

# **DIRECTORATE "TOP" RISKS – JANUARY 2016**

## **APPENDIX 2**

<b>Directorate</b>	<b>Risk No.</b>	<b>Risk Score (I) x (L)</b>	<b>Risk (Threat)</b>	<b>Link CRR</b>
Children	1	4x4=16	Failure to secure and embed Mosaic (replacement system to Paris) leads to loss of oversight and inability to realise the benefits around data robustness, social worker capacity and high quality practice to support good outcomes for children.	21
Children	2	4x4=16	Children's services budgets are reduced to such an extent that resources no longer enable the key work needed to: a) keep children safe and protected from harm and achieve good outcomes. b) Improve educational outcomes and more schools being judged good and outstanding.	1
*Children	3	4x4=16	Insufficient resources to cater for the children who need to be in care: Increasing numbers of children being looked after by the Local Authority, resulting in resource pressures which impact on quality & effectiveness of care arrangements, & wider impact on overall resource pressures within the directorate & wider council.	Prev 19: devo direc June
Children	7	4x4=16	Failure to ensure effective partnership practice in safeguarding children and vulnerable adults will result in significant harm, abuse or death for vulnerable residents of all ages. This has serious regulatory and reputational implications and risks, including intervention, for the council and the wider partnership, with serious consequences for service users and loss of confidence by local residents.	20a /
Children	9	4x4=16	Insufficient resources to cater for children who need support due to presenting needs of substance misuse/domestic violence/ mental health (toxic trio), resulting in resource pressures which impact on the quality and effectiveness of services within the directorate and wider council.	
SC&I	3	4x5=20	Inability to effectively manage demand and budgets within directorate in year or in future years.	
SC&I	18	4x4=16	Information systems and directorate performance management capacity cannot cope with national, regional or local performance or financial management demands.	
SC&I	n/k	4x4=16	The retender process for res/nursing care, and community services results in additional cost not allowed for in the MTFP.	
SC&I	9	4x4=16	Unable to improve the supply quality of independent sector services to meet Care Act requirements.	2
SC&I	29	4x4=16	Unable to shape or develop market to optimise preventative services to reduce and delay need for formal care.	N
SC&I	n/k	3x5=15	Failure to actively manage the market and embed outcome based commissioning.	N
SC&I	n/k	4x4=16	The high sickness absence levels over a sustained period of time lead to waiting lists for services and disaffected workforce.	N
SC&I	16	3x5=15	The proposed savings in the medium term financial plan are not delivered in full and to time.	
SC&I	n/k	3x5=15	Failure to actively manage the market and embed outcome based commissioning.	N
EE	1	3X6=18	Failure to deliver the directorate's work and services in line with the Council's agreed and decreasing budget for the years 2016/20.	
EE	3	3X5=15	Inability to prevent large scale decline of our economy Corporate priority - business work.	
EE	15	3X5=15	Failure to agree proposals for long term future of libraries service	

**\* Highlighted risks which were previously on the CRR but devolved.**

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			[Corporate priority:workforce designed around residents]	
EE	22	3X5=15	Insufficient resource time to add full value to change activity [Corporate priority – dynamic and efficient workforce].	

**\* Highlighted risks which were previously on the CRR but devolved.**