

**Audit Committee – 23 September 2019**

**Internal Audit Progress Report 2019/20**

**Summary of the Report**

The purpose of this report is to update the Audit Committee on Internal Audit's progress on the 2019/20 audit plan (attached).

**Background Papers**

Internal Audit reports/monitoring information.

**Recommendations**

Audit Committee are recommended to note the contents of this report.

A handwritten signature in purple ink, appearing to read 'V Buckley' with a stylized flourish at the end.

**Vicky Buckley – Head of Finance**

**12 September 2019**

**Resource and Legal Considerations**

The Accounts and Audit Regulations 2015 came into effect on 1 April 2015 and require councils to undertake an effective Internal Audit to evaluate the effectiveness of its risk management, control and governance processes, taking into account Public Sector Internal Auditing Standards or guidance.

**Governance Issues**

The Audit Committee's activities include ensuring that an effective internal control environment is maintained and regular and ongoing scrutiny of internal audit work undertaken. The report supports the Audit Committee in exercising that role.

**Citizen Impact**

Internal Audit work is intended to ensure that effective systems of internal control are in place. This protects the council and its officers and provides an assurance to stakeholders and citizens regarding the security of council's operations.

## **Performance and Risk Management Issues**

Part of Internal Audit's role is to provide assurance in the council's performance and risk management arrangements. Internal Audit's programme of work is risk assessed to ensure areas most at risk are examined as a priority.

Regular updates will be provided to Audit Committee on progress against the approved Internal Audit Plan for 2019/20.

## **Equality Implications**

Internal Audit has full regard to equalities both within the internal audit service and in the services / activities under review.

## **Consultation**

The annual work plan 2019/20 was consulted upon before its final endorsement by executive directors, senior managers, the Chief Finance Officer and Audit Committee.

## **Contacts:**

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