

Audit Committee – 24 September 2018

GDPR Review – Summary of Findings

Summary of the Report

The purpose of this report is to update the Audit Committee with the findings of the General Data Protection Regulations (GDPR) review carried out in August/September 2018.

The objectives of the audit were to assess the adequacy of Walsall Council's risk management, control and governance processes for the General Data Protection Regulations (GDPR), which came into force as part of the Data Protection Act 2018 on 25 May 2018.

Overall, the audit found there to be **Substantial Assurance** in compliance with the regulations, and the following areas of strength were identified:

- The Council recently recruited a Data Protection Officer with a background in Information Security and sufficient knowledge and expertise to assist in guiding the Council towards compliance;
- The Council demonstrates a good level of compliance when benchmarked against similar organisations;
- GDPR related risks are included in Directorate Risk Registers;
- Framework implemented for conducting Privacy Impact Assessments in line with the guidance on such processes contained in GDPR;
- A clear and easily understood Privacy Notice is in place;
- Measures are in place to ensure policies and procedures are reviewed and are accessible to all staff;
- Adequate Data Breach Notification Procedures are in place;
- The Council has adopted Privacy by Design and Default, as recommended under the GDPR Article 25;
- Sufficient training is in place for all staff, including Information Asset Owners.

A management action plan has been drawn up to implement the recommendations arising from this review.

Background Papers

Council policies and procedures, Information Asset Registers, Training Records, Data Sharing Templates, Management Information Reports

Recommendations

Audit Committee are recommended to note the summary of findings.

Vicky Buckley - Head of Finance
13 September 2018

Resource and Legal Considerations

GDPR came into force on 25 May 2018.

Governance Issues

The Audit Committee's activities include ensuring that an effective internal control environment is maintained and regular and ongoing scrutiny of internal audit work undertaken. This report supports the Audit Committee in exercising that role.

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