

REPORT OF THE HEAD OF FINANCE
TO HEALTH, SOCIAL CARE AND INCLUSION SCRUTINY AND PERFORMANCE PANEL
10 JULY 2008
2007/08 FINAL BUDGETARY POSITION FOR THE FINANCIAL PERIOD
1 APRIL 2007 to 31 MARCH 2008

1 Purpose of the report

- 1.1 To inform SMB members of the closing financial position for Social Care & Inclusion in the financial year 2007/2008.

2 Financial Position

- 2.1 The Social Care & Inclusion budgetary position at the end of 2007/8 after utilisation of earmarked reserves for adult services (£0.962m) is an overspend of **£0.125m, (0.12%)** against a gross budget of £107.233m.
- 2.3 Without the implementation of the in-year action plan achieving savings and efficiencies of £1.887m (**Appendix 1**), the allocation from Walsall tPCT of £0.700m, and the in year allocation of reserves of £0.962m adult services would be predicting an over spend in the region of **£3.7m**.
- 2.4 Panel members should note that the financial position reported within this report is still subject to external audit. The figures may alter following the audit process that will take place during July 2008.

3 Detailed Breakdown of Revenue Expenditure

- 3.1 **Table 1** shows the breakdown of budget across all services on a gross and net basis and the variance for each.

Table 1 – 2007/08 final budgetary year-end position for SC&I by service area

SERVICE AREA	ANNUAL BUDGET EXPENDITURE	ANNUAL BUDGET INCOME	TOTAL ANNUAL BUDGET	TOTAL EXPENDITURE FOR YEAR	TOTAL INCOME FOR YEAR	TOTAL NET SPEND FOR YEAR	FINAL YEAR END OVER/(UNDER) SPEND	
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	%
OLDER PERSONS	48,735	-19,288	29,447	47,375	-18,767	28,608	-839	-2.85%
YADS	8,927	-2,782	6,145	10,898	-3,568	7,330	1,185	19.28%
LEARNING DISABILITIES	28,369	-16,112	12,257	28,690	-16,429	12,261	4	0.03%
MENTAL HEALTH	7,685	-2,187	5,499	8,070	-2,330	5,741	242	4.40%
OTHER	310	-189	122	225	-151	75	-47	-38.66%
SUPPORT SERVICES	1,324	0	1,324	1,452	-284	1,168	-156	-11.78%
HOUSING STANDARDS & IMPROVEMENT	-4,193	-352	-4,546	-4,217	-357	-4,575	-29	0.64%
HOUSING STRATEGY & PARTNERSHIPS	5,517	0	5,517	5,467	0	5,467	-50	-0.91%
SUPPORTED HOUSING	3,134	-2,646	489	3,205	-2,890	316	-173	-35.40%
SUPPORTING PEOPLE	7,424	-7,234	190	6,782	-6,671	111	-79	-41.58%
TOTAL	107,233	-50,789	56,443	107,948	-51,446	56,501	58	
Carry forward request for supporting people							67	
Final position post action plan and carry forward request							125	

- 3.2 **Table 2** shows the breakdown of budget across all services by CIPFA classifications and the variance for each.

Table 2 – 2007/08 final budgetary year-end position for SC&I by CIPFA classification

CIPFA Expenditure Block	Final expenditure/ income	Full Year Budget	Variance
	£'000	£'000	£'000
Employee Costs	31,722	32,339	-617
Premises Costs	2,036	1,873	163
Transport Costs	1,136	1,044	92
Agency & Contracted Services	55,895	55,103	792
Supplies and Services	5,763	6,232	-469
Capital Financing	1,427	1,429	-2
Transfer Payments	23,676	22,492	1,184
Income	-34,832	-34,020	-812
Government Grants	-20,066	-19,862	-204
Grand Total	66,756	66,631	125

4 Capital Programme

- 4.1 Summary of the capital programme for Social Care & Inclusion for 2007/8 is given in **Appendix 2**.

5 Finance Performance Data

- 5.1 There are a number of key indicators for Social Care & Inclusion which are finance driven. PAF B12 is the recalculation of average gross weekly expenditure per person on supporting adults and older people in residential and nursing care and providing intensive home care, and PAF B17 is the average gross hourly cost for homecare. These are currently calculated on a quarterly basis. Information for quarter 4 is shown in **Table 4**.

Table 4 – PAF indicator information quarter 4 2007/8

PAF indicator	Target 2007/8 (£)	Outturn 2007/8 (£)
B12	493	536
B17	15.20	15.72

6 Actions for Scrutiny and Performance Panel

- 6.1 To note the 2007/08 year end financial position within social care and inclusion, an overspend position **£0.125m**.

Contact Officers:

Marcus Law
Group Accountant
☎: (01922) 652709
✉ lawm@walsall.gov.uk

Christopher Hinton
Senior Accountant
☎: (01922) 658226
✉ hintonc@walsall.gov.uk

Helen Dennis
Senior Accountant
☎: (01922) 652768
✉ dennish@walsall.gov.uk

Suzanne Letts
Senior Accountant
☎: (01922) 652732
✉ letts@walsall.gov.uk

Steve Hough
Senior Accountant
☎: (01922) 652702
✉ houghs@walsall.gov.uk

Nigel Imber
Group Accountant
☎: (01922) 652723
✉ imbern@walsall.gov.uk

MAP Outturn 2007/2008**Appendix 1**

Service Area	Action identified	Amount £m	year end outturn	Amount Not achievable
LD	Terminate contract for Mencap (South Street)	0.016	0.016	0.000
LD	Review all packages over £1,000 pw with Lifeways and SCCT	0.120	0.050	0.070
LD	Offer no inflationary increase for all providers	0.227	0.000	0.227
LD	Reduce LD team emergency funding budget	0.037	0.037	0.000
LD	Reduce the LD support budget	0.050	0.050	0.000
LD	Reduce availability of transport to 'other' venues	0.021	0.021	0.000
LD	Reduce all current service users at Emscote House to the basic rate	0.015	0.015	0.000
LD	Planned closure of all Day centres for a week in August (to coincide with college closures) and from 27th Dec to 4th Jan 08	0.028	0.021	0.007
LD	Vacancy management within Day Centres	0.075	0.075	0.000
LD	Reduction in agency staff within Day Centres	0.046	0.046	0.000
OP	Nil inflation Extra Care Contracts	0.020	0.020	0.000
OP	Review all small Home Care Packages below £80 per week	0.050	0.050	0.000
OP	1 further vacancy held at each team (3 in total)	0.150	0.150	0.000
OP	East locality agency staff replaced by 2 substantive posts (£20k per post)	0.040	0.040	0.000
OP	2 vacancies to be carried at each locality	0.300	0.266	0.034
OP	Holding Home Care vacancies at 06/07 level	0.220	0.220	0.000
YADS	Review home care packages under £80 per week increase uptake of NCO and assistive technology services to reduce costs	0.040	0.030	0.010
YADS	Ensure review of all homecare packages is carried out jointly with OT as uptake of adaptations may help lower the cost of packages	0.100	0.075	0.025
YADS	Encourage take up of ILF during review	0.050	0.038	0.012
YADS	Set ceiling of £300 per week to any home care package - new packages and review of existing to be carried out	0.168	0.126	0.042
ALL	Estimated income realised following work carried out by DP reviewing officer	0.015	0.263	-0.248
YADS	Vacancy management- nil increase in agency	0.040	0.034	0.006
YADS	Savings on non-staffing budgets	0.031	0.020	0.011
YADS	No inflationary increase on contracts	0.063	0.000	0.063
Total as per original action plan		1.922	1.663	0.259

Additional savings identified:

YADS	Identification of extra continuing health care income	0.198	0.089	0.109
MH	Identification of non recurring health care funding for EMI development	0.105	0.053	0.052
MH	Identification of extra continuing health care income	0.050	0.052	-0.002
MH	Vacancy management- nil increase in agency	0.030	0.030	0.000
Revised action plan		2.305	1.887	0.418

CAPITAL PROGRAMME 2007-08

CASHFLOW PROJECTION STATEMENT (AS @ 31 MARCH & ADJUSTMENTS 2008)

Social Care

Part 1

Please complete this section of the form for **MAINSTREAM** schemes only

CFR No	Project description	Project manager	Oracle ST/CC	Budget (including slippage)	Outturn	Contributions	Variance (+/-)	Description of variance		Explanation of variance/comments	Progress of project
				£	£	£	£	Slippage £	Underspend £		
431	Aids & Adaptations	Mark Wade	5721 9300200	3,066,750	2,495,434	0	-571,316	571,316		Projects committed in 07/08 and will be completed in Q1 08/09	G
422	Demolition costs - Extra Care Housing	Andy Cross	5711 9301701	140,000	140,000		0				G
433	Disabled Facilities Grant	Mark Wade	5721 9301200	610,000	610,000	0	0				G
362	Mental Health - Mini Bus	Jim Warburton	5741 9300401	39,562	39,562		0			Underspend to be spent on Broadway North	G
482	Mental Health - Refurbish Broadway North	Jim Warburton	5741 ?	17,263	10,159		-7,104		0	Overspent from MH Mini Bus above	G
368	Mental Health - Minor Works at Bloxwich Hospital	Jim Warburton	5741 9620000	6,773	0		-6,773			To be spent March 2008	G
472	Mental Health - New gym at DPH	Jim Warburton	5741 9308100	322,940	19,729		-303,212	303,212	0	Request for carry forward to be completed	G
463	New Build Beacon View	Ian Staples		100,000	0		-100,000		100,000	Project underspend to be offset against additional costs of Fallings Heath in 08/9	G
478	New Build Fallings Heath	Ian Staples		250,000	3,915	10,000	-256,085	256,085		Additional costs mean the project will cost approx £130k more than envisaged. This will be funded from underspend on Beacon View and a capital contribution from revenue in 08/9	G
479	New Build Short Break Unit	Ian Staples		200,000	15,505		-184,495	184,495		Project officer and HoS are due to meet with councillors to discuss issues with local residents objecting to this project. It is anticipated that issues will be resolved and work can commence in 08/9.	G
	Social work locality team East	Andy Cross		12,000	2,985		-9,015	9,015		Slippage due to delay in obtaining contractors	A
421	Willenhall Lane Travellers Site Phase 1	Andrea Potts	5802 9301500	11,202	625		-10,577	10,577		Transferred budget from Phase 2	G
421	Willenhall Lane Travellers Site Phase 2	Andrea Potts	5802 9301501	326,514	235,056		-91,458	91,458		Transferred £177309.03 to Phase 3	G
421	Willenhall Lane Travellers Site Phase 3	Andrea Potts	5802 9301502	177,309	50,721		-126,589	126,589		Phase 3 to carry forward	G
452	Replacement of Client Index (SOSCIS)	Margaret Willcox	5771 9300900	36,676	36,676		0				G

CFR No	Project description	Project manager	Oracle ST/CC	Budget (including slippage)	Outturn	Contributions	Variance (+/-)	Description of variance		Explanation of variance/comments	Progress of project
	Housing										
436	Housing needs and demand studies	Debbie Parkes	4910 9106900	7,250	7,250		0		2,750	Project Completed	G
434	Private sector house condition survey	Mark Wade	5721 8124101	50,000	50,000		0				G
432	Private sector renovation grant	Mark Wade	4945 9105000	165,000	296,450	131,450.00	0			Client Contributions from KickStart Project used to offset Expenditure	G
372	Health through warmth (Match Funded)	Steven Rowley	945 9105000 0104	200,000	200,000		0				G
372	Health through warmth (Recharge to NRF)	Steven Rowley	910 9104400 0100	0	0		0			£64k to be recharged to NRF	G
				5,739,239	4,214,066	141,450	-1,666,622	1,552,747	102,750		

Part 2Please complete this section of the form for **PRUDENTIAL** schemes only

CFR No	Project description	Project manager	Oracle ST/CC	Budget (including slippage)	Estimated Outturn	Contributions	Variance (+/-)	Breakdown of variance		Explanation of variance/comments	Progress of project
				£	£	£	£	Slippage £	Underspend £		
452	Replacement of SOSCIS - PARIS	Margaret Willcox	3504 9300900	215,000	135,176		-79,824	79,824		Request for carry forward completed and approved	G
				215,000	135,176	0	-79,824	79,824	0		

Part 3Please complete this section of the form for **SPECIFICALLY RESOURCED** schemes e.g. grant funded

CFR No	Project description	Project manager	Oracle ST/CC	Budget (including slippage)	Outturn	Contributions	Variance (+/-)	Breakdown of variance		Explanation of variance/comments	Progress of project
				£	£	£	£	Slippage £	Underspend £		
433	Disabled Facilities Grant	Mark Wade	5721 9301200	915,000	600,000		-315,000	315,000		Additional Grant received in Feb 07/08. Projects committed in 07/08 and will be completed in Q1 08/09	G
270	Extra care housing grant	Andy Cross	5712 9301700	97,946	97,946		0				G
421	Gypsy Council Grant - phase 2/3	Andrea Potts	5802 9301502	312,075	0		-312,075	312,075		Phase 3 to carry forward	G
401	Evolve - Housing market renewal	Mark Wade	4910 9603400	3,707,647	2,767,095		-940,552	940,552		Committed projects in 07/08 to be completed in Q1 08/09	G
416	Improving care homes	Andy Cross	5711 various	377,546	377,547		0				G
452	Improving Information Grant	Peter Davies	5771 9300700	154,774	154,876						G
432	Private sector renovation grants	Mark Wade	4945 9105000	1,235,406	705,651		-529,755	529,755		Committed projects in 07/08 to be completed in Q1 08/09	G
				6,800,394	4,703,114	0	-2,097,382	2,097,382	0		

Progress of project indicators as follows:

R	RED	Will not meet deadline
A	AMBER	Behind target but can be recovered

G GREEN On target

CAPITAL PROGRAMME 2007-08

CASHFLOW PROJECTION STATEMENT

Social Care

Part 1

Please complete this section of the form for MAINSTREAM schemes only

CFR No	Project description	Project manager	Oracle STICG	Budget	Slippage	Adjustment	Budget (including slippage)	Expenditure for of April	Actual for May	Actual for June	Actual for July	Actual for August	Actual for September	Actual for October	Actual for November	Actual for December	Actual for January	Actual for February	Actual to end of March	Adjustment period amendments	Estimated Outturn	Variance	%	Variance (+/-)	Breakdown of variance		Balance sheet code	Contributions	Explanation of variance/comments	Progress of project	Date of completion		
				£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£			£	Slippage	Underspend	£	£					
431	Adults & Adaptations	Mark Wade	5721 9300200	3,267,000.00	0.00	-184,200.00	3,082,799.99	147,872.00	81,776.00	111,292.00	57,699.79	169,294.00	189,298.30	194,545.80	80,849.20	972,873.79	160,013.00	392,173.14	100,791.00	2,834,768.90	82.65%	-531,890.58	531,890.58		3055.00745	0.00				A			
432	Devolution costs - Extra Care Housing	Andy Cross	5731 9301701	0.00	0.00	140,000.00	140,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00										A		
433	Sheltered Facilities Grant	Mark Wade	5721 9301200	400,000.00	0.00	210,000.00	610,000.00	134,846.00	83,400.00	65,839.46	22,393.47	6,000.34	3,650.95	11,683.00	39,624.00	0.00	6,887.79	13,432.88	81,344.00	679,363.40	95.07%	-30,046.60	30,046.60		3055.00795					B			
362	Mental Health - MIRA Bus	Jan Warburton	5741 9300401		39,562.00		39,562.00																									B	
432	Mental Health - Redwood Roadway North	Jan Warburton	5741 9300200		17,263.00		17,263.00																									B	
368	Mental Health - Minor Works at Blawach Hospital	Jan Warburton	5741 9820000		6,773.00		6,773.00																									A	
472	Mental Health - New gym at DPH	Jan Warburton	5741 9308100		191,947.00	130,980.00	322,927.00																										
483	New Build Beacon View	Jan Staples	5731 930700	0.00	200,000.00	-100,000.00	100,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00												
479	New Build Fallings Heath	Jan Staples	5731 9419700	0.00	250,000.00	0.00	250,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00												
479	New Build Sturk Road Unit	Jan Staples	5731 9419800	0.00	200,000.00	0.00	200,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00												
	Social work locality team East	Andy Cross		12,000.00	0.00	0.00	12,000.00																										
421	Willeshall Lane Travellers Site Phase 1	Andrea Potts	5802 9301500	0.00	163,689.00	-163,787.41	11,201.60				10,676.84																						
421	Willeshall Lane Travellers Site Phase 2	Andrea Potts	5802 9301601	180,400.00	100,636.00		75,476.38																										
421	Willeshall Lane Travellers Site Phase 3	Andrea Potts	5802 9301701	0.00	0.00	127,388.00	127,388.00																										
432	Replacement of Client Index (SDSCS)	Polina Daniels	5771 9300000	0.00	36,676.00	0.00	36,676.00																										
	Housing																																
436	Housing needs and demand studies	Mark Chadwick	4910 9106000	10,000.00	0.00	-3,798.00	7,202.00																										
434	Private sector house condition survey	Mark Wade	5721 9124101	60,000.00	0.00	0.00	60,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00													
432	Private sector renovation grant	Mark Wade	4945 9105000	1,765,000.00	0.00	-1,680,000.00	85,000.00																										
372	Health through exercise scheme funded	Stephen Rowley	4945 9105000.0046	0.00	0.00	200,000.00	200,000.00	0.00	26,145	46,013	3,323	0.00	1,841	0.00	36,246	1,695.66	62,665.13	2,738.20	200,000.00	100.00%	0.00												
372	Health through exercise challenge to MIRA	Simon Stamp	4945 9104000.0045	0.00	0.00	0.00	0.00																										
				5,649,400.00	1,206,846.00	-1,116,087.50	5,739,238.50	0.00	282,718.00	175,299.01	450,768.11	332,336.32	325,115.78	352,244.38	266,961.45	220,010.68	971,876.46	166,130.82	477,566.17	262,668.40	4,223,315.56	73.59%	-1,615,921.94	1,615,921.94	1,531,431.10	-2,750.38			141,449.94				

*check figure should equal
1,515,822.94 1,528,670.72

Part 2

The form for PRUDENTIAL schemes only

CFR No	Project description	Project manager	Oracle STICC	Budget	Slippage	Adjustment	Budget (including slippage)	Expenditure for April	Actual for May	Actual for June	Actual for July	Actual for August	Actual for September	Actual for October	Actual for November	Actual for December	Actual for January	Actual for February	Forecast actual to end of March	Adjustment period amendments	Estimated Outturn	Variance	%	Variance (+/-)	Breakdown of variance		Balance sheet code	Contributions	Explanation of variance/comments	Progress of project	Date of completion
				£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£	£			£	Slippage	Underspend	£	£			
432	Replacement of STICCS - PMIS	John Davis	6500000	215,000.00	1500	0.00	215,000.00										0.00	0.00	0.00	0.00	135,176.38	135,176.38	62.87%	-79,823.62	79,823.62					Request for carry forward to be completed	
				215,000.00	0.00		215,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	135,176.38	135,176.38	62.87%	-79,823.62	79,823.62	0.00		0.00			

*check figure should equal
-79,823.62

Notes:

1 balance to Oracle reports
2 in the forecast, not included in spend
3 in of variance, date of completion and funding information
managers to enable programme to be re-phased
4 not included in the forecast

RED Will not meet deadline
AMBER Behind target but can be recovered
GREEN On target

Will not meet deadline
Behind target but can be recovered
On target

