

Cabinet – 29 October 2014

Corporate Financial Performance 2014/15

Portfolio: Councillor S Coughlan – Leader of the Council

Related Portfolios: All

Service: Finance – council wide

Wards: All

Key decision: No

Forward plan: No

1. Summary of report

1.1 The report details the year-end forecast for revenue and capital, which is based on the financial position as at 30 September 2014. The report sets out:

- (i) A potential revenue overspend of £1.35m, after use of reserves and assuming successful delivery of corrective action plans produced to date to mitigate in year pressures.
- (ii) That directorates continue to work on mitigating action to reduce areas of overspend.
- (iii) A number of amendments to the capital programme for approval, as set out in section 3.6 of the report.
- (iv) The forecast underspend on the council funded capital programme of £350k.

2. Recommendations

Cabinet are requested to:

- (i) Note the pressures outlined in the report and that directorate corrective action plans have been produced to mitigate the potential overspend down to £1.35m.
- (ii) Note action being taken to manage the cost pressures arising.
- (iii) Note the risks to the forecast.
- (iv) Note the forecast underspend on the council funded capital programme of £350k.
- (v) Approve the amendments to the capital programme and virement of funds from health through warmth and preventative adaptations to aids and adaptations as detailed in section 3.6.
- (vi) Approve in principle, as part of the corrective action for Regeneration, use of windfall income as detailed in section 3.3.4, to reduce the potential overspend should this be needed to mitigate the position further.
- (vii) Approve the appointment of Walsall Council as Accountable Body / Managing Authority for the Black Country Growth Deal, with interest accrued utilised to support the effective programme management of the Growth Deal by Walsall

Council acting in its role as Accountable Body in accordance with its Treasury Management Policies and Procedures.

- (viii) Approve, subject to acceptance by the Joint Committee the appointment of Walsall Council as managing authority for the entire City Deal programme, with interest accrued utilised to support the effective programme co-ordination and management of the City Deal programme by Walsall Council in accordance with its treasury management policies and procedures.

3. Report Detail

- 3.1 Cabinet receive regular financial reports to allow it to oversee the financial performance of the council and consider plans for corrective action.

3.2 Revenue Forecast 2014/15

Walsall Council, similar to many others, is facing significant financial pressures and an increase in demand for certain services. As a result, this has translated into some significant cost pressures, particularly within Children's services £2.62m and Social Care £2.40m. Given the size and diversity of the organisation, the functions and services provided and the demand placed on it, it is not unusual for there to be projected variances during the course of any financial year.

The current level of pressures is such that, if not managed, could result in a potential overspend of £4.08m. Walsall Council has a strong track record of managing financial pressures and action is being taken to mitigate current and future cost pressures. Maintaining financial stability is a key organisational requirement. Table 1 summarises the 2014/15 budget and forecast outturn, including £2.89m of corrective action being taken to mitigate the pressures.

Directorate	Net Budget £m	Year end forecast £m	Forecast Under/ Over- spend £m	Mitigating Action £m	Revised year end forecast £m	Forecast Under/ Over- spend £m
Children's Services	48.59	51.21	2.62	(0.88)	50.33	1.74
Neighbourhood Services	40.45	40.15	(0.30)	0.00	40.15	(0.30)
Regeneration	6.19	6.44	0.25	(0.14)	6.30	0.11
Resources:	22.77	22.68	(0.09)	0.00	22.68	(0.09)
Social Care & Inclusion	60.32	62.72	2.40	(1.87)	60.85	0.53
Capital Financing	22.93	22.91	(0.02)	0.00	22.91	(0.02)
Central budgets	(114.49)	(115.11)	(0.62)	0.00	(115.11)	(0.62)
Total council tax requirement	86.76	90.99	4.23	(2.89)	88.10	1.35

3.3 Pressures and Corrective Action

- 3.3.1 *Children's Services* – the two causes for the forecast position on children's services relate to demand on looked after children (LAC) services (£1.07m), including the supplementary costs of LAC such as disability packages, contact and support and adoption allowances; and recruitment difficulties in securing social workers, requiring the need to use temporary agency staff (£2.18m), both of which are national as well as local issues.

Placements costs are driven principally by a budget based on LAC numbers decreasing to 560 by March 2015 (and an average of 575 during the year) against current numbers of 616 (at end of September). There is ongoing work to reduce the number of LAC where possible and reduce the cost of placements through more effective and efficient purchasing arrangements. Children's have produced an action plan in these areas which mitigate the pressures by £336k; however there are some risks to delivery of these. LAC numbers are still targeted to be reduced but these reductions have been offset by children with high cost complex needs.

Further actions totaling £1.662m have been identified, leaving a revised potential overspend of £1.737m. These actions include a review of existing commissioning activity, reduction in taxi costs, reviewing use of grant such as care matters and SEN in relation to staffing, review of charges and a non-essential expenditure freeze on non pay budgets, review of early years budget, and use of an unused earmarked reserve, some expected windfall income and new un-ringfenced grant, approval for which was granted by Cabinet in July.

- 3.3.2 *Social Care and inclusion (SCI)* – Adult social care has also experienced pressures arising from service demands and cost pressures and has identified ongoing actions to deal with c£4.8m of pressures which arose in 2013/14. These actions are focussed on increasing income collection and reducing commissioning through a new approach to social work which will deliver quick and sustainable results.

Further pressures have arisen, including income received from benefits based charging and the timing of reviews of respite and response resulting in an overspend of £2.396m. An action plan has been developed to part mitigate these pressures in year, which is estimated to leave the directorate with a forecast overspend of £0.526m. However, there are a number of risks to this forecast including assumptions that there are no additional costs arising from new care packages or changes in care needs (net of reductions in care needs elsewhere) or unfunded costs arising during the winter period.

- 3.3.3 *Neighbourhood Services* – the directorate is currently forecast to underspend by £302k. There are overspends within engineers (£174k) due to on-going drainage works and increased spend relating to safety fencing, income shortfall with in creative development (£46k) and additional costs arising from contamination of waste, cost of educational officers and utility costs (£219k). This is fully mitigated from holding of vacant posts and review of winter service.

- 3.3.4 *Regeneration* – gross pressures total £415k including a short-term one-off shortfall of Walsall markets income (net £135k) due to reduced uptake of stalls and reduced rents linked to the move. Property services are additionally forecasting an overspend in Cleaning and Caretaking (£205k), mainly due to contract pressures within cleaning, reduction in design & project management fees (£30k) and dilapidation

costs relating to the lease release programme of £45k. These pressures are partly offset from holding of vacancies leaving an overspend of £250k. Windfall income has been identified as part of the action plan totalling £140k from land charges, commercial shop rent and planning fees. Cabinet approval is requested for these to be used to offset the current pressures should they be needed.

3.3.5 *Resources* - pressures arising in Money Home Job has led to a forecast variance of £625k, mainly in relation to an increase in uncontrollable costs relating to housing benefits for vulnerable clients where the full costs are not reimbursed to the council through housing benefit subsidy. This is offset in year by underspends elsewhere in the directorate, including posts being held vacant pending a review of support services. There are also cost savings of £81k due to combining the local and European election. The bad debt provision for resources has also been reviewed, allowing a £119k reduction.

3.3.6 *Central* – this underspend is due to a reduction in leasing costs as it has been more cost effective to purchase vehicles than to lease them.

3.3.7 Work is continuing corporately to manage the pressures and mitigate their impact and action plans will be closely monitored and progress reported regularly to CMT and members.

3.4 Local Authority Mortgage Scheme (LAMS)

Up to the end of July 2014, 42 mortgages have been supported through the LAMs scheme using £854,813 of the indemnity cover and a further 7 mortgages applications are being processed which when completed will use up a further £131,643.

3.5 Business Rates Retention Scheme

The new scheme was introduced from 1 April 2013 which was intended to encourage economic growth and transferred risks previously borne by Government to local authorities. Any shortfall in income due to changes in rateable value, successful appeals, exemptions and discounts against what was estimated in January 2013 and included within the council's budget for 2013/14 would result in a deficit on the collection fund. The council would bear 49% of the overall deficit which would need to be included in the budget process for 2015/16 to make good the shortfall of income. The current position on the collection fund is £0.770m which relates to 2013/14 of which Walsall will have to fund £0.377m. No further change is predicted at this time. Further updates will be provided to Cabinet during the year.

3.6 Capital Programme 2014/15

The capital programme reported to Cabinet on 10 September 2014 totalled c£56.08m. Amendments have taken place since that date and these are detailed in Table 2, resulting in a revised programme of c£59.05m.

Table 2 : Amendments to Capital Programme 2014/15	
	£m
Capital programme 2014/15 per Cabinet 10 September 2014	56.08
Council Funded Resources	
Darlaston Community Association *	0.26
Arboretum Embankment Project *	0.98
Goscote Valley Sustrans – *	0.01
Barcroft Primary Additional Classroom * (not fully utilised)	-0.27
Valley Road – funded via capital contingency	0.01
Re-profiling of budgets into 2015/16	-2.60
Active Living – approved by Council 11 August 2014	6.44
Specifically Funded / Grant Schemes	
Greenspace Improvement – confirmation of s106 funding	0.07
Local transport plan – confirmation of funding	-0.01
Highway Maintenance/ Additional Local funding – confirmation of funding	0.01
Highways Maintenance/ Additional Local funding for potholes – confirmation of funding	0.45
Highways Maintenance/ Additional Local funding Severe Weather damage – confirmation of funding	0.25
Academies – confirmation of funding	-1.16
Devolved formula capital – confirmation of funding	0.03
Universal Infant Free School Meals – confirmation of funding	-0.11
Walsall Arboretum Restoration programme	-0.66
West Midlands UTC – confirmation of funding	0.03
Black Country UTC – confirmation of funding	0.06
Contribution to Aids and Adaptations – confirmation of funding	0.09
Re-profiling of budgets into 2015/16	-0.91
Revised capital programme 2014/15	59.05

** funded via a revenue contribution to capital*

The current council funded capital aids and adaptations budget of £1.392m has been fully committed. In order to enable the council to continue to approve and fund further statutory works alternative council funding has been identified. It is proposed to transfer £93k from preventative adaptations within social care, leaving a budget of £647k of which £155k has been spent to date and a further £382k from health through warmth within resources, leaving a budget of £400k of which £27k has been spent to date. The remaining budget for health through warmth will leave enough funding for emergency cases and to attract additional external funding from Department of Health / Department of Energy and Climate Change. Cabinet are requested to approve the transfer of council funding from health through warmth and preventative adaptations to aids and adaptations totalling £475k.

- 3.61 Table 3 summarises the 2014/15 capital programme and an early forecast outturn after the re-phasing of projects into 2015/16.

Table 3: Forecast capital analysis 2014/15					
Directorate	Budget £m	Predicted year end forecast £m	Variance before Carry forward £m	Carry Forward £m	Variance Over / (Under) £m
Council funded	31.00	30.65	(0.35)	0.00	(0.35)
Externally Funded	28.05	28.05	(0.00)	0.00	(0.00)
Total	59.05	58.70	(0.35)	0.00	(0.35)

3.62 Additionally, the council funded element of the capital programme currently shows predicted underspends of c £0.35m as shown in **Table 4**.

Table 4: Underspends/savings 2014/15	
Project	£m
Health through warmth	(0.17)
Footpath Highfield Road	(0.02)
Pelsall road flood alleviation scheme	(0.01)
Headstone safety in cemeteries	(0.02)
New Invention Car Park	(0.01)
Dilapidations	(0.12)
Total	(0.35)

3.7 Financial Health Indicators

The quarterly review of financial health indicators is shown at Appendix 1. This summarises the following:-

1. Strong treasury management performance for 2014/15.
2. Strong balance sheet financial performance.
3. Estimated target for council tax and business rate collection rates are as profile.
4. Strong sundry debtors collection rates, showing an average of 26 days for 2013/14 and 26 days to collect debt in quarter 2 of 2014/15.
5. Strong creditor performance showing an average 12.47 days in 2013/14 and 13 days in quarter 2 of 2014/15.
6. Revenue and capital position for 2013/14 pre-audit and forecast for 2014/15 – as outlined in this report.
7. Capital receipts received in 2013/14 and forecast for 2014/15.

3.8 Accountable Body status for the Black Country Growth Deal

On the 17th September 2014 the Black Country Joint Committee accepted the offer from Walsall Council and approved their appointment as Accountable Body / Managing Authority for Growth Plan / Deal funding. It's anticipated that Government will deposit annually in advance Growth Deal funding into Walsall Councils account to support delivery. It was also agreed by the Joint Committee that these funds are held by Walsall Council in line with their Treasury Management Policies and Procedures, with attracted interest utilised to support the effective programme management of the Growth Deal by the Walsall Council as Accountable body. Cabinet are requested to recommend and approve the appointment of Walsall

Council as Accountable Body / Managing Authority for the Black Country Growth Deal.

3.9 Accountable Body / Managing Authority for the Black Country City Deal Programme

The Black Country Joint Committee is in the process of finalising its Accountable Body / Managing Authority arrangements for the City Deal programme with: Sandwell Council appointed for the Black Country Investment Fund and Walsall Council appointed for the Housing, Jobs and Prosperity Demonstration Project. At its December meeting the Joint Committee will be asked to approve the appointment of the last two elements: The Black Country Consortium for the Apprenticeships for High Value Manufacturing programme and Wolverhampton City Council to lead on the Business Support programme.

To ensure effective co-ordination of the elements that make up the City Deal, it is also being recommended to the Joint Committee that Walsall Council is appointed as managing authority for the City Deal programme and that all funds held by Walsall Council will be in line with its treasury management policies and procedures, with interest attracted utilised to support this effective programme co-ordination and management.

4. **Council Priorities**

- 4.1 The budget is set following council priorities, including the implementation of Marmot objectives to help direct existing resources.

5. **Risk Management**

- 5.1 Managers are required to deliver service and improvement targets on time, to standard and within budget. The performance management system uses a red, amber, green (RAG) indicator to show the current status. The current position is amber.
- 5.2 Risk management is embedded in budget monitoring and forecasting to enable potential budget variances and risks to be identified early and addressed. A number of assumptions have been made in the forecast figures by managers. There are risks attached to this that could impact adversely on the current position and which are being actively and robustly managed. Risks which have been identified as having a high level of certainty of occurring total c£3.85m and these are being actively managed to minimise any impact on the financial forecast for the year.

6. **Financial Implications**

- 6.1 The implications are as set out in this report. Any deficit at year end will require funding from general reserves, reducing the level of reserves available for future use.

7. **Legal implications**

- 7.1 The council is required to set and operate within a balanced budget.

8. **Property implications**

- 8.1 None directly associated with this report.

9. Health and wellbeing implications

9.1 This report is prepared with consideration of health and wellbeing implications.

10. Staffing Implications

10.1 None directly associated with this report.

11. Equality implications

11.1 None directly associated with this report.

12. Consultation

12.1 The report is prepared in consultation with the Chief Finance Officer, relevant managers and executive directors.

Background papers: Various financial working papers.

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Signed:



James Walsh
Assistant Director – Finance (CFO)
16 October 2014

Signed:



Councillor S Coughlan
Leader of the Council
16 October 2014

APPENDIX 1

Financial Health Indicators

Treasury Management	2013/14 Actual	2014/15 Target	2014/15 Actual
Average Interest Rate (Borrowing)	4.60%	4.60%	4.60%
- Excluding OLA	4.73%	4.73%	4.73%
- Including OLA			
Gearing Effect on Capital Financing Estimates	1.23%	5.0%	1.2%
Net Borrowing Costs / Tax Requirement	5.8%	6.2%	5.8%
Capital Financing Requirement (£m)	300.990	317.293	300.990
Authorised limit for external Debt (£m)	351.207	349.022	349.022
Investment Rate Average	1.17%	0.9%	1.12%

Balance Sheet Ratios	2011/12	2012/13	2013/14 (audited)
Current Assets : Current Liabilities	2.70	2.70	2.80
Useable Reserves : General Revenue Expenditure	0.49	0.59	0.53
Long Term Borrowing : Tax Revenue (Using both council tax and NNDR for tax revenue)	1.25	1.13	1.71
Long Term Assets : Long Term Borrowing	1.89	1.84	1.87
Total School Reserves : Dedicated School Grant	0.07	0.08	0.09

Revenues Performance % collected for financial year	2012/13 Actual Collected in total @ 30.09.14	2013/14 Actual Collected in total @ 30.09.14	2014/15	
			Profiled Qtr	Actual Qtr
Council tax %	98.3	97.2	53.6	53.5
Total Council Tax collected (£m)	90,833,235	93,507,820	57.4	57.5
National Non Domestic Rate %	98.5	97.3	52,993,072	52,927,551
Total NNDR collected (£m)	66,874,105	68,410,262	40,800,459	40,844,461

Debtors and Creditors Performance	2013/14 Actual	2014/15	
		Profiled Qtr	Actual Qtr
Sundry Debtors Collection – Average number of days to collect debt	26 days	30 days	26 days
Average number of days to process creditor payments	12.47 days	14 days	13 days

Management of Resources	Actual 2013/14	2014/15 (budget for monitoring)		
		Target	Actual	Variance
Service Analysis	Post-audit			
Children's Services	75,628,617	48,591,514	50,328,097	1,736,583
Neighbourhood Services	58,807,949	40,448,253	40,1465,540	(301,713)
Regeneration	18,589,664	6,186,812	6,298,462	111,650
Social Care & Inclusion	72,583,775	60,320,948	60,847,481	526,533
Resources	12,390,834	22,775,266	22,679,389	(95,877)
Council Wide	11,286,426	54,892,963	54,255,807	(637,156)
RSG/NNDR	(163,027,571)	(146,452,135)	(146,452,135)	0
Total	86,259,694	86,763,621	88,103,640	1,340,019
General Reserves	14,865,489	Minimum £6.3m Maximum £12.5m	N/A	N/A
Council Funded Capital Expenditure	11,958,013	18,297,322	17,950,510	346,812
Grant Funded Capital Expenditure	25,708,024	28,049,865	28,049,865	0.00
Prudential Expenditure	2,199,207	12,705,157	12,705,157	0.00
Total Capital Expenditure	39,865,244	59,052,344	58,705,532	346,812
Capital Receipts	781,250	2,220,000	3,312,789	1,092,789

What this tells us

Treasury Management	
Average Interest Rate (Borrowing)	The average interest rate we are paying on the money we have borrowed compared to our target.
Gearing Effect on Capital Financing Estimates	Shows how a 1% increase in interest rates would affect the total interest cost to the council.
Net Borrowing Costs / Tax Requirement	Borrowing not financed by a grant from government, as a proportion of our Net Revenue Expenditure
Capital Financing Requirement (£m)	How much money we currently borrow to finance our capital programme.
Authorised limit for external Debt (£m)	The maximum amount of debt we should have at any one time
Investment Rate Average	The average interest rate we are receiving on the money we have invested.

Balance Sheet Ratios	
Current Assets : Current Liabilities	Our ability to meet our liabilities
Useable Reserves : General Revenue Expenditure	If our reserves are adequate to meet potential future variations.
Long Term Borrowing : Tax Revenue Using only council tax for tax revenue Using both council tax and NNDR for tax revenue	The effect of long term borrowing on our budget.
Long Term Borrowing : Long Term Assets	This allows us to understand the relationship between the money we borrow and the assets we have as they both change over time.
Total School Reserves : Dedicated School Grant	If schools reserves are at an appropriate level.

Revenues Performance	
% Collected for Financial Year Council Tax (%)	As a percentage the amount of council tax we collected during the financial year that runs from 1 April – 31 March. We collect council tax after the year that its related to, but this won't be included in this figure
National Non Domestic Rate (%)	As a percentage the amount of Business rates we collected during the financial year that runs from 1 April – 31 March. We collect council tax after the year that it related to.
Total Council Tax Collected (£m)	This tells us the amount of council tax we collected during the financial year that runs from 1 April – 31 March. We collect council tax after the year that it relates to, but this won't be included in this figure.
Total NNDR Collected (£m)	This tells us the amount of Business Rates we collected during the financial year that runs from 1 April – 31 March. We collect council tax after the year that it relates to, but this won't be included in this figure.
Sundry Debtors Collection Average number of days to collect debt	How long on average it takes us to collect money owed to us.
Average number of days to process creditors payments	How long on average it takes to pay our bills.

Management of Resources	
Service Analysis	
Children and Young People Neighbourhood Services Regeneration Social Care Resources Council Wide	Shows our forecast for how much we will spend on these services compared to what we planned and compared to how much we spent in the previous year.
General Reserves	Our forecast year end position on reserves against our opening balance.
Contingency	How much we have set aside and for unplanned expenditure, and how much we have left to spend.
Capital Expenditure	Forecast of our spend on capital programmes against our target
Capital Receipts	Forecast of how much money we expect to receive from selling some of our assets, against our target.

APPENDIX 2 – COUNCIL FUNDED CAPITAL AS AT 30 SEPTEMBER 2014

COUNCIL FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
CHILDRENS					
Social IT Systems Review & Enhancement	550,000	550,000	0	0	0
Barcroft Primary additional classroom	4,126	4,126	0	0	0
Barcroft Primary Toilet Block	8,507	8,507	0	0	0
Rushall Primary/EDC alterations	1,622,230	1,622,230	0	0	0
Targeted Capital bids - Barcroft	190,485	190,485	0	0	0
Integrated childrens system	66,813	66,813	0	0	0
CHILDRENS COUNCIL FUNDED TOTAL	2,442,161	2,442,161	0	0	0
NEIGHBOURHOOD					
Allotment and community garden strategy - Borneo Street	195	195	0	0	0
Allotments	6,027	6,027	0	0	0
Arboretum Play Area	4,477	4,477	0	0	0
George Rose Park	31,243	31,243	0	0	0
Goscote Valley Sustrans Bridge Repair	3,000	3,000	0	0	0
Greenspace improvement plan	75,473	75,473	0	0	0
Kendrick Place	19,250	19,250	0	0	0
Restoration and Renovation of Broadway West Playing Fields	75,000	75,000	0	0	0
Walsall Arboretum Car Park Resurfacing	170,000	170,000	0	0	0
Walsall Arboretum Embankment	981,000	981,000	0	0	0
Walsall Arboretum Restoration Programme - illuminated Park proposals	270,000	270,000	0	0	0
Willenhall Memorial Park	67,007	67,007	0	0	0
Improving security in local neighbourhoods	4,225	4,225	0	0	0
Darlaston Community Association	255,750	255,750	0	0	0
Walsall TCTP ring road acquisition	100,000	100,000	0	0	0

COUNCIL FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
Highways maintenance	1,700,000	1,700,000	0	0	0
LTP including bridge strengthening 2010/11	508,058	508,058	0	0	0
Retained Housing Land	119,489	119,489	0	0	0
Lighting to save initiatives	11,986	11,986	0	0	0
Public Lighting Invest to Save for replacement LED lighting	250,000	250,000	0	0	0
Footpath Highfield Road, Pelsall	15,607	0	(15,607)	0	(15,607)
New car park provision - Lucknow Road	168,000	168,000	0	0	0
New Invention car park	23,436	13,436	(10,000)	0	(10,000)
Pelsall Road flood alleviation scheme	11,270	6,725	(4,545)	0	(4,545)
Traffic signals infrastructure conversion LED signal operation	45,000	45,000	0	0	0
Valley Road	10,000	10,000	0	0	0
Verge Parking	134,711	134,711	0	0	0
Headstone safety in Cemeteries	80,000	60,000	(20,000)	0	(20,000)
Willenhall EACT Academy Swimming Pool Changing Provision	75,000	75,000	0	0	0
Forest Arts Centre (renovation of sports hall)	198,800	198,800	0	0	0
Bentley Community Facility	175,000	175,000	0	0	0
Libraries Universal Digital Offer	67,540	67,540	0	0	0
Refurbishment & upgrade of college facilities & premises	85,000	85,000	0	0	0
NEIGHBOURHOOD COUNCIL FUNDED TOTAL	5,741,544	5,691,392	(50,152)	0	(50,152)
REGENERATION			0	0	0
Acquisition of Lex	280,000	280,000	0	0	0
Enabling works to Office development (Gigaport)	470,126	470,126	0	0	0
New Homes Bonus	334,952	334,952	0	0	0
Regenerating Walsall	319,453	319,453	0	0	0
Walsall Market	20,000	20,000	0	0	0
Replacement dev management, building control & land charge ICT system	98,700	98,700	0	0	0

COUNCIL FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
Demolition of redundant buildings to save on rates	141,122	141,122	0	0	0
Freer Street structural works	9,854	9,854	0	0	0
Kings Hill Park outdoor gym	1,250	0	(1,250)	0	(1,250)
Planned property maintenance	159,598	159,598	0	0	0
Replace heating & ventilation system within First Stop Shop	100,000	100,000	0	0	0
Replace heating & ventilation system within link block	100,000	100,000	0	0	0
Safe water supplies	136,810	136,810	0	0	0
Shop maintenance	153,137	153,137	0	0	0
Solar PV panels	425,000	425,000	0	0	0
Statutory Testing	146,167	146,167	0	0	0
Civic Centre essential maintenance	371,576	371,576	0	0	0
Fire risk assessment	69,264	69,264	0	0	0
Asbestos Removal	62,478	62,478	0	0	0
Improving the customer experience when visiting the Council House	81,500	81,500	0	0	0
REGENERATION COUNCIL FUNDED TOTAL	3,480,987	3,479,737	(1,250)	0	(1,250)
RESOURCES					
Aids and adaptations (statutory element)	1,291,528	1,291,528	0	0	0
Health through warmth and related Retro Fit schemes	882,164	709,164	173,000	0	173,000
DRFS - Trent Upgrade	133,569	133,569	0	0	0
Bring your own device security layers	28,465	28,465	0	0	0
Council wide IT planned rolling replacement and upgrade	537,697	537,697	0	0	0
ICT essential maintenance virtual server infrastructure	74,000	74,000	0	0	0
ICT essential software licence charges	46,000	46,000	0	0	0
ICT requirements to cater for blending transitioned services into WMBC ICT	352,680	352,680	0	0	0
Improvements to ICT security (protection of council information)	18,000	18,000	0	0	0
Increase Council Internet Security	14,500	14,500	0	0	0
N3 Link	15,000	15,000	0	0	0
Replace essential Wide Area Network data circuits	16,945	16,945	0	0	0

COUNCIL FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
Replenish smarter workplaces capital pot	152,200	152,200	0	0	0
Essential Enhancement to Data Security Systems	14,488	14,488	0	0	0
Capital Investment Earmarked Reserve	2,315,233	2,192,823	122,410	0	122,410
RESOURCES COUNCIL FUNDED TOTAL	5,892,469	5,597,059	(295,410)	0	(295,410)
SOCIAL CARE					
Preventative adaptations	740,161	740,161	0	0	0
SOCIAL CARE COUNCIL FUNDED TOTAL	740,161	740,161	0	0	0
TOTAL COUNCIL FUNDED	18,297,322	17,950,510	(346,812)	0	(346,812)

APPENDIX 3 – PRUDENTIAL FUNDED CAPITAL AS AT 30 SEPTEMBER 2014

PRUDENTIAL SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
NEIGHBOURHOOD					
Active Living	5,837,818	5,837,818	0	0	0
Walsall arboretum restoration programme (PRU)	238,646	238,646	0	0	0
NEIGHBOURHOOD PRUDENTIAL TOTAL	6,076,464	6,076,464	0	0	0
REGENERATION					
Primark & Co-op development	5,855,669	5,855,669	0	0	0
Smarter Workplaces ICT	0	0	0	0	0
Smarter Workplaces - Property (Prudential)	519,001	519,001	0	0	0
Smarter Workplaces - Workplace Solutions	88,422	88,422	0	0	0
Darlaston SDA (Prudential)	0	0	0	0	0
REGENERATION PRUDENTIAL TOTAL	6,463,092	6,463,092	0	0	0
RESOURCES					
Finance Direct/Oracle	165,601	165,601	0	0	0
RESOURCES PRUDENTIAL TOTAL	165,601	165,601	0	0	0
TOTAL PRUDENTIAL SCHEMES	12,705,157	12,705,157	0	0	0

APPENDIX 4 – EXTERNALLY FUNDED CAPITAL AS AT 30 SEPTEMBER 2014

EXTERNALLY FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
CHILDRENS					
Pathfinder short breaks	20,381	20,381	0	0	0
14-19 diplomas, SEN and disabilities	117,517	117,517	0	0	0
Academies	1,410,553	1,410,553	0	0	0
Barr Beacon language college s106	5,201	5,201	0	0	0
Basic need – see appendix 4b	1,823,511	1,823,511	0	0	0
Black Country University Technical College (UTC) - Phase 2	81,654	81,654	0	0	0
Capital maintenance – see appendix 4a	4,804,011	4,804,011	0	0	0
Devolved formula capital - school allocations	900,373	900,373	0	0	0
Two year old capital funding	667,160	667,160	0	0	0
Universal infant free school meals – see appendix 4c	590,972	590,972	0	0	0
West Midlands UTC	30,116	30,116	0	0	0
Youth capital funding	36,694	36,694	0	0	0
CHILDRENS EXTERNALLY FUNDED TOTAL	10,488,143	10,488,143	0	0	0
NEIGHBOURHOOD					
King George v playing fields S106	150,522	150,522	0	0	0
Palfrey Park	6,994	6,994	0	0	0
Pleck Park synthetic cricket wickets	0	0	0	0	0
Recreating the Croft	2,288	2,288	0	0	0
Reedwood Park	0	0	0	0	0
Walsall arboretum restoration programme (PRU)	1,822,453	1,822,453	0	0	0
Waste infrastructure capital grant	252,336	252,336	0	0	0
Additional Highway Maintenance emergency road repairs severe weather	251,947	251,947	0	0	0
Additional Highway Maintenance pothole funding	448,614	448,614	0	0	0
Development of Highways Asset Management Plan (HAMPS)	50,499	50,499	0	0	0

EXTERNALLY FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
Local Highways Maintenance Funding	188,000	188,000	0	0	0
LTP Highway Maintenance - Bridges	262,945	262,945	0	0	0
Low emission Strategy	1,990	1,990	0	0	0
Land North of Leamore Lane	2,435	2,435	0	0	0
LTP Highway Maintenance	1,322,000	1,322,000	0	0	0
Pelsall library, childrens centre and health centre	144,064	144,064	0	0	0
Active Living	609,025	609,025	0	0	0
NEIGHBOURHOOD EXTERNALLY FUNDED TOTAL	5,516,112	5,516,112	0	0	0
REGENERATION					
Black Country Business Property Investment Programme (BCPIP)	379,645	379,645	0	0	0
Black Country Enterprise Zone	250,000	250,000	0	0	0
Willenhall Townscape Heritage Initiative – Heritage Lottery Fund	285,997	285,997	0	0	0
Willenhall Townscape Heritage Initiative - VIEW	139,007	139,007	0	0	0
Darlaston SDA	5,897,793	5,897,793	0	0	0
Local Sustainable Transport Fund	27,000	27,000	0	0	0
Local Transport Plan (LTP)	1,507,846	1,507,846	0	0	0
LTP Black Country quick wins 2	71,000	71,000	0	0	0
REGENERATION EXTERNALLY FUNDED TOTAL	8,558,288	8,558,288	0	0	0

EXTERNALLY FUNDED SCHEMES	2014/15 Budget £	Estimated Outturn £	Variance £	Carry forward to 2015/16 £	(Underspend)/ overspend £
RESOURCES					
Contribution Aids and Adaptations	92,207	92,207	0	0	0
Disabled facilities grant	1,390,118	1,390,118	0	0	0
Investing in working smarter	340,000	340,000	0	0	0
RESOURCES EXTERNALLY FUNDED TOTAL	1,822,325	1,822,325	0	0	0
SOCIAL CARE					
Personal Social Services Grant	1,664,997	1,664,997	0	0	0
SOCIAL CARE EXTERNALLY FUNDED TOTAL	1,664,997	1,664,997	0	0	0
TOTAL EXTERNALLY FUNDED	28,049,865	28,049,865	0	0	0

APPENDIX 4a

Education Capital Maintenance By Scheme	Budget £	Forecast £
ALUMWELL NURSERY ELECTRICAL WORKS	426	426
ALUMWELL NURSERY ROOFING WORKS	40,000	40,000
BEACON PRIMARY ROOFING WORKS	135,000	135,000
BENTLEY WEST PRIMARY ROOFING WORKS & PARAPET WALL REPLACEMENT	95,000	95,000
BLACKWOOD PRIMARY HEATING SYSTEM REPLACEMENT	595	595
BLACKWOOD SCHOOL H&C WATER DISTRIBUTION SYSTEM	83,000	83,000
BROWNHILLS SCHOOL - ELECTRICAL WORKS PHASE 2	230,000	230,000
BROWNHILLS SCHOOL REPLACEMENT ASBESTOS ROOFING	170,000	170,000
BROWNHILLS SCHOOL SPORTS HALL ROOFING	-5,201	-5,201
BROWNHILLS WEST PRIMARY REWIRING & REPLACEMENT FIRE ALARM	4,031	4,031
BUSILL JONES PRIMARY KS2 DISTRIBUTION & HEAT EMITTER REPLACEMENT	112,000	112,000
CALDMORE PRIMARY ROOFING WORKS	135,000	135,000
CALDMORE PRIMARY SIGNIFICANT ENLARGEMENT	81,726	81,726
CASTLEFORT PRIMARY ROOFING WORKS	100,000	100,000
COUNTY BRIDGE PRIMARY BOILER REPLACEMENT	5,058	5,058
COUNTY BRIDGE PRIMARY ELECTRICAL WORKS	43,750	43,750
DELVES INFANTS ROOFING WORKS	0	0
DELVES JUNIOR CLASSROOM ALTERATIONS & REWIRING	4,000	4,000
DELVES JUNIOR INTERNAL REFURB & ELECTRICAL REWIRE	17,434	17,434
ELMORE GREEN PRIMARY ELECTRICAL WORKS	53,500	53,500
FULLBROOK NURSERY LIGHTING UPGRADE	30,000	30,000
GREENFIELD PRIMARY HEATING DISTRIBUTION WORKS	0	0
HILLARY PRIMARY DISTRIBUTION & HEAT EMITTERS	82,625	82,625
KINGS HILL PRIMARY H&C WATER DISTRIBUTION WORKS	13,715	13,715

Education Capital Maintenance By Scheme continued ...	Budget £	Forecast £
KINGS HILL PRIMARY WINDOW REPLACEMENT	90,000	90,000
LEIGHSWOOD PRIMARY ROOFING WORKS PHASE 1	67,839	67,839
LEIGHSWOOD PRIMARY ROOFING WORKS PHASE 1	115,000	115,000
LIGHTHOUSE CHILDREN CENTRE ROOFING WORKS	40,000	40,000
LITTLE BLOXWICH PRIMARY HALL FLOOR REPLACEMENT	46,807	46,807
LITTLE BLOXWICH PRIMARY ROOFING WORKS	190,000	190,000
LODGE FARM JMI ROOFING WORKS	6,946	6,946
LODGE FARM PRIMARY ELECTRICAL WORKS	6,067	6,067
LOWER FARM PRIMARY ELECTRICAL INSTALLATION UPGRADE & FIRE ALARM	31,903	31,903
LOWER FARM PRIMARY ROOFING WORKS PHASE 1	69,826	69,826
MEADOW VIEW PRIMARY ELECTRICAL WORKS	3,281	3,281
MILLFIELD PRIMARY ELECTRICAL WORKS	144,768	144,768
NEW INVENTION JUNIOR REWIRING/RELIGHTING WORKS	160,625	160,625
OAKWOOD SCHOOL ROOFING WORKS	95,000	95,000
OLD CHURCH PRIMARY REWIRE & RELIGHT	16,589	16,589
OLD HALL SCHOOL REWIRE & RELIGHT	7,568	7,568
PALFREY INFANTS ELECTRICAL WORKS	0	0
PELSALL VILLAGE PRIMARY ROOFING WORKS	145,000	145,000
PHEASEY PARK FARM PRIMARY WINDOW REPLACEMENT	90,000	90,000
PHEASEY PARK FARM WINDOW & DOOR REPLACEMENT	140,000	140,000
PINFOLD ST PRIMARY ROOFING WORKS	0	0
PINFOLD STREET PRIMARY STRUCTURAL WORKS TO 4 CLASSROOMS	196,843	196,843
POOL HAYES A&C SCHOOL REWIRE PHASE 1	3,446	3,446
POOL HAYES ARTS & COMMUNITY COLLEGE REWIRING PHASE 2	258,125	258,125
POOL HAYES ARTS & COMMUNITY COLLEGE ROOFING WORKS PHASE 2	170,000	170,000
POOL HAYES PRIMARY ELECTRICAL WORKS	107,125	107,125
POOL HAYES PRIMARY ROOFING WORKS	135,000	135,000
ST JAMES PRIMARY HEATING SYSTEM & HC WATER DISTRIBUTION	258,125	258,125

Education Capital Maintenance By Scheme continued ...	Budget £	Forecast £
ST JOHNS PRIMARY ELECTRICAL WORKS	116,875	116,875
ST JOHNS PRIMARY ROOFING WORKS	130,000	130,000
WATLING STREET PRIMARY REPLACEMENT FOUNDATION UNIT	384,287	384,287
WHETSTONE FIELD PRIMARY BOILER & HEATING REPLACEMENT	2,662	2,662
WHITEHALL INFANTS ROOFING, BRICKWORK & BELL TOWER REPAIRS	142,644	142,644
Total Education Capital Maintenance	4,804,011	4,804,011

APPENDIX 4b

Education Basic Need by Scheme	Budget £	Forecast £
BIRCHILLS CE PRIMARY ENLARGEMENT & CHRISTCHURCH JMI REPLACEMENT SCHOOL BUILDING	0	0
HILLARY PRIMARY SIGNIFICANT ENLARGEMENT	11,396	11,396
WATLING ST PRIMARY ROOFING WORKS	0	0
HILLARY PRIMARY INTERNAL REMODELLING KS2 BUILDING	2,439	2,439
CALDMORE PRIMARY SIGNIFICANT ENLARGEMENT	146,391	146,391
PHOENIX SCHOOL EXPANSION & INTERNAL ALTERATIONS	0	0
CHRISTCHURCH - ENLARGEMENT SUMMER	40,000	40,000
CHRISTCHURCH - RECEPTION INTAKE	50,000	50,000
CHRISTCHURCH - ENLARGEMENT	7,500	7,500
KING CHARLES ENLARGEMENT	314,000	314,000
FIBBERSLEY - ENLARGEMENT	620,000	620,000
TO BE ALLOCATED	620,000	620,000
TOTAL BASIC NEED	11,785	11,785
	1,823,511	1,823,511

APPENDIX 4c

Universal Infant Free School Meals	Budget	Forecast
	£	£
Abbey Primary	9,000	9,000
Alumwell Infant	28,800	28,800
Barcroft Primary School	15,000	15,000
Beacon Primary	16,000	16,000
Bentley West Primary (Federation)	18,000	18,000
Blackwood Primary	37,294	37,294
Bloxwich C.E. JMI	16,700	16,700
Brownhills West Primary	4,500	4,500
Busill Jones	7,033	7,033
Butts Primary	10,000	10,000
Caldmore Primary	10,300	10,300
Castlefort JMI	11,100	11,100
Christ Church C.E. (C) JMI	671	671
Chuckery Primary	13,619	13,619
County Bridge Primary	2,500	2,500
Delves Infant	22,848	22,848
Elmore Green Primary	6,225	6,225
Fibbersley Park Primary	11,500	11,500
Holy Trinity CE Primary	8,500	8,500
King Charles Primary	34,872	34,872
King's Hill Primary	10,704	10,704
Leamore Primary	500	500
Leighswood Primary	22,200	22,200
Lindens Primary	8,439	8,439
Lodge Farm JMI	9,000	9,000
Lower Farm Primary	1,872	1,872
Manor	15,000	15,000
Meadow View JMI	10,900	10,900
Millfield Primary	8,270	8,270
Moorcroft Wood	5,869	5,869
New Invention Infant	2,000	2,000
Old Church Primary	30,000	30,000
Palfrey Infant	14,860	14,860
Palfrey Junior	12,250	12,250
Pelsall Village Primary	2,508	2,508
Pheasey Park Farm Primary	8,698	8,698
Pinfold	2,752	2,752
Pool Hayes Primary	3,000	3,000
Rosedale	2,300	2,300
Rushall JMI	4,532	4,532
Salisbury Primary	15,005	15,005
St. Giles C.E. Primary	9,950	9,950
St. James Primary	11,350	11,350

Universal Infant Free School Meals continued...	Budget £	Forecast £
St. Johns C.E. Primary	24,800	24,800
St. Michaels C.E. Primary	12,700	12,700
Sunshine Infant and Nursery	9,000	9,000
The Radleys	1,330	1,330
Walsall Wood Primary	13,740	13,740
Watling Street Primary	7,700	7,700
Whetstone Field Primary	700	700
Whitehall Nursery and Infant	11,048	11,048
Contingency	13,533	13,533
Total Universal Infant Free School Meals	590,972	590,972