

DRAFT ASSURANCE MAP - CHILDREN'S SERVICES										
Risk Ref	Risk Description	Risk Rating		1st Line of Defence - Management Controls	2nd Line of Defence - Management Oversight	3rd Line of Defence - Independent Assurance	Current Internal Audit Coverage	Proposed Internal Audit Coverage		
		Inherent	Residual				2016/17	2017/18	2018/19	2019/20
	OPERATING MODEL									
1	Children who are missing from home and / or education and at risk of CSE	20		Closer monitoring of CSE cases through strategic management structure and operational groups. Direct intervention work with Head teachers to secure a school place.	Department Management Team (DMT) & Education and Children Services Overview and Scrutiny Committee (November 2016 - discussed missing children)	Internal Audit	Troubled Families, Residential Care	√		√
2	Failure to secure and embed Mosaic (replacement system to Paris) leads to loss of oversight and inability to realise the benefits around data robustness, social worker capacity and high quality practice to support good outcomes for children.	16		Performance monitoring. Investment in Children's workforce training and development programme.	CMT (Corporate Management Team) Mosaic Implementation Steering Group/Operational Board			√	√	√
3	Thresholds understanding and application is insufficient across the partnership, leading to unnecessary cases being referred from single agencies, unmanageable caseloads and lack of prioritisation - potentially children are at risk of harm.	16		Thresholds training delivered across the Partnership. Establishment of MASH and improved Partner engagement. Reviews of all statutory and non statutory cases.	Walsall Safeguarding Children Board (WSCB) and Education and Children's Services Overview and Scrutiny Committee November 2016			√		
4	Failure to ensure effective partnership practice in safeguarding children will result in significant harm, abuse or death for vulnerable children and young people. This has serious regulatory and reputational implications and risks, including external intervention, for the council and the wider partnership, with serious consequences for service users and loss of confidence by local residents.	16		New Independent Chair who will bring together and Chair both the Adults and Children's Safeguarding Boards.	Walsall Local Safeguarding Children Board (WSCB) / Walsall Multi-Agency Safeguarding HUB (MASH)			√		
5	Failure to recruit and retain sufficient social worker capacity to enable high quality and safe practice, particularly in complex cases.	16		Recruitment and Retention Strategy. Incentives to encourage employment in Walsall, including new microsite and better recruitment practices.	Children's Services Improvement Board	Internal Audit	Human Resources		√	

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6	Children's services budgets are reduced to such an extent that resources no longer enable the key work needed to: a) keep children safe and protected from harm and achieve good outcomes. B) Improve educational outcomes and more schools being judged good and outstanding. C) provide targeted early intervention services.	12		Budget planning and monitoring.	CMT (Corporate Management Team) & Budget discussed at Education and Children Services Overview and Scrutiny Committee meetings.	Internal Audit	Residential Care, Troubled Families	√	√	√
7	Insufficient resources to cater for the children who need to be in care: Increasing numbers of children being looked after by the Local Authority, resulting in resource pressures which impact on the quality and effectiveness of care arrangements, and wider impact on overall resource pressures within the Directorate and wider council.	12		Rigorous LAC tracking. Permanency plans. Out of borough placements are being challenged.	Corporate Parenting Group (CPG) & Education and Children Services Overview and Scrutiny Committee (Nov 2016)	Internal Audit	Adoption & Fostering, Residential Care		√	
8	Schools judged by Ofsted as inadequate or requires improvement do not improve to be judged as good or outstanding quickly. Attainment in standards and progress at each key stage in primary and secondary school do not improve quickly enough from the 2014 baseline	12		Improvement monitoring programme to track and challenge improvements. Improved partnership working in place via school clusters. Significant challenge to Regional Schools Commissioner (RSC) where Academies are underperforming.	Education Challenge Board & Education and Children Services Overview and Scrutiny Committee (October 2016 Ofsted Update)	Ofsted, Internal Audit	Schools Improvement Service			√
9	Insufficient resources to cater for the children who need support due to presenting needs of substance misuse, domestic violence, and mental health (toxic trio), resulting in resource pressures which impact on the quality and effectiveness of services within the directorate and wider council.	12		Additional investment. Revised partnership governance and accountability in place through the LSCB and sub-group. New contracts in place for services.	Walsall Local Safeguarding Children Board (WSCB) / Walsall Multi-Agency Safeguarding HUB (MASH)	Internal Audit	Troubled Families	√		√

DRAFT ASSURANCE MAP - ADULTS SOCIAL CARE										
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