levels of vacancy mgmt	SR	-356			
Savings in Residential Care Placements	SR	-827			
Reduction in Agency Staff	SR	-420			
TOTAL SAVINGS		**-1,603**	**-1,553**	**-1,512**	**-1,46**

APPROVED BUDGET		**58,553**	**61,891**	**60,836**	**71,93**
Total No. Of FTEs	761.9				
Older People	329.5				
Mental Health	72.7				
Learning Disabilities	219.5				
Physical Disabilities	140.2				

Budget Categories for Investment		**Minus Saving / Efficiency**	
* Please Select category from list below :			
Plus Investment			
Additional services i.e. increase in demand	D	Additional Fees and Charges	FC
Additional services i.e. changes in legislation	L	Additional Grant	AG
Additional services i.e. other (new)	N	Contribution from other Stakeholders	CS
Quality Improvement i.e. investment to improve quality of service delivery	Q	Service Reductions	SR
Fall out of Grant	G	Other	O
Revenue Effects of Capital Programme	C	Contractual / Procurement	CP
Invest To Save	I	Cash Releasing Efficiencies	CRE
Pump priming / one off Investment	O	Virements to other services	V
Virements to other services	V		

APPENDIX C

Key Pressures and Invest to Save Opportunities – 3 Year Forecast

Please list the key pressures that the service is facing over the next three years and outline the potential revenue and capital implication/costs including need for revenue and capital investment – Excludes Full Year Effect which is being treated separately for this exercise

			POTENTIAL REVENUE IMPLICATION			POTENTIAL CAPITAL IMPLICATION		
SERVICE NAME	**CAUSE OF PRESSURE**	**DESCRIPTION OF PRESSURE**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**
Disability Services	D	**Day Services Modernisation** As part of a programme of day care modernisation Northgate ATC has already closed with clients relocated at satellite units. Over the next three years Goscote and Brewer Street ATCs will close and capital will be required to build a new specialist facility at Goscote. A further feasibility study will be available in September / October. Order of closures will be Northgate, Brewer Street and then Goscote. Goscote ATC will be the last facility to close in 9/10. The 'new' Goscote day care centre will open in 10/11 Revenue costs are the double running costs in having to keep existing facilities' open whilst paying for newly commissioned services for existing clients at day centres.	200	400		750	250	

			POTENTIAL REVENUE IMPLICATION			POTENTIAL CAPITAL IMPLICATION		
SERVICE NAME	**CAUSE OF PRESSURE**	**DESCRIPTION OF PRESSURE**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**
Disability services	Capital	**Hollybank Capital Bid** Potential for Hollybank to become specialist rehab centre. Local Authority may require to part fund £800k capital project with tPCT.					300	
Mental Health	Capital	MH approved single capital pot funding in 9/10 and 10/11 **Included in capital strategy**				137	137	
Disability services	D	**Younger Adults Growth – 09/10** required for people living longer with severe disabilities'. Difficult to predict due to strokes, car accidents, brain injury. Currently 37 more clients at end of each year calc based on ave 18 clients (FYE) with ave package of £168 per week	323	646	969			
Disability services	D	**Demographic Growth Learning Disability new clients in 09/10** Transitions for 35 people – 15 people funded via social care (i.e. residential care, day care etc) and 20 funded via TPCT. Clients will require support in residential and nursing care / day care etc (figures needs to be verified)	928	1856	2784			

			POTENTIAL REVENUE IMPLICATION			POTENTIAL CAPITAL IMPLICATION		
SERVICE NAME	**CAUSE OF PRESSURE**	**DESCRIPTION OF PRESSURE**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**
Mental Health	D	**Clients with mental health 08/9 -** Current shortfall in Mental Heath budget created by increase in clients with dementia – 08/9 clients	300	300	300			
Mental Health	D	**Drug and Alcohol misuse services** Residential detox placements. Based on 2 additional placements at a net cost of £578 per week	60	60	60			
Mental Health	D	**Clients with mental health 09/10 -** Current trends show that approx 12 new clients will need funding for each new year from 9/10 onwards. There are currently 120 clients. By march 09 it is expected that there will 132 clients Assuming a 5% increase in new clients means 26 new clients by march 10 i.e. 158 clients, rising to 185 by mar 11	169	351	526			
Older People	D	**Older People from 10/11** Based on population projections issued on 5 August 2008 additional funding will be required for new clients from 0910 onwards (based on increases for 74+ yr olds only)		213	250			
TOTAL			**1,980**	**3,826**	**2,105**	**887**	**687**	**-**

Cause of Pressure Categories are:

Additional services i.e. increase in demand	D
Additional services i.e. changes in Legislation	L
Additional services i.e. other (new)	N
Quality Improvement i.e. investment to improve service delivery	Q
Fall out of Grant	G
Revenue Effects of Capital Programme	C
Invest To Save	I

Please note that this is the first stage of identifying potential future pressures and invest to save and should be at high level. Stage 2 of the process will cover a more detailed investment/saving exercise. Stage one will allow us to update our medium term financial plan and brief CMT and Cabinet on potential future cost pressures and funding opportunities.

APPENDIX C
External Funding Opportunities

Please identify any current schemes or projects that attract external funding where grant is due to fall out in the period 2009/10 to 2011/12. Please also state exactly when this grant is due to fall out

Please identify any further potential opportunities for levering in capital and revenue funding to support service objectives and priorities over the next 3 years.

The department of health has issued guidance and allocations until 2010/11 for all the ring fenced grants. It is not known whether these will continue after this period, although many of them are linked to legislative needs. Should these grants not transfer to RSG (revenue support grant) or the Area Based Grant (ABG) then this will have an impact on budgets and service needs. It is expected that the DoH will confirm their intentions for 2011/12 in late December 2008.

The social care reform grant which rises by ~£0.5m in 0910 and 1011 will be used to support personalisation and user choice. This grant will cease in 1011 and any services provided with this grant will need to be mainstreamed after this time.

It is expected that additional funding from Department of Health and Regional Improvement programmes will be attracted to fund CSED work streams

APPENDIX D

Capital Asset Assessment

There are currently 10 older persons homes, of which 7 will be surplus to requirements during 9/10 and 10/11 due to Housing 21 reprovision programme. Learning Disabilities have 2 residential homes which will be reprovided over the next few years. The Allens centre and Oak Tree House support services which will continue in their current state. Hollybank House is likely to be reprovided for which a capital bid has been submitted. Roscoe and Brewer Street ATCs will close once the new day care multi purpose centre has opened for which a capital bid has been completed. Pinfold will continue as day care service for Younger Adults. There are 12 elderly persons clubs which will continue. Links to Work is expected to continue trading as a social enterprise so the asset may transfer during future years to a partnership approach. Rushall Mews intermediate care service is being refurbished during 2008/2009. Darlaston and Willenhall Health centres will continue in the medium term. The occupation of Tameway Tower will decrease once civic centre rationalisation is complete

Description		YADS , LD, MH, OLDER, SUPPORT SERVICES	CFR 2007 /8	Oracle code		NI	Dep't	Date of valuation	Life of asset (years)	Property value	Site value	Total valuation
SANSTONE HOUSE, SANSTONE ROAD, BLOXWICH	Elderly	Elderly, Sanstone Resource Centre		5711	1302921	85900	85800	27-Feb-04	30	620,000.00	200,000.00	820,000.00
DELVES HOUSE, BROCKHURST CRESCENT, WALSALL	Elderly	Elderly, Delves Resource Centre		5711	1302521	85900	85800	27-Feb-04	40	712,500.00	237,500.00	950,000.00
BENTLEY HOME, WILKES AVENUE, BENTLEY	Elderly	Elderly, Bentley Resource Centre		5711	1302321	85900	85800	27-Feb-04	40	500,000.00	175,000.00	675,000.00
CASTLEVIEW HOME, CASTLEVIEW CLOSE, BILSTON	Elderly	Elderly, Castle view Home		5711	1302421	85900	85800	27-Feb-04	40	262,500.00	87,500.00	350,000.00
SHORT HEATH HOUSE, SANDBEDS ROAD, WILLENHALL	Elderly	Elderly, Short Heath Resource Centre		5711	1303021	85900	85800	28-Feb-04	30	525,000.00	175,000.00	700,000.00
MEADOW HOUSE, STROUD AVENUE, WILLENHALL	Elderly	Elderly, Meadow House		5711	1302721	85900	85800	28-Feb-04	40	562,500.00	187,500.00	750,000.00
BAYTREE HOUSE, ERDINGTON ROAD, ALDRIDGE	Elderly	Elderly, Bay tree Resource Centre		5711	1302221	85900	85800	29-Feb-04	40	750,000.00	250,000.00	1,000,000.00
ST JAMES HOME, SHORT STREET, BROWNHILLS	Elderly	Elderly, St James Resource Centre		5711	1303121	85900	85800	29-Feb-04	40	562,500.00	187,500.00	750,000.00
THE LIMES, LINLEY ROAD, RUSHALL	Elderly	Elderly, The Limes Home		5711	1302621	85900	85800	29-Feb-04	30	562,500.00	187,500.00	750,000.00
BROADWAY NORTH, WALSALL	Mental Health	Mental Health, Broadway North		5741	1328438	85900	85800	23-Jul-04	30	1,201,715.00	1,625,000.00	2,826,715.00
FALLINGS HEATH HAVEN, WALSALL ROAD, WEDNESBURY	Disability services	Learning Disabilities, Fallings Heath House		5731	1307021	85900	85800	27-Feb-04	40	300,000.00	100,000.00	400,000.00
NARROW LANE HOME, NARROW LANE, PLECK	Disability services	Learning Disabilities, Narrow Lane Home		5731	1306721	85900	85800	01-Mar-04	40	320,000.00	105,000.00	425,000.00
HOLLYBANK HOUSE, COLTHAM ROAD, WILLENHALL	Mental Health	Mental Health, Holly Bank House		5721	1308021	85900	85800	27-Feb-04	40	355,000.00	120,000.00	475,000.00
ALLENS CENTRE, HILTON ROAD, WILLENHALL	Support Services	Support Services, Allen's Rough-Office Accommodation		5771	1323437	85900	85800	04-May-04	30	225,000.00	75,000.00	300,000.00
OAK TREE HOUSE, HILTON ROAD, WILLENHALL	Support Services	Support Services, Allen's Rough-Office Accommodation		5771	1323437	85900	85800	27-Mar-04	20	15,000.00	5,000.00	20,000.00

Description		YADS , LD, MH, OLDER, SUPPORT SERVICES	CFR 2007 /8	Oracle code		NI	Dep't	Date of valuation	Life of asset (years)	Property value	Site value	Total valuation
DAY CARE CENTRE, DARTMOUTH HOUSE, RYECROFT PLACE, WALSALL	Elderly	Elderly, Dartmouth House Day Centre		5711	1306025	85900	85800	29-Mar-04	30	2,252,191.50	12,500.00	2,264,691.50
SHORT HEATH DAY CARE CENTRE, SANDBEDS ROAD, WILLENHALL	Support Services	Support Services, Short Heath Resource Centre		5711	1303025	85900	85800	29-Mar-04	40	355,715.00	650,000.00	1,005,715.00
STREETS CORNER DAY CARE CENTRE, BROWNHILLS ROAD, WALSALL WOOD	Elderly	Elderly, St James Resource Centre		5711	1303121	85900	85800	29-Mar-04	50	575,000.00	650,000.00	1,225,000.00
GOSCOTE ATC, HARDEN ROAD, LEAMORE	Elderly	Learning Disabilities, Goscote A.T.C		5731	1307625	85900	85800	23-Jul-04	20	1,065,000.00	775.00	1,065,775.00
GOSCOTE ATC, GOSCOTE LANE, WALSALL	Elderly	Learning Disabilities, Goscote A.T.C		5731	1307625	85900	85800	23-Jul-04	40	1,109,190.00	47,500.00	1,156,690.00
BREWER STREET ATC, BREWER STREET, WALSALL	Learning Disabilities	Learning Disabilities, Brewer St A.T.C		5731	1307325	85900	85800	23-Jul-04	30	1,116,930.00	525,000.00	1,641,930.00
PINFOLD DAY CARE CENTRE, FIELD CLOSE, BLOXWICH	Physical Disabilities'	Physical Disabilities, Pinfold Centre		5721	1308225	85900	85800	29-Mar-04	30	1,158,987.50	250,000.00	1,408,987.50
BENTON SONS OF REST, COMMUNITY CENTRE, BELL LANE, BLOXWICH	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	30	33,750.00	11,250.00	45,000.00
SONS & DAUGHTERS OF REST, STEPHENSON SQUARE, BEECHDALE, WALSALL	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	30	27,500.00	10,000.00	37,500.00
REEDSWOOD SONS OF REST, TALBOT HOUSE, BENTLEY LANE, BIRCHILLS	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	40	34,000.00	11,000.00	45,000.00
LECKIE SONS OF REST, ARBORETUM, LICHFIELD STREET, WALSALL	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	30	30,000.00	2,500.00	32,500.00
ASIAN WOMENS CENTRE, 81 COUNTESS STREET, PALFREY	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	20	5,000.00	5,000.00	10,000.00
PLECK DAUGHTERS OF REST, PLECK PARK, MONTFORT ROAD, PLECK	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	20	7,500.00	2,500.00	10,000.00
PLECK SONS OF REST, PLECK PARK, MONTFORT ROAD, PLECK,	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	30	21,000.00	7,000.00	28,000.00
SONS OF REST, BILSTON STREET, DARLASTON	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	30	54,500.00	18,000.00	72,500.00
BENTLEY OVER 60'S CENTRE , CHURCHILL ROAD, BENTLEY	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	27-Feb-04	40	20,500.00	7,000.00	27,500.00
BROWNHILLS SENIOR CITIZENS CLUB, PIER STREET, BROWNHILLS	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	29-Feb-04	40	37,500.00	12,500.00	50,000.00
SHORT HEATH OLD PEOPLES WELFARE CENTRE, JOHNSON ROAD, WILLENHALL	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	28-Feb-04	20	21,000.00	7,000.00	28,000.00
CRESCENT FRIENDSHIP CLUB, SHEPWELL GREEN, WILLENHALL	Elderly	Elderly, Elderly Clubs		5711	1305228	85900	85800	26-May-04	30	40,500.00	13,500.00	54,000.00
LINKS TO WORK, STEPHENSON AVENUE, BEECHDALE	Learning Disabilities	Learning Disabilities, Links to Work		5731	1308325	85900	85800	27-Feb-04	40	130,000.00	50,000.00	180,000.00

Description		YADS , LD, MH, OLDER, SUPPORT SERVICES	CFR 2007 /8	Oracle code		NI	Dep't	Date of valuation	Life of asset (years)	Property value	Site value	Total valuation
BENTLEY LANE OFFICE BLOCK, ADJACENT TO UNIT 1, WALSALL	Elderly	Elderley,Older People's Social Work Team		5711	1326119	85900	85800	28-Dec-06	10	10,000.00	0.00	10,000.00
9TH FLOOR, TOWNEND HOUSE	Support Services	Support Services General Management		5771	1320137	85900	85800	22-Mar-04	10	10,000.00	0.00	10,000.00
8TH FLOOR, TOWNEND HOUSE						85900	85800			0.00	0.00	0.00
7th FLOOR, TAMEWAY TOWER	Support Services	Support Services, Tameway Tower (7th Floor)		5771	1324837	85900	85800	28-Dec-06	10	10,000.00	0.00	10,000.00
ILC, LOWER AND UPPER GROUND FLOOR, TAMEWAY TOWER						85900	85800			0.00	0.00	0.00
6TH FLOOR, TAMEWAY TOWER	Support Services	Support Services, Tameway Tower (6th Floor)		5771	1307737	85900	85800	22-Mar-04	10	10,000.00	0.00	10,000.00
10TH FLOOR, TAMEWAY TOWER						85900	85800	22-Mar-04	10	10,000.00	0.00	10,000.00
ELECTRIUM POINT, TOLL PARK, LICHFIELD ROAD, BROWNHILLS						85900	85800			0.00	0.00	0.00
RUSHALL MEWS, NEW STREET, RUSHALL	Elderly	Elderly, Rush all Mews		5711	1302836	85900	85800	29-Feb-04	40	750,000.00	250,000.00	1,000,000.00
DARLASTON HEALTH CENTRE, BILSTON STREET, WEDNESBURY	Support Services	Support Services General Management		5771	1320137	85900	85800	01-Mar-04	50	10,000.00	0.00	10,000.00
WILLENHALL HEALTH CENTRE, GOMER STREET, WILLENHALL	Support Services	Support Services General Management		5771	1320137	85900	85800	01-Mar-04	50	10,000.00	0.00	10,000.00

APPENDIX D continued
Capital Asset Assessment

Please comment on the future use of the assets listed above, including:

- *current condition, sufficiency, suitability (are they fit for purpose)*
- *future plans or opportunities for rationalisation, change in use, occupancy, improvement, making fit for purpose (that may or may not entail capital investment) and what support (financial or otherwise) is needed to make that happen*
- *Are there alternatives to direct capital investment: rationalisation, etc.*

AWAITING FURTHER INFO FROM STEVE LAW

Walsall Council

SOCIAL CARE AND INCLUSION DIRECTORATE

STRATEGIC HOUSING

RESOURCE PLANNING DOCUMENT 2009/10 – 2011/12

Resource Planning 2009/10 – 2011/12 – Strategic Housing

Contents

1. Summary of Services provided by the Directorate

Housing standards and Improvement

The Housing Standards and Improvement service aims to deliver improvements in the quality of private sector housing in Walsall. The service works with landlords, tenants and home owners to ensure all housing in Walsall including mobile homes, is safe and healthy to live in. The service gives advice and assistance to improve poor housing standards, is dedicated to helping improve the living conditions of owner occupiers and offers financial and other support to homeowners who cannot afford to properly maintain or heat their homes. Disabled facilities grants to help disabled customers maintain their independence at home are also managed by the service.

Supported Housing

Statutory responsibility for the provision of a comprehensive advice service, linked to the prevention of homelessness.

Statutory responsibility for investigation and determination of all claims of homelessness.

Provision and management of a range of temporary accommodation projects, used to accommodate homeless households.

Provision of housing related support services to help a range of vulnerable client groups (the elderly, gypsies and travellers, refugees) to access services and live independently in the community.

Provision and management of accommodation services to meet the needs of gypsies and travellers.

Supporting people

Supporting people ensures that housing related support services are funded, flexible, reliable, and efficient and complement existing health and social care services.

Housing Strategy & Partnerships

The housing strategy & partnerships service is responsible for producing the housing strategy and monitors agreements between the council and the housing associations operating in Walsall.

The service works with partners such as housing associations, developers, the PCT and the voluntary sector to increase and improve the choice and availability of a variety of housing in Walsall.

The service is responsible for monitoring the performance of the housing service against government and local targets. The service also sets and publishes the performance targets which we will be judged against.

2. Objectives of Service.

Improve the standard, sustainability, choice and access to housing

Develop, improve and build effective partnerships

To help people live independently.

Improve services for BME residents and vulnerable people

Listen to and learn from service users and local people

3. Service Priorities

Housing Strategy & Partnerships

Improve the standard, sustainability, choice and access to housing
Develop, improve and build effective partnerships
To help people live independently
Improve services for BME residents and vulnerable people
Listen to and learn from service users and local people
Increase Housing Choice
Research housing needs of emerging communities.
Customer Involvement
Improve access to housing
Address diverse needs and promote independent living.
Understanding the housing needs of people with a disability.
Deliver the new Supplementary Planning Document.
Work with RSL and Housing Corporation to deliver appropriate housing in the borough.
Continue to monitor changes in the housing market
Work closely with planning to ensure correct tenure mix

Supporting People

Provide an excellent sp service and continually improve
Plan mainstreaming of supporting people grant into LAA
Review needs & supporting people strategy
Improving governance and management of the programme including service users, members and commissioning body.
Improve public information that is available on the programme

Housing Standards & Improvement

Provide excellent Housing standards and Improvement services
Improve the quality of housing in the private sector
Meet Housing standards and improvement needs of diverse community
Promote Independent Living
Reprovision of quality services and improve outcomes for customers
Re-use empty properties

Energy efficiency in Housing properties'
Joint work with OT including major adaptations and DFGs
Health through Warmth Capital Programme
Partnership working with landlords
Increase no. of adaptations to maximise use of external grant.
Regional Kick-start programme for equity release.

Supported Housing

Prevent homelessness, reduce homelessness against main causes
Provide support for people at risk of becoming homeless
Provide more settled homes
Increase the number of people who move on positively from a risk of being homeless
Improve and develop services to travellers and gypsies
Modernise and develop support services providing a broad range of housing related support to vulnerable client groups (the elderly, former homeless households, Gypsies & Travellers, refugees)
Modernisation / reprovisioning of TA
Youth homelessness services
System diagnostic linked to process improvement (KLOE's, CLG, TA reduction plan)
Completion of G&T refurbishment programme
Responding to GTAA/G&T 3 year strategy
Remodelling of NCO service – 55+ crisis intervention/prevention.
Contract resolution programme
Sub regional agenda
Housing services for Asylum seekers and refugees

4. Key Performance Indicators – 2008/2009 targets

Strategic Housing Scorecard 2008/09								
No.	**Description**	**Lead Officer**	**2007/08 Out-turn**	**2008/09 Quarter 1**	**Target 2008/09**	**Performance compared to**		**RAG**
						Last Quarter	**Year End Outturn**	
National Indicator Set								
141	Percentage of vulnerable people achieving independent living	Tracy Simcox	83.90%	To follow in Q2	76%			
COMMENTS: Formerly KPI 2a - Applies to short term services (<2 years) only. SP Performance data, as in previous financial year, is verified & submitted one month in arrears.								
142	Percentage of vulnerable people who have been supported to maintain independent living	Tracy Simcox	98.89%	To follow in Q2	98%			
COMMENTS: Formerly KPI1a - Applies to long term service (>2 years) only. SP Performance data, as in previous financial year, is verified & submitted one month in arrears.								
156	Number of households living in temporary accommodation	Andrea Potts	37	46	45	⇩	⇩	A
COMMENTS: Concern that numbers in TA have risen since last quarter but performance still within TA reduction plan target								
187	Tackling fuel poverty - people receiving income based benefits living in homes with a low energy efficiency rating	Mark Wade	N/A	Annual return	TBC			

Strategic Housing Local PI's								
LPI 1	% social housing meeting the decent home standard	Debbie Parkes	97%	Annual return	98%	n/a	n/a	
COMMENTS: This is an annual return. This indicator is included on the Corporate Scorecard - Social Housing stock in Walsall = 26407 (HSSA data)								
LPI 2	% of total private sector homes vacant for more than 6 months	Debbie Parkes	0.76%	Annual return	0.90%	n/a	n/a	
LPI 3	Number of private sector homes occupied by vulnerable households made decent	Mark Wade	269	39	200	⇔	⇔	**A**
COMMENTS: Cumulative figure - RAG based on annual target divided over 12 months.								
LPI 3a	Percentage of private sector homes made decent occupied by children	Mark Wade	12%	15%				
LPI 4	Number of private properties improved as a result of action by the council	Mark Wade	403	63	350	⇩	⇩	**A**
COMMENTS: Cumulative figure - RAG based on annual target divided over 12 months.								
LPI 4a	Percentage of homes improved occupied by children	Mark Wade	16%	11%				
LPI 5	Number of homes adapted to meet the needs of disabled occupants	Mark Wade	202	34	250	⇩	⇩	**A**
COMMENTS: Cumulative figure - RAG based on annual target divided over 12 months.								
LPI 5a	Percentage of homes adapted occupied by children	Mark Wade	13%	6%				
LPI 6	Average length of time from grant approval to completion of work (DFG)	Mark Wade	N/A	17.03 weeks	24	⇧	⇧	**G**
COMMENTS: Cumulative Total								
LPI 7	Average length of time from grant approval to completion of a stair lift	Mark Wade	27.75	0	12	n/a	⇧	**G**
COMMENTS: Cumulative total. No stair lift adaptations have been completed during quarter 1.								
LPI 8	Average length of time from grant approval to completion of a level access shower	Mark Wade	35	0	12	n/a	⇔	**G**
COMMENTS: Cumulative total. No level access showers have been completed during Q1								

LPI 9	Average length of time from grant approval to completion of works for repair assistance grant	Mark Wade	N/A	0	24	n/a	⇔	**G**
COMMENTS: Cumulative Total. No repair assistance grant applications have been completed during Q1								
LPI 10	% Letters replied to within 15 working days	Patrick Lucas	87.0%	89%	95%	⇧	⇧	**A**
COMMENTS: Reported as a snapshot for each quarter Supported Housing (Q1) 19 letters received, 17 responded to = 89%								

Scrutiny Indicators								
LPI 11	No. of complaints received	Patrick Lucas	41	13		⇧		**G**
	% responded to in 20 days		98%	100%	100%			
COMMENTS: 44 items recorded on Tell Us, breakdown is: 4 requests for information; 13 complaints; 27 compliments								
LPI 12	RSL Void turnaround time	Patrick Lucas	30.57 days	44.76 days		⇩	⇩	
COMMENTS: Scrutiny Indicator.								
LPI 13	Homeless households in TA who accepted an offer of accommodation	Julie Jones	72	14		⇩		
LPI 14	Homeless at home households who accepted an offer of accommodation	Julie Jones	48	4		⇩		
COMMENTS: Scrutiny Indicator - Trend analysis only - no targets required. Ongoing meetings with all RSL's , concerning that larger properties, i.e. 3 bed and above have seen a decrease during Q1 compared with Q4 07/08 (note 9 families currently awaiting offer).								
LPI 15	Homeless households in TA who refused an offer of accommodation	Julie Jones	6	1		⇔		
LPI 16	Homeless at home households who refused an offer of accommodation	Julie Jones	16	0		⇔		
COMMENTS: Scrutiny Indicator - Trend analysis only - no targets required. Good news - low levels of refusals coincides with success of secondment agreement for dedicated nominations offer.								

Former BVPI								
LPI 17 **former BV183a**	Average length of stay in B&B for families	Andrea Potts	2.11 weeks	2 weeks	1.20 weeks	⇧	⇧	A
COMMENTS: Improvement on previous quarter and year end, but increased use of B&B in Q2 has yet to show in calculations.								
LPI 18 **former BV214**	Levels of repeat homelessness	Andrea Potts	2 cases 1.02%	1 case 2.22%	2 cases 1.05%	⇔		G
COMMENTS: Cumulative total. Q1 08/09 = 1 case out of 45 acceptances compared to Q1 07/08 = 0 out of 59 acceptances								
LPI 19 **former BV203**	% change in families accommodated in temporary accommodation	Andrea Potts	-10.84%	-32.56%	-7.50%	⇧	⇧	G
COMMENTS: Still achievable but a continued reduction in offers/acceptances (3 bids) will impact.								
LPI 20 **former BV64**	The number of empty properties returned to use or demolished as a result of Council action.	Mark Wade	763	99	150	⇩	⇩	G
COMMENTS: Quarter 1 data based on information provided by WHG.								
LPI 21 **former BV183b**	Average length of stay in Hostel accommodation for families	Andrea Potts	0	0	0	⇔	⇔	G
COMMENTS: Walsall Council has not hostel accommodation as per Government definition.								
LPI 22 **former BV202**	Levels of rough sleeping	Andrea Potts	0	0	0	⇔	⇔	G
COMMENTS: Actual figure of 0 recorded - "hot spot" count carried out on 20 February 2008.								
LPI 23 **former BV213**	Number of cases where homelessness has been prevented	Andrea Potts	476 cases	124 cases	500 cases	⇧		G
COMMENTS: New definition/recording for future monitoring.								

5. Summary of Directorate Outturn and 2008/09 Budget

		ACTUAL 2005/06 £	ACTUAL 2006/07 £	ACTUAL 2007/08 £	BUDGET 2008/09 £
EXPENDITURE					
	HOUSING STRATEGY & PARTNERSHIPS	1,219,846	517,361	713,238	734,347
	HOUSING STANDARDS & IMPROVEMENTS	510,416	924,515	946,695	1,069,588
	SUPPORTED HOUSING	4,790,514	3,944,427	4,085,028	4,109,257
	SUPPORTING PEOPLE	8,624,264	7,824,871	7,440,141	7,493,587
TOTAL EXPENDITURE		**15,145,040**	**13,211,174**	**13,185,102**	**13,406,779**
INCOME					
	HOUSING STRATEGY & PARTNERSHIPS	-25,223	6,997	-657	0
	HOUSING STANDARDS & IMPROVEMENTS	-401,024	-521,314	-357,199	-352,478
	SUPPORTED HOUSING	-3,644,641	-2,487,142	-2,892,361	-2,645,833
	SUPPORTING PEOPLE	-8,558,259	-7,699,656	-7,242,545	-7,225,404
TOTAL INCOME		**-12,629,147**	**-10,701,115**	**-10,492,762**	**-10,223,715**
NET EXPENDITURE		**2,515,893**	**2,510,059**	**2,692,340**	**3,183,064**

6. Summary of Variance against Budget – Past Three Years

		VARIANCE TO BUDGET 2005/06 £	VARIANCE TO BUDGET 2006/07 £	VARIANCE TO BUDGET 2007/08 £
EXPENDITURE				
HOUSING				
	HOUSING STRATEGY & PARTNERSHIPS	319,598	-116,130	-49,642
	HOUSING STANDARDS & IMPROVEMENTS	-552,865	8,709	-24,418
	SUPPORTED HOUSING	-174,828	-388,903	71,163
	SUPPORTING PEOPLE	1,234,872	507,924	-70,247
SUB TOTAL HOUSING		**826,777**	**11,599**	**-73,144**
TOTAL EXPENDITURE OVER/(UNDER) SPEND		**826,777**	**11,599**	**-73,144**
INCOME				
HOUSING				
	HOUSING STRATEGY & PARTNERSHIPS	-25,190	-6,964	-624
	HOUSING STANDARDS & IMPROVEMENTS	234,397	-18,836	-5,056
	SUPPORTED HOUSING	179,657	416,658	-244,661
	SUPPORTING PEOPLE	-1,236,060	-501,784	-8,822
SUB TOTAL HOUSING		**-847,196**	**-110,926**	**-259,163**
TOTAL INCOME OVER/(UNDER) SPEND		**-847,196**	**-110,926**	**-259,163**
NET EXPENDITURE OVER/(UNDER) SPEND		**-20,419**	**-99,327**	**-332,307**

7. Explanation of Key variances

Please provide an explanation of the key variances (over and under spends) to budget as detailed in section 6 and whether recurring or non-recurring.

STRATEGIC HOUSING

2005/06

- £166k overspend – costs associated with WHG. Note this was for liabilities that council had from the transfer which were determined by county courts, hence the delay in resolving the issues. There was no budget identified to cover the payments the council had to make. This is non recurring
- £146k underspend – Non recurring SP additional income.

2006/07

- £227k under spend on supplies and services – identified as saving for 07/08

2007/08

- £138k under spend - Additional Housing Benefit Income non recurring

8. Key Service and Cost Drivers

In this section please list the key drivers/cost drivers that affect the service and will be an influence on service delivery and cost. Please quantify. Include decreasing factors as well as increasing ones.

There are a number of Government standards and guidance notes relating to Strategic Housing

Sustainable Communities: Settled Homes: Changing Lives (March 2005) which was a 5 year strategy tackling homelessness - ODPM expectations on support for homeless families, prevention of homelessness and reduction in rough sleeping. This has a direct impact on the spend within housing and the cost to ensure that families are provided with suitable accommodation

2004 Housing Act has significance for all services within Strategic Housing – where the creation of sustainable and strong communities is a fundamental component of Government policy

Sustainable Communities ‘Homes for All’ and ‘Building for the Future’ - The Barker Review relates to key drivers specifically for Housing Strategy and Partnerships Service. Aims to address overall housing shortage, particularly affordable housing and improve and make best use of existing properties in order to increase housing choice. This links to having a shared property register and a housing needs strategy and survey to ensure we know where empty houses are, where adapted houses are and to ensure a joined up strategy within partners and within the council

Housing Standards and Improvements
CPA inspection for Housing significant component is performance relating to the Disabled Facilities Grant Service. This links to the additional capital allocation required in 09/10 and future years for the service to meet the CPA target - Target requiring 70% decent homes by 2010

DFGs / A&A
Looking at cases on priority register – doing more than done before – budgets recognise demand but treading water as new cases are matching the ones we are taking off the priority register. Completing more and keeping profile of dept up. Need to shift emphasis of service about making choices about demand – i.e. right to grant and loan rather than just putting on a waiting list. A paper will be completed and may be risks to council re legal challenges. We run an agency service which helps people to apply for grants but we can only help top priority cases which isn’t equitable to other people on list. We only give forms to people we can actually support. Letters go out telling people that there is a wait for services and that they can apply for loans / grants available for them if they do not want to wait.
Looking at modern housing options for those over 50 to ensure that have options within extra care, whg schemes etc which could target people on the waiting list. Service gets a lot of complaints and letters regarding the needs of people who are low on the list and are unlikely to get the service.

The service had 377 new referrals during 2007/8 and completed 202 adaptations. This was an increase over 2006/7 and a substantial increase over previous years (2006/7 192 adaptations; 2005/6 120 and 2004/5 52)
The service currently has 795 live DFG cases on the waiting list/priority register, with the target (mapped to budget available) of 250 completions for 2008/9.

Demand continues for adaptations - It is expected that there will be circa 400 new referrals based per annum on current referral rates with only sufficient budget to fund approx 250 p.a.

Bulk of work comes from OT service following their assessments. Some are referred for major adaptations. Growing issue with private agents working in area targeting disabled people who haven't been to OT and completing forms for them and they are coming straight to us. This is increasing the service required.

Decent homes (standard for improving decent homes by 2010 has gone but sure that there will be a new standard in the future). In the SCS we are still looking at 70% by 2010 and 75% by 2020.
Grant culture - moving away from issuing grants towards repair assistance and loans. This will involve marketing and will need to grow over 5 years and beyond. This is to support people who can't access high street finance to fund repairs. Our policy is looking at interest free loans for low level schemes and also equity release schemes at point of sale.

Health through warmth – fuel poverty – will get worse over the next few years so this scheme will become more important – the fuel poverty indicator is in the scorecard so need to continue with investment and the energy efficiency element is the growth area. Not needed to employ more staff but to develop partnerships with housing providers and voluntary sector to steer people towards the council for advice

Birchills via neighbourhood renewal assessment and regeneration over next 5+ years – birchills master plan being developed and dealing with housing stock in this area and investing regional housing pot money to compliment the regeneration – will be funded by capital

Reuse of empty homes – waste of resource in high demand housing market so working to improve the use of these. Empty homes have discount on council tax. Some authorities have removed this discount to generate additional revenue to fund posts. Estimated to be difficult to chase people for the debt but liaising with the council tax department. There is a corporate group in existence and will be led through this group. It is likely that the additional income from council tax will be sufficient to fund additional staff in council tax department if required. The income generation options and staff will form part of a corporate bid for 910 but will involve consultation and reports to cabinet

Supporting People
Supporting People Improvement and Excellence Plan implemented due to award of no star and uncertain prospects for improvement by Audit Commission in June 07 affecting Strategic Housing and Corporate CPA scores. Re-inspection February 09. May involve additional resources to map requirements and implement project
Ring fence for main grant will be lifted from April 09 and transferred into ABG – initial no threat to service but this may change
Admin grant to reduce by £12K – CLG seeing that council should be picking up the difference in the reducing grant. Corporate procurement and contract monitoring aren't in a position to take over the SP service
Need to do some joint commissioning and partnership working with partners
Opportunities for the service to grow
When there is no ring fence between admin and main grant we could offer up the admin grant and use the main grant to fund the admin but this would mean that there was less money for service delivery
Main grant underspends have been because we have overpaid and funding has been re-aligned for services – now changed vfm and issuing steady state contracts
Refreshed needs analysis has informed 2008 – 2011 Housing Related Support Strategy and SP will continue to spend at this level

Supported Housing

Accommodation services - Reprovision of projects; failed Places for Change bid leaves options for capital bids, Housing Corp bids, “deals” with Regeneration.

Gypsy and Travellers Sites

GTAA identifies need for 39 additional plots between now and 2018 (equates to an additional capacity of 2 x Willenhall Lane sites) plus a transit site across the Black Country providing 10-12 pitches.

GSRG (gypsy site refurbishment grant) bids by RSL’s / and or LA funding to develop / provide for identified need. 100% grants currently available with no LA match funding required but will this continue? Service is actively encouraging RSLs to work with planning to look at land/buildings available to develop new provision. Report to SMB/CMT needs to be completed which includes impact and costs.

Almshouses

Current £8K budget insufficient; high level voids. Properties require investment to meet decent homes standard. Potential to transfer to RSL to attract investment or department will need to submit future capital growth bids.

<u>Housing Strategy and Partnerships</u>

Service needs to consider maintaining an affordable home ownership register.

Service needs to consider request for a supporting people register

Rolling out the register of interest form may see some providers becoming more open to a single point of access with a common housing register. The council may wish to support this financially.

Possible requirement for a needs and demands study

9. Cost and Performance Analysis

For Housing Services, the only comparator data available is the Audit Commission VFM tool which is based on CIPFA stats and the RO forms. Although this will give a useful overview of cost and performance, councils will have differing treatment of costs and activity depending upon the interpretation of services provided, and whether they have gone through a LSVT or ALMO process or whether they still retain their housing stock through their Housing Revenue Account.

Possible discrepancies include:

1. Councils with high cost per head for Supporting People services may require less expenditure on other housing related services as these are subsidised via SP grant or provided externally.

2. Treatment of internal recharges, overheads, and capital costs between authorities will differ as some allocate to individual service areas and others charge for them centrally.

3. Many authorities still retain housing stock and therefore will operate a Housing Revenue Account. The categorisation of HRA expenditure and housing general fund expenditure could distort the cost of housing services reported.

4. Determination of indicators. For example on Supported Housing 'hostel' or 'project' categorisation. Walsall council has projects and no hostels and hence will have no data relating to average length of stay in hostels and hence perform badly on this indicator.

5. The use of various forms of internal and external funding will affect the net cost of services.

Total Community Housing Services £'s /head	
Authority name	**2007**
Rochdale Metropolitan Borough Council	89.90
Wolverhampton City Council	64.18
St Helens Metropolitan Borough Council	64.17
Coventry City Council	61.86
Derby City Council	55.52
Sandwell Metropolitan Borough Council	52.80
Tameside Metropolitan Borough Council	43.27
City of Wakefield Metropolitan District Council	34.86
Rotherham Metropolitan Borough Council	29.99
Stoke on Trent City Council	27.51
Dudley Metropolitan Borough Council	26.56
Walsall Metropolitan Borough Council	**19.32**
Bolton Metropolitan Borough Council	11.11
Stockton-on-Tees Borough Council	6.86
Doncaster Metropolitan Borough Council	6.86
Oldham Metropolitan Borough Council	2.19

Homelessness £'s /head	
Authority name	**2007**
Walsall Metropolitan Borough Council	**5.08**
Stoke on Trent City Council	3.14
Sandwell Metropolitan Borough Council	2.83
Derby City Council	2.65
Coventry City Council	2.57
Rochdale Metropolitan Borough Council	2.45
City of Wakefield Metropolitan District Council	2.10
Oldham Metropolitan Borough Council	1.52
Dudley Metropolitan Borough Council	1.48
Tameside Metropolitan Borough Council	1.30
St Helens Metropolitan Borough Council	1.09
Bolton Metropolitan Borough Council	0.96
Doncaster Metropolitan Borough Council	0.83
Stockton-on-Tees Borough Council	0.52
Wolverhampton City Council	0.40
Rotherham Metropolitan Borough Council	0.32

Other Housing £'s /head	
Authority name	**2007**
Wolverhampton City Council	26.61
Stoke on Trent City Council	24.36
Rochdale Metropolitan Borough Council	9.81
City of Wakefield Metropolitan District Council	9.46
Bolton Metropolitan Borough Council	9.19
Sandwell Metropolitan Borough Council	8.62
Coventry City Council	6.59
Derby City Council	6.08
Walsall Metropolitan Borough Council	**6.03**
Tameside Metropolitan Borough Council	5.93
Stockton-on-Tees Borough Council	5.06
Doncaster Metropolitan Borough Council	4.77
St Helens Metropolitan Borough Council	3.56
Dudley Metropolitan Borough Council	3.30
Rotherham Metropolitan Borough Council	1.01
Oldham Metropolitan Borough Council	0.67

APPENDIX A

Demographics and Trends from 2005/06 to 2011/12

Housing Standards & Improvement

Walsall has an ageing population. This, when combined with an increased requirement to maintain older person's independence at home, means there is an ever increasing demand for home adaptations paid for via a Disabled Facilities Grant (DFG). A sharp rise in referrals for a DFG has been experienced since 2004/05. This has pressurised the service and its capital budgets.

Supported Housing

Housing advice

Housing advice enquiries 3098 in 2007/8 increased from 2040 in 2006/7 and already seeing "new categories of people unable to buy due to increasing house ;prices or in difficulties due to credit crunch / debt; forecast that enquiries continue to increase for next 2 years to reflect market volatility

Homelessness

Homeless decisions; 360 in 2007/8; decisions / presentations are decreasing (down 100 on previous year) due to investment in prevention activities; cases where homelessness prevented 476 in 2007/8; 150 increase on previous year, again due to switching resources from back office to front and focus on prevention ./ invest to save type activities
But housing market volatility and credit crunch already starting to impact – increasing numbers of mortgage repossessions. Could suggest increase in homelessness / TA usage in next 2 years

Vulnerable people

Increasing numbers of elderly people / frail elderly needing practical low level support to sustain independence / remain at home
Refugee population relatively stable; only small numbers of asylum seekers residing in Walsall and trend nationally is numbers of applications have reduced significantly
G&T population; GTAA needs analysis suggests growth in young G&T households requiring culturally sensitive accommodation over the next 10 years

APPENDIX B - Analysis of Investments and Savings

	Budget Category for Investment /Saving (see below)	2005/06 £000	2006/07 £000	2007/08 £000	2008/09 £000
BASE BUDGET		915	2,512	2,570	3,286
INFLATION AND OTHER INCREASES:					
Employees		106	35	105	106
Other Costs		95	23	15	8
Income					
Removal of one off Items			-345		
FYE of previous investment/savings			-102	35	
TOTAL INFLATION AND OTHER INCREASES:		201	-389	155	114
-					
INVESTMENTS (list detail)	*				
Fall out of asylum grant – Supp Hsg	G		80		
Fall out of Asylum Seekers Grant	G	330			
Homelessness Prevention Strategy	D	60			
Supp Hsg – Additional support workers	D	60			
SP Admin Grant Fall out	G	41			
TOTAL INVESTMENTS		**491**	**80**	**0**	**0**
SAVINGS (list Detail)	**				
Computer Database – Housing Standards	CRE			-45	
Housing Strategy – reduction in service	SR			-27	
Supported Housing – Repair & Maintenance	SR		-30	-107	-217
Strategic Housing – increase agency fee	FC		-55		
Reduce Mgmt layer in housing	CRE		-61		
Supp Hsg – Reducing NCO services	SR		-150		
Increased levels of vacancy mgmt	CRE	-62			
TOTAL SAVINGS		**-62**	**-296**	**-179**	**-217**
APPROVED BUDGET		**1,545**	**1,907**	**2,546**	**3,183**
FTE Numbers per service: *List services and FTE Nos for each one:* HSI - 24 HSP - 8 SP - 6 SH - 84					

Budget Categories for Investment	
* Please Select category from list below :	
Plus Investment	
Additional services i.e. increase in demand	D
Additional services i.e. changes in legislation	L
Additional services i.e. other (new)	N
Quality Improvement i.e. investment to improve quality of service delivery	Q
Fall out of Grant	G
Revenue Effects of Capital Programme	C
Invest To Save	I
Pump priming / one off Investment	O
Virements to other services	V
Budget Categories for Savings / Efficiencies	
** Please Select category from list below :	
Minus Saving / Efficiency	
Contractual / Procurement	CP
Cash Releasing Efficiencies	CRE
Additional Fees and Charges	FC
Additional Grant	AG
Contribution from other Stakeholders	CS
Service Reductions	SR
Other	O
Virements to other services	V

APPENDIX C

Key Pressures and Invest to Save Opportunities – 3 Year Forecast

Please list the key pressures that the service is facing over the next three years and outline the potential revenue and capital implication/costs including need for revenue and capital investment

			POTENTIAL REVENUE IMPLICATION			POTENTIAL CAPITAL IMPLICATION		
SERVICE NAME	**CAUSE OF PRESSURE**	**DESCRIPTION OF PRESSURE**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**
Housing Standards & Improvement	D	**Aids and adaptations'** Increased demand for aids and adaptations' includes building price inflation (included in capital strategy at £3.687m)				4,997	5,246	5,508
Housing Standards & Improvement	G	**Disabled Facilities Grant -** fall out of DFG grant from 9/10 with no identification of alternate source of external funding – (£915k) remaining is £610K in capital strategy)				1,525	1,525	1,525
Housing Standards & Improvement	G	**Regional Housing pot – Kickstart** At this time the authority is not sure whether this grant will continue in future year – will need to bid – however if this is not successful mainstream funding will need to be secured for loans for renovation grants Any reduction in grant will generate a bid for capital resources				979	979	979
Housing Standards & Improvement	G	**Regional Housing pot – Regeneration & Growth** At this time the authority is not sure whether this grant will continue in future year – will need to bid – however if this is not successful mainstream funding will need to be secured for loans for renovation grants Any reduction in grant will generate a bid for capital resources				3,328	3,328	3,328

			POTENTIAL REVENUE IMPLICATION			POTENTIAL CAPITAL IMPLICATION		
SERVICE NAME	**CAUSE OF PRESSURE**	**DESCRIPTION OF PRESSURE**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**	**2009/10 £000**	**2010/11 £000**	**2011/12 £000**
Supported Housing	D	**Gypsy & Travellers service / new sites** A GTTA travellers study specified that Walsall must identify 2 additional sites for travellers by 2018. The LA may have to purchase land or utilise an existing site. Potential to fully fund by GCRG (Gypsy Council Refurb Grant) but will need to be bid for					800	800
Supported Housing	Q	**Reprovision of Sandwell House homeless project** Part of £3.9 million total cost could be fully or partially funded by Housing Corp grant or an RSL could fully fund – full project appraisal to be carried out				1,600	2,300	
Housing Strategy and Partnerships	D	**Housing needs and assessment study -** Included in capital strategy				50	50	50
Housing Standards & Improvement	Q	**Empty Property Officer** Increasing demand for housing/reuse of empty properties. The officer will complete the register in response to corporate initiative – links to BVPI	45	45	45			
Supported Housing	D	**Housing advice Officer** Due to changing economic conditions 'credit crunch'. More capacity is required to deal with increased homeless applications	33	33	33			
Supported Housing	D	**Additional staff to commission Gypsy services** As the number of families at the Travellers site increases – more Housing advice will be needed	25	25	25			
Supported Housing	G	**Ineligible SP funding** Supporting people have indicated that funding in relation to Dolphin House and Green Lane will be ineligible, and an associated loss of Housing Benefit. (£0.347m & £0.150m resp)	497	497	497			
TOTAL			**600**	**600**	**600**	**12,479**	**14,228**	**12,190**

Cause of Pressure Categories are:

Additional services i.e. increase in demand	D
Additional services i.e. changes in Legislation	L
Additional services i.e. other (new)	N
Quality Improvement i.e. investment to improve service delivery	Q
Fall out of Grant	G
Revenue Effects of Capital Programme	C
Invest To Save	I

Please note that this is the first stage of identifying potential future pressures and invest to save and should be at high level. Stage 2 of the process will cover a more detailed investment/saving exercise. Stage one will allow us to update our medium term financial plan and brief CMT and Cabinet on potential future cost pressures and funding opportunities.

APPENDIX C
External Funding Opportunities

Please identify any current schemes or projects that attract external funding where grant is due to fall out in the period 2009/10 to 2011/12. Please also state exactly when this grant is due to fall out

Please identify any further potential opportunities for levering in capital and revenue funding to support service objectives and priorities over the next 3 years.

DFG grant to fall out in 09/10

Supporting People Main Grant to trf to Area Based Grant in 09/10

Potential match funding from Gypsy Council for Travellers' sites schemes – bid to be completed

Potential match funding from other RSLs and Housing Corporation for Sandwell House project– bid to be completed

Potential for Kickstart project to continue thus generating capital grants

APPENDIX D
Capital Asset Assessment

Please comment on the future use of the assets listed above, including:

- *current condition, sufficiency, suitability (are they fit for purpose)*
- *future plans or opportunities for rationalisation, change in use, occupancy, improvement, making fit for purpose (that may or may not entail capital investment) and what support (financial or otherwise) is needed to make that happen*
- *Are there alternatives to direct capital investment: rationalisation, etc.*
-

Description	Property value	Site value	Total valuation	Fit for purpose	Alternative Use
RIVERS HOUSE, SLACKY LANE, GOSCOTE	320,000.00	105,000.00	425,000.00	Y	
NEIGHBOURHOOD COMMUNITY OFFICE, BUXTON ROAD, BLOXWICH	13,000.00	5,000.00	18,000.00	Y	Potential to co-locate NCOs
PLECK COMMUNITY OFFICE, 1-3 NARROW LANE, WALSALL	11,000.00	4,000.00	15,000.00	Y	Potential to co-locate NCOs
GREEN LANE HOSTEL, GREEN LANE, WALSALL	675,000.00	225,000.00	900,000.00	Y	
SANDWELL HOUSE HOSTEL, SANDWELL STREET, WALSALL	750,000.00	250,000.00	1,000,000.00	N	Reprovide service so becomes surplus asset
DOLPHIN CLOSE HOSTEL, GOSCOTE, WALSALL	450,000.00	150,000.00	600,000.00	Y	
TRAVELLERS SITE, WILLENHALL LANE, BLOXWICH	0.00	0.00	0.00	Y	None – just been redesigned and improved

Sandwell House is not fit for purpose and there will be a project commencing to look at reproviding this service
Additional investment will be required for the gypsy and travellers community in line with government priorities